

THE UNIVERSITY OF ADELAIDE

DOCTORAL THESIS

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**Formation Control and  
Reconfiguration Strategy of  
Multi-Agent Systems**

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*A thesis submitted in fulfillment of the requirements  
for the degree of Doctor of Philosophy*

*in the*

Systems & Control Group  
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# Abstract

Multi-agent systems consist of multiple agents, which detect and interact with their local environments. The formation control strategy is studied to drive multi-agent systems to predefined formations. The process is important because the objective formation is designed such that the group achieves more than the sum of its individuals.

In this thesis, we consider formation control strategies and reconfiguration strategy for multi-agent systems. The main research contents are as follows.

A formation control scheme is proposed for a group of elliptical agents to achieve a predefined formation. The agents are assumed to have the same dynamics, and communication among the agents limited. The desired formation is realized based on the reference formation and the mapping decision. In the controller design, searching algorithms for both cases of minimum distance and tangents are established for each agent and its neighbors. In order to avoid collision, an optimal path planning algorithm based on collision angles, and a self-center-based rotation algorithm are also proposed. Moreover, randomized method is used to provide the optimal mapping decision for the underlying system.

To optimize the former formation control scheme, an adaptive formation control strategy is developed. The multiple elliptical agents can form a predefined formation in any 2D space. The controller is based on the neighborhood of each agent and the optimal mapping decision for the whole group. The collision-free algorithm is built based on direction and distance of avoidance group of each agent. The controller for each agent is adaptive based on the number of elements in its avoidance group, the minimum distance it has and its desired moving distance. The proposed adaptive mapping scheme calculates the repetition rate of optimal mappings in screening group of mapping decisions. The new optimal mapping is constructed by the fixed repeating elements in former mappings and the reorganized elements which are not the same in each optimal mappings based on the screening group.

An event-triggered probability-driven control scheme is also investigated for a group of elliptical agents to achieve a predefined formation. The agents are assumed to have the same dynamics, and the control law for each agent is only updated at its event sequence based on its own minimum collision time and deviation time. The collision time of each agent is obtained based on the position and velocity of the others, and the deviation time is linked with the distance between its current position and desired position. The probability-driven controller is designed to prevent the stuck problem among agents. The stuck problem for the group means that when the distance between

agents is too close and their moving directions are crossed, the control input with deterministic direction will cause the agents not to move or to move slowly. To optimize the event-triggered probability-driven controller, a mapping-adaptive strategy and an angle-adaptive scheme are also developed. The mapping-adaptive strategy is used to find the optimal mapping to decrease the sum of the moving distance for the whole group, while the angle-adaptive scheme is employed to let the distance between any two elliptical agents is large enough to further ensure there is no collision existed during execution.

Reconfiguration strategy is considered for multiple predefined formations. A two-stage reconfiguration strategy is proposed for a group of agents to find its special formation, which can be seen as transition of the predefined formations, during idle time in order to minimize the reconfiguration time. The basic reconfiguration strategy combines with a random mapping algorithm to find optimal special formation. To meet the practical requirements, agents are modeled as circles or ellipses. The anti-overlapping strategies are built to construct the achievable special formation based on the geometric properties of circle and ellipse.

**Keywords:** Multi-agent systems, formation control, collision avoidance, random mapping algorithm, event-triggered, reconfiguration strategy

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## Publications

### Journal publications

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[4]**Y. Liu**, P. Shi, C. C. Lim, & H. Yu. Two-stage reconfiguration strategy for multi-agent systems. *IEEE Transactions on Circuits and Systems I: Regular Papers*, under review.



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# List of Symbols

## Symbols in Chapter 2 and Chapter 3

$E_i$	the $i$ th agent
$p_i = (x_i, y_i)$	the coordinate of point $p_i$
$p_i^c = (x_{i0}, y_{i0})$	the center coordinate of agent $E_i$
$a_i$	the long-axis of agent $E_i$
$b_i$	the long-axis of agent $E_i$
$\phi_i$	the heading angle of agent $E_i$
$\theta_i$	the inner angle of agent $E_i$
$\Theta_i$	the set of chosen inner angle $\theta_i$ and changing angle based on $\theta_i$ of agent $E_i$
$u_i$	the position control force of agent $E_i$
$u_{\phi_i}$	the control force of the heading angle of agent $E_i$
$R_{sen}$	the sensing range of elliptical agents
$R_{avo}$	the avoidance range of elliptical agents
$H_{E_i}(R_{sen})$	the neighborhood of agent $E_i$
$A_{E_i}(R_{avo})$	the avoidance group of agent $E_i$
$F$	the predefined formation for the whole group
$\bar{F}_{f_i}$	the set of displacements of $f_i$
$g_i$	the desired position of agent $E_i$
$R^k$	the random mapping pool in the $k$ th iteration
$M^k$	the mapping pool in the $k$ th iteration
$r_{op}^{k-1}$	the optimal mapping in the $(k-1)$ th iteration
$L^k$	the sum of corresponding distances based on $M^k$ in the $k$ th iteration
$l_2^{ij}, l_3^{ij}$	the intersect tangents between $E_i$ and $E_j$
$\psi_{ij}$	the collision angle between $l_2^{ij}$ and $l_3^{ij}$
$\Psi_{ij}$	the set of $\psi_{ij}$
$m_{ij}$	the midline between $l_2^{ij}$ and $l_3^{ij}$
$M_{ij}$	the set of $m_{ij}$
$\varphi_{ij}$	the angle between $u_i$ and $m_{ij}$
$\Omega_{ij}$	the set of $\varphi_{ij}$
$u_i^d$	the update position control force of $E_i$
$g_i^d$	the update desired position of $E_i$
$d_{il}^{min}$	the minimum distance between $E_i$ and $E_l$
$\zeta_i(k)$	the adaptive parameter of $E_i$ , set in (3.12)

$R_f(k)$	the screening group in the $kt$ iteration
$R_r(k)$	the repeating mappings set in $R_f(k)$

### Symbols in Chapter 4

$t^i$	the triggering time sequence of agent $E_i$
$C_i(t_k^i)$	the collision set of agent $E_i$ at $t_k^i$
$\varphi_{ij}(t_k^i)$	the angle between control input of $E_i$ and $E_j$ at $t_k^i$
$\Delta v_{ij}(t_k^i)$	the speed difference of $E_i$ and $E_j$ at $t_k^i$
$d_{ij}(t_k^i)$	the distance between $E_i$ and $E_j$ at $t_k^i$
$m_{ij}(t_k^i)$	the slope of $\Delta v_{ij}(t_k^i)$ at $t_k^i$
$d_{ij}^{col}(t_k^i)$	the collision distance between $E_i$ and $E_j$ at $t_k^i$
$t_{ij}^{col}(t_k^i)$	the collision time of $E_i$ and $E_j$ at $t_k^i$
$D_i^{col}(t_k^i)$	the collision distance set of $E_i$ at $t_k^i$
$t_i^{col}(t_k^i)$	the collision time set of $E_i$ at $t_k^i$
$\tau_i^{col}(t_k^i)$	the minimum value of $t_i^{col}(t_k^i)$ at $t_k^i$
$B_i(t_k^i)$	the coefficient matrix of the deviation circle of $E_i$ at $t_k^i$
$d_i^{dev}(t_k^i)$	the deviation distance of agent $E_i$ at $t_k^i$
$t_i^{dev}(t_k^i)$	the deviation time of agent $E_i$ at $t_k^i$
$Timer(t_k^i)$	the event-triggered timer of agent $E_i$ at $t_k^i$
$R_i(t_k^i)$	the rotation matrix of agent $E_i$ at $t_k^i$
$\theta_i(t_k^i)$	the probability rotation angle of agent $E_i$ at $t_k^i$
$L(t_k^i)$	the sum of distance to reach desired positions at $t_k^i$
$\bar{u}_{\phi_i}(t_k^i)$	the heading angle control input of agent $E_i$ at $t_k^i$

### Symbols in Chapter 5

$F$	the predefined formation set
$M^s(k)$	the mapping relations in the $k$ th iteration
$M_0^s$	the set of the initial mapping relations of the predefined formations
$M_{op}$	the optimal mapping set
$p_j(a)$	the position for each dot agent in special formation
$q_j^i$	the probability of occurrence of the agent $E_j$ in predefined formation $F^i$
$P(c)$	the optimal special formation for circular agents



$D^f$	the reference distance set of circular agents
$D^c$	the actual distance set of circular agents
$\bar{D}$	the difference between $D^f$ and $D^c$
$D^j$	the coincidence distance set of circular agent $E_j$
$D_p^j$	the projected coincidence distance onto x-axis set of agent $E_j$
$\theta_{jl}$	the projected angle of $d_l \in D^j$
$\lambda_j$	the moving vector of agent $E_j$
$P(e)$	the optimal special formation for elliptical agents
$m_{jl}$	the slope of line between intersection points between elliptical agents $E_j$ and $E_l$



# 1 Introduction

## 1.1 Motivations

This thesis focuses on the formation control strategies for a group of elliptical agents and the two-stage reconfiguration strategy for a group of agents. These elliptical agents are moving in a physical or virtual formation, sensing and interacting with local environments. The formation control technologies for multiple agents are employed in various engineering fields to reduce the system cost, increase the robustness and efficiency of the system, and provide redundancy, reconfiguration capability and flexibility. However, formation control strategy will create many challenges for the systems with weakening each agent's processing capability and increasing the complexity of whole system. The design on agents is essentially based on egocentrism, as studied in [1]–[4], but agents free will be restricted by localisation from limited sensing range and communication capacities. Switching formations should be owned by agents groups to reduce moving consumption, decrease collision probability, and improve tasks completion rate, etc. For multi-agent systems, collective objectives are still existed, thus, control strategies design remains to deal with these goals. Tasks changing during whole groups is another problem that agents face. Reconfiguration ability is an essential feature of multi-agent system because it links to the problem of how many types of formations can be formed and transformed. In order to build the reconfiguration ability of multi-agent systems, reconfiguration strategies should be employed to drive the systems to construct different shapes of formation.

Conventional formation control strategies are insufficient in dealing with the shape of individual agent. In vast of literatures, agents are always considered as dots, circles, or rectangles [5]–[8]. However, many potential agents in practice have long and narrow shapes. Given the same length and width, the ellipse has a smaller area and smooth curve than that of rectangular shape. It is more appropriate to choose the ellipse shape to define a real agent. If we only consider point-shape, disk-shape or rectangular-shape for actual agents, collision problems among agents will be out of consideration, or many available spaces, which can be used to plan routes and prevent possible collisions among agents, will be wasted. Simultaneously, mapping relationships among multiple agents are important to research to release computational and communication burden and reduce operation cost. For individual agent, it need to make decisions wisely with its neighbors based on sensing capability and communication topology. For reconfiguration researches on multi-agent systems, adaptive mappings for multiple agents and the geometric shape of individual agent are lack of consideration. These control schemes

could be developed to enhance the operation efficiency and improve the execution time.

The thesis aims to construct formation control strategies and two-stage reconfiguration strategy for multiple agents. This is achieved by developing schemes to manipulate the agents motives under the information from sensors and communication networks. The schemes are dominantly agent-based and they are well suited to provide solutions in localised and decentralised systems. Inter-agent communication which is only transfer some simple information such as individual identities, mapping relationship and positions of agents, is assumed to be established on local wireless network. Agents are abstract as ellipses to meet the practical requirements. Collision avoidance schemes among agents are improved to make sure agents can reach their desired goals well. The network is decentralized because the agents perform different position tasks. The agents are localised since they work in a large space, and the centralized communication network has a heavy cost burden for individual agent. Our formation control strategies and two-stage reconfiguration schemes can execute formation and reconfiguration goals perfectly.

Contribution from our work in this thesis is as follows:

- 1 Develop a new formation control scheme to drive a group of elliptical agents to a predefined formation with restricted communication and limited sensing capability. The controller of each agent is established based on the midpoint derived from their neighborhood. Random mapping algorithm is constructed by the sum moving distances from current positions of individual elliptical agents to the desired positions. The desired formation of the whole group does not match the specific positions of the predefined formation. It is obtained by the displacements from the predefined formation. The collision among elliptical agents can be avoided by choosing optimal path and removing obstacle angles. A self-center-based rotation algorithm is also proposed to guarantee collision avoidance when two agents approach to each other.
- 2 Investigate an adaptive collision-free control scheme and mapping rule based on the first developed formation control scheme. The communication among agents is needed to exchange mapping information and agent identities. The control input for each agent which is moving though the possible collision area, is adaptive based on the collision group of the agent and the distance between current position and desired moving position of the agent. An adaptive mapping algorithm is proposed to release the computational burden of random mapping algorithm.
- 3 Build an event-triggered probability-driven adaptive formation control strategy for multiple elliptical agents. Each agent has its own event sequence based on the minimum collision time and the deviation time calculated by itself. Agents only need to receive the state and velocity information in accordance with their own event sequence. Probability-driven controller is established to prevent the stuck problem among

agents, which may happen when two or more elliptical agents are too close to each other. The adaptive mapping scheme is employed to find the optimal mapping among the agents to reduce the moving distance of the whole system.

- 4 Propose a two-stage reconfiguration strategy based on dot agents during idle time with an adaptive random mapping algorithm, which is constructed based on the minimum expected moving distance between the current positions of the group of agents and each predefined formation. To meet the practical requirements, the two-stage reconfiguration schemes are improved due to the circular shapes and elliptical shapes of the agents, to deal with the overlapping problem happens among agents.

## 1.2 Research background

Nowadays, the traditional control theory on the control of an individual system has gradually matured. With the rapid development of computer, communication and sensor technologies, networked systems and multi-agent system with multiple nodes and communication network connections have been increasingly studied. The control technology of multi-agent systems have begun to be applied in large-scale applications in practical scenarios. In the practical applications, individual equipment cannot achieve the control goals with high efficiency and low cost. To improve operational efficiency, increase running accuracy, reduce operating costs and decrease maintenance difficulty, multiple small devices with low cost, simple structure, and easy assembly and maintenance are employed to work together to achieve the control goal to replace an individual complex agent. Compared with single-agent systems, multi-agent systems have the following advantages: 1) co-operation among agents can greatly expand the task execution ability of automation device. Based on the extension of the task execution ability, multi-agent systems can accomplish many complex tasks, which are difficult to achieve by an individual agent; 2) multi-agent systems have lower energy cost, and are easier to manufacture install and maintain, which will lead to a better economic efficiency beneficial; 3) multi-agent systems have better performance and higher efficiency; 4) larger system redundancy can be designed for multi-agent systems, which can achieve better robustness and fault tolerance design than an individual agent.

The multi-agent systems can be seen as "society of agents", which means a set of agents that interact together to coordinate their behaviour and often cooperate to achieve some collective goal, which is difficult or impossible for an individual agent or a monolithic system to solve. Also an agent in the multi-agent system is considered as a micro-system. In the systems, agents change their information to their neighbours or leaders by communication links, which can constitute a network called communication topology. The inspiration for collaborative control of distributed multi-agent systems

comes from nature. Many biome systems have complex and interesting cluster behaviors. Animal groups exhibit a superior ability to manage a variety of challenging tasks, from foraging to migration to predator evasion. For example, a group of fish will cooperate together to prevent other fish attacks, a flock of birds forage and probe for food, and a group of wildebeests move together to migrate to a lush grassland to ensure race continues, and etc.. Individual animal in these biological flocks cannot perceive and process the global information of nature and its own cluster. They can only rely on its own perception system to obtain the status of their local neighbors and interact with them to achieve global behavior goals. Communication network among these animals is necessary to ensure the information exchange among animal groups. Social interactions among individuals are beneficial to the whole groups. The design method and control goal of distributed multi-agent cooperative control is learn from such behaviors. The design concept of distributed multi-agent control strategy is to design appropriate control schemes to complete complex global tasks with only local information interaction.

Formation control is one of the main applications of multi-agent systems. Agents in the multi-agent systems are required to follow a predefined trajectory while maintaining a desired geometry pattern. Moving in group has abundant advantages, such as, reduction of the system cost, increase of the robustness and efficiency of the system during providing redundancy, re-configuration ability and structure flexibility for the system. There are five main control problems in formation control: formation generation, formation maintain, formation switching, obstacle avoidance and adaptive. The formation maintaining and formation switching attract more of my concern. How much behaviour and information of agents has poses direct influence on performance of the system, in terms of energy consumption and executing time from initiation to finishing a task. However, global information cannot always be gotten by each agent in the system or could lead high energy consumption. Therefore, researches have been done to improve the performance under these circumstances.

### 1.2.1 The concept of multi-agent systems

Multi-agent system is a system consists of a group of agents. It can solve problems which are difficult for single agent through communication, consulting and cooperating among agents and environment. There are more advantages for using multi agents. Multiple agents cooperate with each other can complete the task beyond the scope of capacity of single agent which lead overall capacity of whole system better than single one. The concept of multi-agent systems is first brought up in computer science. To build a clear understanding of a multi-agent system, the definition of agent is necessary. In the domain of computer, an agent can be defined as "An agent is a computer system that is situated in some environment, and that is capable of autonomous action in this environment in order to meet its design objectives"[9].

There are two kinds of interactions in an agent. The first one is the interaction between an agent and its nearby environment, as illustrated in Figure 1.1. Agents can accept the input from environment and produce an action output to influence the nearby environment. This interaction is always a continuous process. In most cases, agents can only have partial control to their nearby environment, and influence the environment by its action output. Agents can influence the system in several ways. They either could produce some change in the current state of its environment which changes future interactions of other agents with it, or they change their own performance which changes interactions with neighbour agents who see these agents as a part of the environment. Therefore, agent can produce same action output in interaction with same environment which could lead different results, rendering it nondeterministic.

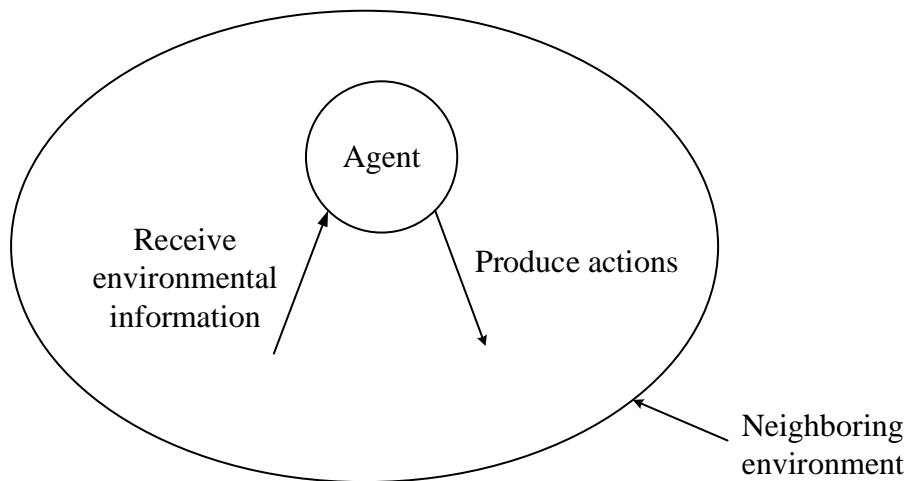


FIGURE 1.1: The interaction between an agent and its nearby environment

The other interaction is the interaction between an agent and its neighbour agents. This inter-agent interaction is possible because of communications among agents. Different from the interaction between an agent and its environment, an agent may not be able to directly influence the state of its neighbour agents. In multi-agent system, it is possible for some agents to grasp the global information due to their role playing in the whole system. There may also be cases where these agents can communicate with all of the rest of agents in the system. This feature is captured in my research where agents which are seen as leaders can share global information and communicate with all the rest of agents in the multi-agent system. Figure 1.2 shows the relationship among agents and their environment in a multi-agent system. It can be seen that the interaction among agents and their environment is complicated. The interaction among agents could be bidirectional, such as the interaction between agent 1 and agent 2, agent 1 and agent 3, agent 4 and agent 5, are bidirectional. Also the interaction among agents could be unidirectional. Sometimes, the environments of agents are cross, which means

individual agent may be influenced when others influence the crossed environment based on their own action. This fact will cause dependent relationship among agents. In some cases, agents will not interact with environment.

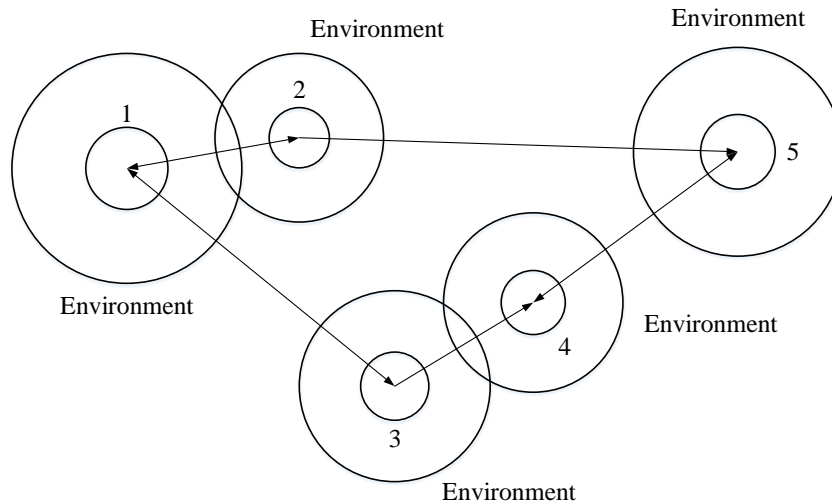


FIGURE 1.2: Inter-agent interaction

Organization of multi-agent systems means information and control relationship among agents, and the distributed mode of problem solving ability. As organization is the fundamental study of most research in multi-agent systems, it is important to organize agents effectively in order to cooperating agents and their environment. Agents could execute a prior organization to determine roles of each agent. The determined role gives the expectations about the agent's individual motion by describing its state, capabilities and gestures inside the multi-agent system. Then an agent achieves its organizational information of its role and executes tasks as the determined role. There are three kinds of organization of multi-agent systems[10]. The first one is centralized organization[11]–[13], which could be seen as a master-slaver hierarchical structure. In this mode, agents are divided into three categories, manager agent, functional agent and application agent by work range and function of agents. It is manager agent's responsibility to manage whole information, state and predefined task execution of the system. Functional agents could have capabilities to execute tasks and help manager agents to do system tasks without the influence from application agents. Application agents could process tasks in a specific field. Centralized structure can easily tolerate faults of some agents in the group. On the other hand, manager agents will be the weakness of the whole system both for computational and communication time requirements and its final fault will compromise the entire group[14]. Decentralized architecture[15]–[17] is the second mode of organization. Agents in this system are not controlled or directed by a single agent. They could get all information for other agents and their environment state due to each agent can communicate with other agents directly and immediately. According with these characteristics, agents in the system can plan their own trajectory and motives which could reflect autonomous



and social of agents, the flexible and extensive of whole system could also be promoted. However, this could lead to uncontrollable and instability to the multi-agent system. The other structure is hybrid organization in which the responsibility and role of agent is dynamics[18], [19]. Interactions among agents are changing to cooperate more effectively to execute tasks. In my research, agents will organize in hybrid structure in which several leaders will be selected to tracks the predefined trajectory and other agents maintain a predefined direction and orientation with respect of the leaders. The leaders can change in accordance with varying needs of the system. In my works, decentralized organization for multiple agents is considered.

### 1.2.2 Applications of multi-agent systems

In recent years, models and related control theories of multi-agent systems have been applied in more and more engineering fields. In aerospace technology, a spacecraft can be regarded as an agent. The tasks, such as reducing system cost, improve system stability and function extension capability, can be achieved by developing coordinated attitude control and formation control of multiple spacecrafts. By using multi-agent technologies, multiple spacecraft systems, in which spacecrafts have simple structure and processors, can deal with collective targets that is difficult for a single spacecraft to process, which is studied in [20]–[23]. In the application of military technology, the use of multiple unmanned aerial vehicles(UAVs)[24]–[27] to conduct reconnaissance and combat, the use of multi-robots [28]–[31] for searching, rescuing, patrol, clearance of mines and etc, or the use of autonomous underwater vehicles(AUVs) [32]–[35] to cruise under the sea, can greatly improve the overall combat capability, enhance tasks completion and accuracy, and reduce casualty. In industrial production processes [36]–[39], the use of multiple robotic arms to perform complex tasks on the production line can often improve assembly accuracy and production efficiency. The research of multi-agent systems, as a new and comprehensive cross-cutting topic, has a wide range of applications and huge potential value, attracts scholars in various fields, and promotes the rapid development of related theories. Some applications are listed to specified the use of multi-agent technology in engineering fields.

#### 1 Coordination and Control of mobile robots

The problem of how to control and coordinate of multiple autonomous robots has attracted much attention during the last few years[40]–[46]. This task can decomposed into five subtasks, formation of geometric pattern, alignment of each robot's orientation, coordination of the robots in the system, motion realization and stability of the formation in motion. Generally speaking, autonomous robots should maintain a desired formation to promote effectiveness of whole system. A final orientation should be planned that robots will come to their positions from various locations so that their final directions of motion are different. To achieve the specific goal of the whole system, algorithms and controllers of coordination and control of autonomous robots should be

designed and proposed[43]–[45]. Realization of motions is used to satisfy constraint conditions while the group of robots is moving through a trajectory. At last, to make formation robust and not easy to disband, stability of formation during group moving should be considered[45], [46].

## 2 Control of Traffic and Transportation

The distributed nature of traffic control topology makes it well suited for applying multi-agent technology[47]–[51]. Distributed processing and coordination of multi-agent technology could be well used to solve the traffic conditions which contain dramatic changes such as traffic accidents[47], [48]. For urban traffic networks, intersections or junctions can be seen as nodes of multi-agent systems while links represent streets, avenues, roads or any other infrastructure connecting them. Dynamic coupling graph and communication topology will be obtained to achieve predictive control[51]. The transit network-planning problems, timetabling and schedule synchronization, and the alignment problem for public transportation route can also be solved by using multi-agent technology[49], [50].

## 3 Coordination Expert System

For complex problems, single expert system cannot meet the requirements. Hence, multiple expert systems should be collaborated to achieve the collective goals[52], [53]. The multi-agent technique can be used to attain a coordination solution to multiple expert systems. Two expert systems can organically combine through a coordinated multi-agent system in a multi-agent system environment based on rules, and the coordination agreement is established to integrate diagnostic methods and improves the efficiency of fault diagnosis[53]. Expert systems can also be seen as nodes in multi-agent system to execute a specific task by cooperating and collision avoidance strategy should be employed to solve the conflict during processing tasks.

# 1.3 Literature review

## 1.3.1 Theoretical developments of formation control

In last decades, formation control became one of the leading research fields in multi-agent systems. Several methods have been proposed to deal with the formation control issues for a group of autonomous agents, including the leader-follower method, the behavioral method and the virtual structure method. The leader-follower method [54]–[58] treats a small group of agents in the system as leaders, and the rest can be seen as followers. The followers can find their desired position based on the positions of leaders and local information from the predetermined formation. This approach is easy to understand and implement. However it is hard to maintain a desired formation

if followers are disturbed without any formation feedback. In [54], a non-singular terminal sliding-mode control and disturbance observer based control are designed for followers to achieve finite-time output consensus for the agents with mismatching disturbances of followers. A fixed-time consensus problem for a high-order leader-follower multi-agent system with external disturbances is studied in [55], in which a sliding manifold is built to make sure the tracking errors converge to zero in fix time. For followers whose relative states can not be measured, an observer-based distributed adaptive control scheme in [56], is constructed to ensure that all followers can follow their leader asymptotically. In [57], Two non-smooth leader-following formation protocols for nonidentical Lipschitz nonlinear multi-agent systems with directed communication network topologies are proposed for first-order systems and second-order systems. In [58], a leader-follower control scheme with time-varying unknown leader is investigated for the distributed tracking problem of nonlinear fractional-order multi-agent systems. Controllers are designed based on the basic independent behaviors of each agent in [59]–[63], and the behavior method can generate control law easily while each agent has multiple complete objectives, but it is difficult to design the local control rules and conduct stability analysis. In [59], a unified optimal control framework is constructed to integrate the formation control, trajectory tracking and collision avoidance in an obstacle-laden environment. A behavioural decentralized approach for multiple UAVs is proposed in [60]. Under this approach, individual UAV can fly though predefined waypoints, and avoid possible collisions with other UAVs in the group. A null-space-based behavioral method is developed in [61] to guarantee obstacle avoidance for multiple AUVs. In [62], a concept of escape angle is introduced into a decentralized behavior-based formation control algorithm for multi-robots systems to avoid obstacles. In [63], multiple missions control problem is solved as a behavioral control problem with the systematic procedure of null-space-based projection. In the virtual structure method [64]–[68], agents can achieve the desired formation with certain geometric shapes, which can be called as a virtual rigid. This approach can easily achieve the objective formation with high-precision trajectory tracking, but is difficult in implementing formation scaling and improving adaptability. In [64], the receding horizon tracking control of multiple unicycle-type robots is developed under coupled input constraint. The tracking position of the the follower is seen as a virtual structure point with a Frenet-Serret fram fixed on the leader. Shrinkable virtual structure is used in [65] to concur the traditional obstacle-avoidance problem in the virtual structure methodology. The virtual structure is employed in [66] to be regarded as a framework to plan and execute complex interleaved trajectories, which can hold a fixed relative formation, or transition between different formations. In [67], the virtual structure is introduced to build a formation control strategy for nonholonomic intelligent vehicles. And the algorithm of synchronized multiple spacecraft rotations based on consensus-based virtual structure is presented in [68], in which a behavioral consensus algorithm for virtual attitude control system, is presented to accomplish the attitude maneuver of the entire formation and guarantee a consistent attitude

among the local virtual structure counterparts during the attitude maneuver.

Research works on formation control always use one or more of the above method under unlimited sensing capability and unrestricted communication. For limited sensing range study, in [69], platoon control with range-limited sensors is investigated to guarantee string stability and control performance within limited sensing. However, global broadcasts are used to attain string stability and this impose constraints to its applications. A simple controller is proposed in [70] for each agent in the system with same protocol and control law without consideration of potential field storage. To achieve local optimal mapping decision, two protocols for multi-object mapping are designed. In the work of [71], a bounded cooperative controller is designed with formation stabilization. In [72], potential functions are employed to achieve desired configuration while preventing collision with other agents, obstacles and the boundary of the work area. Agents in this research only have limited sensing and communication ranges. Restricted communication among agents is also discussed in [70], [73], [74] for minimizing energy consumption and improving adaptability to dynamic environmental changing. In [70], a novel displacement-based formation controller is proposed to drive multiple robots to their desired formation without communication based on their local in-range displacements from their own sensors. A formation tracking control scheme in [73] is constructed to maintain a given formation in nonomniscient constrained space. The role switching triggered is employed to enhance the efficiency of the whole algorithm. In [74], a distributed formation control and collision avoidance strategy is designed for a group of rectangular agents with limited communication ranges.

Fixed topology and switched topology in the area of formation are also researched in various articles. In [75], formation control for multi-agent systems, in which agents are controlled by unknown effect based on their states, are proposed on a fixed topology. A leader-follower consensus algorithm is given in [56] linked to relevant outputs of followers based on fixed topology. Consensus problems are solved in [76] by building a relation between network connectivity and performance of protocol in a switching topology. A linear consensus protocol is given in [77] to localize control strategies for second-order discrete-time agents based on switching topology. Time-varying formation is analyzed with directed switching topology in [78], in which formation is defined by specified piecewise continuously differentiable vectors. Practical systems of multiple unmanned aerial vehicles are studied in [79], [80] based on switching topology.

Collision avoidance problem among multiple agents during execution emerges accompanied by development of formation control strategy. Many researchers work in this field to ensure multi-agent systems run smoothly. In [81], a decentralized leader-follower formation controller is proposed with constrained position outputs within a given range of the unmanned surface vehicles. In [82], collision avoidance problem is addressed by a distributed model predictive control with a relatively non-conservative compatibility constraint. In their design, the terminal set is a positively invariant set with the tailored terminal controller and cost to guarantee the collision

avoidance. Artificial potential functions, nonlinear tracking differentiators and a backstepping technique are employed into an observer-based cooperative time-varying formation maneuvering control in [83] to support collision avoidance among all autonomous surface vehicles without velocity measurements. Inner circular region and outer circular region are designed for each agent in [84] to construct collision avoidance controller. The potential function is chosen to meet the requirements formed by these two circular regions to prevent possible collision among the agents. A distributed containment control algorithm is developed based on a close-range omnidirectional relative distance sensor and the potential functions methodology to enhance the collision-avoidance capacity of all satellites in [85]. In [86], the model predictive control approach is employed into formation controller with delayed communication among networked mobile robots.

Adaptive technology is equipped in more and more formation control strategies to enable multi-agent systems to better adapt to the external environments and complete the predefined tasks, such as formation, tracking and maintaining former formation. In [43], an adaptive controller is developed by using the image information from an uncalibrated perspective camera, which is located at any position and orientation on the follower agent. An adaptive programming and internal mode principle are used in [87] to deal with leader-follower multi-agent systems. The states of followers are unknown and the leader of the whole system is a perturbed exosystem. Local relative information from agent's neighbors is employed to build an adaptive practical time-varying output formation tracking protocol in [88]. An adaptive fuzzy logic system is introduced to estimate the mismatched uncertainties of the agents. Fully adaptive practical time-varying output formation tracking issues of high-order nonlinear stochastic multi-agent systems with multiple leaders are addressed by this formation tracking problem. In [89], an adaptive self-organizing map neural network approach is proposed to balance the execution workload of multiple AUVs. In the system, formation is treated as a distributed leader-follow structure-like, however, leaders and followers are not strictly determined. An adaptive distributed control scheme is constructed in [90] to guide the leaders into the predefined formation in finite time, while an adaptive controller is designed to keep followers maintaining the property distance and orientation from their leaders with the absence of unavailable inputs of their leaders. Backstepping technology is applied in a distributed adaptive controller in [91] for a kind of networked systems, which are consisted by various nonlinear subsystems with unknown parameters and non-identical nonlinear dynamics.

Agents in the multi-agent systems are often expressed as dots, circles or rectangular [56], [70], [74], [92], [93]. However, many practical agents have a long and narrow shape. Given the same length and width, the ellipse has a smaller area and smooth curve than that of rectangular shape. It is more appropriate to choose the ellipse shape to define a real agent. In [94], [95], potential function and goal function are employed to drive a group of elliptical agents to track predefined trajectories. A novel algorithm based on Minkowski sums and linear approximations for real-time obstacle avoidance



among elliptical agents is proposed in [96].

### 1.3.2 Theoretical developments of event-triggered formation control

Event-triggered control is a control method based on conditional sampling, which is also known as Lebesgue sampling [97]. The event-triggered time is determined by the designed conditions, which is different from the time-triggered method, that is controlled by the clock cycle. From the perspective of system resource utilization, the traditional control scheme applied time-triggered condition is conservative. For example, we can use the system state in previous moment instead of the system state in current moment at two adjacent sampling moments, when the amplitude of the change in the system signal is extremely gentle, which leads the system state at adjacent moments is almost the same. However, under the time-triggered sampling control framework, the setting of the sampling period always needs to consider the worst-case scenario. The time interval should be designed to adapt to the scene with the most drastic signal change. The prior design of the upper and lower limits of the sampling period takes into account the need to meet the performance index requirements of the system's prior design. The sampling period must be preset according to the signal processing frequency bandwidth that the system can carry. However, the pre-designed sampling period in this way is not most suitable in all time periods. Redundancy may occur during time intervals that do not require that much sampling operations, which will lead the waste of the communication and computing resources and the system energy. To address this issue, event-triggered control scheme is put forward and developed fast.

Event-triggered approach is studied from [97]. In this research, event-triggered control strategy is proposed for some first-order systems. The researcher also compare the control result from event-triggered controller with the closed loop variance and sampling rate from periodic sampling. The earliest research on distributed event-triggered control theory for multi-agent systems started in 2009. In [98], an event-driven strategies for multi-agent systems is developed. The event-triggered condition is constructed by the ratio of a certain measurement error with respect to the norm of a function of the state. Nowadays, research on multi-agent systems under even-triggered control has been a lot of literature. The general classifications are as follows.

Research on system dynamics for event-triggered schemes can be divided into study on first-order integrator networks [99]–[103], and study on second-order integrator networks [7], [104]–[108], general linear systems [109]–[114] and nonlinear systems [115]–[118]. In [100], event-triggered distributed sub-gradient algorithms are developed for first-order discrete multi-agent systems to address convex optimization problems. Event-triggered scheme for each agent is constructed based on its own state and its neighbors' states.

Agents update their status with a designed centralized event-triggered function. An event-based impulsive controller is proposed in [102] for the consensus problems for single-integrator multi-agent systems. The trigger condition is designed based on the states of the agents. The consensus problem for heterogeneous first-order multi-agent systems in [103]. The consensus problem for second integrator multi-agent systems is solved in [104]. The controller for each agent is built relied on the state measurement error among its neighboring agents. In [106], an event-triggered control scheme is investigated to study the consensus of multiple second-order multi-agent systems under a directed spanning tree, where data is sampled randomly to reduce the communication load. The consensus study under undirected topology for second integrator multi-agent systems is constructed in [108]. Edge event-triggered scheme is based on the information of the corresponding two neighboring agents. General linear multi-agent systems are studied in the following literature. In [110], a dynamic compensator for each agent is introduced into the consensus controller to achieve output consensus for heterogeneous linear multi-agent systems. A decentralized event-triggered containment control strategy is investigated in [113] for heterogeneous linear multi-agent systems based on neighboring information. Exponential consensus problem of general linear multi-agent systems is addressed in [114], in which agents can only receive their neighbors' information only at their own triggering time instants. The controller for nonlinear multi-agent systems is researched in [115], [117]. In [115], a piecewise continuous control protocol combined with event-triggering function is proposed, while an event-triggered sampling control scheme with limited communication capability is studied in [117].

Research on topology for event-triggered schemes can be divided into directed topology [99], [101], [104]–[107], [114], [117] and undirected topology [100], [102], [103], [108]–[112], [115]. Classify by triggered type, event-triggered schemes for multi-agent systems can be divided into two categories: static trigger condition [119]–[121] and dynamic trigger condition [122], [123]. Static trigger condition methods include state-dependent trigger condition [124]–[126], time-dependent trigger condition [127]–[129] and integral-type trigger condition [121], [130]. Based on the measurement error, event-triggered multi-agent systems can be classified into continuous detection [121], [131], [132] and period detection [111], [126], [130] in which it can divide into synchronize detection [133], [134] and asynchronous detection [135]–[137]. The event-triggered control strategies can be also divided into point-state measurement (absolute state information measurement) [137], [138] and edge-state measurement (relative state information measurement) [108], [133] relying on status information measurement.

As a novel sampling method, there are still a lot of issues that can be studied in the research of event-triggered distributed control scheme. We can explore the design of various practical event-triggered functions, consider more complex control objectives, study the flocking and formation problems of multi-agent systems. More complex controllers can be used to combine with event-trigger scheme, such as various types of output feedback

dynamic controllers, sliding mode controllers, nonlinear observers, backstepping controllers, and active disturbance rejection controllers. More complex system dynamics models and network communication topology models can be considered, such as systems with switching dynamics, connectivity maintenance of the topology graph, high-order systems and etc. We can also develop event-trigger control approach in the view of performance analysis of distributed system, such as considering the robust performance,  $H_\infty$  performance, distributed optimization, and etc. The security issue is also a potential research direction in the event-sampling scheme of multiple agents systems. All of the above-mentioned extensions are theoretical work worthy of study. Moreover, the applications of event-triggered distributed control strategy should be investigated which can be applied in the piratical scenarios. The control schemes for multiple spacecrafts, AUVs, UAVs, and autonomous surface vehicles should be studied for enhancing the efficiency of practical systems.

### 1.3.3 Reconfiguration strategy of multi-agent systems

Reconfiguration ability is an essential feature of multi-agent systems because it links to the problem of how many types of formations can be formed and transformed. In order to build the reconfiguration ability of multi-agent systems, reconfiguration strategies should be employed to drive the systems to construct different shapes of formation.

There is a lot of research on reconfiguration strategy for multiple agents [139]–[145]. In [139], a distributed cascade robust feedback control scheme is built to drive a group of unmanned air vehicles which are vertical takeoff and landing to achieve the specific formation and reconfigured formation. The whole system is based on dynamic undirected communication network. The method based on coalition game theory and flocking-based formation maintenance mechanism is developed to solve the reconfiguration problem among multiple robots when they encounter obstacles in unknown environment in [140]. The problem of unsymmetrical formation reconfiguration and docking of multiple spacecrafts is studied in [141]. The compound optimal control is employed to deal with this problem with the total control effort and docking time, which are linked to the total fuel usage and electronic resources. In [142], a symplectic penalty iteration algorithm is proposed to achieve the optimal control for a group of spacecrafts, who have loose construction, with minimum energy consumption. In the algorithm, penalty functions are introduced to make sure the collision avoidance among spacecrafts. A continuous/impulsive linear quadratic regulator is constructed in [143]. It is used to design an optimal control scheme, which combines continuous Lorentz force actuation and impulsive thrusting, for spacecraft formation reconfiguration. Two-stage reconfiguration strategy is studied in [144], [145] for multiple aircrafts. The special designated formation in [144] is obtained based on the reconfiguration energy consumption. The special designated formation in [145], on the other hand, has the feature that the expected



value of the reconfiguration time based on acceleration controller is minimized.

Reconfiguration of multi-agent systems technology is introduced to many engineering fields. The power distributed systems employ the reconfiguration scheme to solve outage problems and execution faults [146], [147], minimize active power loss and maximize voltage magnitude [148], [149], enhance voltage stability and load balancing [150], [151]. Spacecraft swarms can use the formation reconfiguration strategy to increase reliability and survivability, reduce system cost and risks, enhance mission flexibility [141]–[143], [152]. By applying reconfiguration technique into AUV swarms and UAV swarms, systems can enhance mission reliability and adaptability to changing mission requirements and decrease production and maintenance costs, which will lead to leading to technological and economic benefits [139], [153]–[155]. To address the severe faults, avoid surrounded obstacles, execute target detection and enclosing, reconfiguration strategies should be developed in multi-robot systems [140], [156]–[158].

## 1.4 Structure of thesis

Based on the above research motivations, collision-free formation control algorithms for a group of elliptical agents and the reconfiguration strategy for multi-agent systems are studied in this thesis. For collision-free formation control algorithms, we propose time-triggered method and event-triggered method for multiple elliptical agents to meet the practical requirements. The two-stage reconfiguration strategy for multiple agents is developed to achieve formation transition. The rest of this thesis is organised as follows.

In Chapter 2, a control algorithm, which is used to drive a group of elliptical agents to a predefined formation based on a reference formation, is proposed. The new technique is developed to achieve the objective included searching algorithms for finding minimum distance and tangents between two elliptical agents, which are used by the control algorithm. Communication among the agents is limited, and only identities of each agent and the mapping decision for them are transmitted. Random mapping algorithm is also presented to obtain optimal mapping decision for the whole group, in which a reference mapping is employed to provide displacements. The optimal mapping in each execution term can be obtained based on the sum of distances from current positions of all elliptical agents to their desired positions. Collision avoidance algorithm based on collision angles between tangents, which are between agents, is used to prevent collision among agents. By judging whether there is a possibility of collision between individual agent and the agents in its avoidance group, individual agent will make decision if it will update its control input or not. The self-center-based rotation algorithm for each agent is designed to further improve the collision avoidance. The simulations of fixed mapping and random mapping algorithm are given to demonstrate the feasibility and effectiveness of the new control design scheme.

In Chapter 3, an adaptive formation control strategy, which is used to enable a group of elliptical agents to achieve predefined formation is established. The control input of each agent is based on the displacements between the agent and its neighboring agents. To build the collision-free control strategy, the avoidance group of each agent based on the avoidance range and minimum distances among elliptical agents are employed. The adaptive formation controller for individual agent is proposed based on its minimum distance from the others, distance difference between its current position and its desired position and the number of the agents in its avoidance group. An adaptive random mapping algorithm is proposed for obtaining the optimal mapping decision. Different from the random mapping algorithm in Chapter 2, the adaptive random mapping algorithm will choose the fixed elements in the former optimal mappings to be regarded as the elements in the final optimal mapping instead of generating new mappings in each iteration. Simulation results show the feasibility and effectiveness of the novel control strategy.

In Chapter 4, an event-triggered control algorithm to drive a group of elliptical agents in order to achieve a predefined formation is investigated. The control input update for each agent is event-driven, depending on the minimum collision time and deviation time of each agent. Each individual agent has its own event sequence. It can receive the state and velocity information of the others at the time when an event is triggered. The minimum collision time for individual agent is calculated based on the position and velocity of its nearest agent, while its deviation time means the moving time of an agent leaving its destination if the agent moves along the current control direction. The probability-driven control law is developed to prevent the stuck problem. The stuck problem for the group means that when the distance between agents is too close and their moving directions are crossed, the control input with deterministic direction will lead the agents not to move or move slowly. The probability-driven controller for each elliptical agent can produce a velocity, which has a different orientation with its original one, to bring agent out of dilemma. Also, adaptive algorithms of mapping and angle rotation are proposed to enhance the performance of event-triggered control algorithm. Mapping is updated based on the minimum distance of distance to reach predefined formation. The rotation algorithm is employed to expand the minimum collision distance among agents. Simulation results of the event-triggered control algorithm and event-triggered adaptive control algorithm are given to demonstrate the feasibility and effectiveness of the new control design scheme.

In Chapter 5, a two-stage reconfiguration strategy for a group of agents is constructed. By applying the two-stage reconfiguration algorithm during idle time, it can shorten the expected reconfiguration time when the next command with formation changing is given. These agents are modeled as dots, circles and ellipses to gradually approach the practical applications. For dot agents, the two-stage reconfiguration strategy combined with the random mapping algorithm is proposed to find the special formation during idle time based on optimal mappings to predefined formation set. Agents

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can find their special formation by using the probability of each formation in the predefined formation set. The two-stage reconfiguration scheme is improved for circular agents and elliptical agents to deal with the overlapping problem which may appear in the special formation by using the two-stage reconfiguration strategy for dot agents. The simulations of the two-stage reconfiguration strategy are given to demonstrate the feasibility and effectiveness of the new reconfiguration strategy.

Chapter 6 summarises the research findings and concludes the thesis.



## 2 Collision-free formation control for multiple elliptical agents

### 2.1 Introduction

In this chapter, a control scheme is investigated for a group of elliptical agents to achieve a predefined formation. The agents are assumed to have the same dynamics, and communication among the agents are limited. The desired formation is realized based on the reference formation and the mapping decision. In the control design, searching algorithms for both cases of minimum distance and tangents are established for each agent and its neighbors. In order to avoid collision, an optimal path planning algorithm based on collision angles, and a self-center-based rotation algorithm are also proposed. Moreover, randomized method is used to provide the optimal mapping decision for the underlying system.

In the current work, we consider agents as ellipses and investigate the formation control for a group of agents. Agents are limited with their sensing capability and restricted communication capability. They are equipped with displacement sensors which can provide displacements between agents and their neighbouring agents. The only data communication is the transmission of identities of the individual agents and the mapping decision for them. Moreover, agents are assumed to have the same dynamics and play equal roles in the whole system, which is different with [159]–[161]. Different from the work in [94], [95], this chapter focuses on driving a group of agents to achieve a desired formation derived from the objective map. The objective map is set well in advance and serves as a reference. Agents only organise their formation based on the displacements in the objective map, but not the specific points in the predefined map. The obstacle avoidance algorithm established in this chapter is based on the optimal path planning by removing the obstacle angles. These angles can be obtained by clamping tangents of objective agents and their obstacle agents.

In this chapter, the main work is as follows. First, a new control scheme is proposed to drive a group of elliptical agents to a predefined formation. All agents are assumed to have the same form of control law and reference formation. Only restricted communication among agents is allowed, and they can send and receive identification numbers to and from other agents in the system. The controller of each agent is established based on the midpoint derived from their neighbourhood. Second, the predefined formation is based on the displacements, which are obtained through a reference mapping. Agents can find their optimal mapping decisions based on the random

mapping algorithm. During each sampling interval, several possible mappings are generated and the sums of distances with corresponding agents under each possible mapping decision are calculated to be compared with the others. The shortest one will be chosen to be the optimal formation in the corresponding interval. Third, the collision among elliptical agents can be avoided by choosing optimal path and removing obstacle angles. A self-center-based rotation algorithm is also proposed to guarantee collision avoidance when two agents approach to each other.

**Notation.** Throughout this chapter,  $\mathbf{R}^m$  is an  $m$ th dimensional space of real numbers. For operations defined on groups,  $A = \{a_1 \ a_2 \ \dots\}$ ,  $B = \{b_1 \ b_2 \ \dots\}$ , we have  $C = A \cup B = \{c_1 \ c_2 \ \dots\}$ , where  $c \in A$  or  $c \in B$  for any  $c \in C$ .  $a!$  is factorial of  $a$ , which represents  $a \times (a - 1) \times \dots \times 2 \times 1$ . The symbol  $|\cdot|$  represents the length of a vector. For any two points  $c$  and  $d$ ,  $\overrightarrow{(c, d)}$  represents the vector between  $c$  and  $d$ . For two vectors  $e$  and  $f$ ,  $\angle e, f$  represents the angle between  $e$  and  $f$ . Matrices are assumed to be compatible for algebraic operations. If dimensions of matrices are not explicitly stated, they are assumed to be compatible for algebraic operations.

## 2.2 Preliminaries

In our formation control problem, the agents are described as ellipses, and the relevant formulas will be given.

### 2.2.1 Elliptical formula of agent shape

Consider the  $i$ th elliptical agent  $E_i \in \mathbf{R}^2$  whose heading angle is  $\phi_i \in \mathbf{R}^2$ , centered at  $(x_{i0}, y_{i0})$ , the elliptical representation is

$$E : [x, y, 1] \begin{bmatrix} \frac{\cos^2 \phi_i}{a_i^2} + \frac{\sin^2 \phi_i}{b_i^2} & \frac{\sin 2\phi_i}{a_i^2} & \frac{2A_1 \cos \phi_i}{a_i^2} \\ -\frac{\sin 2\phi_i}{b_i^2} & \frac{\sin^2 \phi_i}{a_i^2} + \frac{\cos^2 \phi_i}{b_i^2} & \frac{2A_1 \sin \phi_i}{a_i^2} \\ -\frac{2A_2 \sin \phi_i}{b_i^2} & \frac{2A_2 \cos \phi_i}{b_i^2} & \frac{A_1^2}{a_i^2} + \frac{A_2^2}{b_i^2} - 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} = 0, \quad (2.1)$$

where,  $x$  and  $y$  are horizontal axis and vertical axis for the points on the ellipse, respectively. The semi-major axis of the  $E_i$  is represented by  $a_i$ , and  $b_i$  is the semi-minor axis with

$$\begin{aligned} A_1 &= x_{i0} \cos \phi_i + y_{i0} \sin \phi_i, \\ A_2 &= -x_{i0} \sin \phi_i + y_{i0} \cos \phi_i. \end{aligned}$$

The set of points on  $E_i$  can be described below,

$$P_i = \{p_i = (x_i, y_i) | x_i = a_i \cos \theta \cos \phi_i - b_i \sin \theta \sin \phi_i + x_{i0}, \\ y_i = a_i \cos \theta \sin \phi_i + b_i \sin \theta \cos \phi_i + y_{i0}\}, \theta \in [0, 2\pi], \quad (2.2)$$

where  $x_i$  and  $y_i$  denote the coordinate of the point on  $E_i$ , and  $\theta$  is the corresponding angle for each point.

### 2.2.2 Minimum distance searching algorithm

This section presents the algorithm that calculates the minimum distance between two ellipses in a searching method. One objective of this chapter is to find the optimal path such that a group of agents achieves a predefined formation. The obstacle avoidance must be considered because of the shape of each agent. Thus, it is necessary to calculate the minimum distances among agents and the corresponding points. Also, the algorithm of calculating tangents between elliptical agents, which will be given in next subsection, need to be proposed to deal with the collision problem. The minimum distance between two ellipses is given in Figure 2.1.

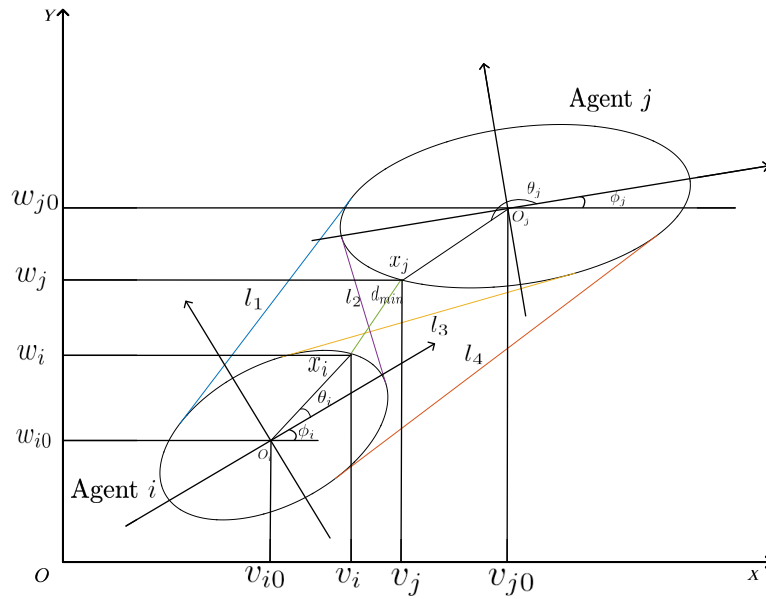


FIGURE 2.1: Minimum distance and tangents between two ellipses

The minimum distance searching algorithm is given below. Firstly, two elliptical agent  $E_i$  and  $E_j$  centered at  $(x_{i0}, y_{i0})$  and  $(x_{j0}, y_{j0})$  with heading angle  $\phi_i$  and  $\phi_j$  are defined respectively. The long axis and short axis are given as  $a_i, a_j$  and  $b_i, b_j$ , respectively. Randomly pick two points  $p_i$  and  $p_j$  as initial points on  $E_i$  and  $E_j$ . The corresponding angles can be written as  $\theta_i$  and  $\theta_j$ . To find a minimum distance between  $E_i$  and  $E_j$ , parameter  $\delta$  is employed to change the angle  $\theta_i$  and  $\theta_j$  to find the optimal points for the minimum distance. The angle set can be expressed as

$$\begin{aligned}\Theta_i &= \{\theta_i - \delta, \theta_i, \theta_i + \delta\}, \\ \Theta_j &= \{\theta_j - \delta, \theta_j, \theta_j + \delta\}.\end{aligned}\quad (2.3)$$

The minimum distance between two elliptical agents can be obtained by comparison among distances of the points based on the angle set. In (2.3), each angle set maps three points on one elliptical agent. Then the distances between each point on  $E_i$  and other three points on  $E_j$  are calculated. The

distance set in  $k$ th term can be expressed as follows,

$$\begin{aligned} D^k &= \{d_1^k, d_2^k, \dots, d_g^k\} \\ &= \{|p_i - p_j|, \theta_i \in \Theta_i, \theta_j \in \Theta_j\}, \end{aligned}$$

and the minimum distance in the  $k$ th term can be expressed as

$$\begin{aligned} d_{min}^k &= \min D^k \\ &= \min_{\theta_i \in \Theta_i, \theta_j \in \Theta_j} |p_i - p_j|. \end{aligned}$$

The values of relative angles can be returned as  $\theta_{imin}^k$  and  $\theta_{jmin}^k$ , respectively. Then, compare the relative angles with  $\Theta_i$  and  $\Theta_j$ . If

$$\begin{aligned} \theta_{imin}^k &= \theta_i, \\ \theta_{jmin}^k &= \theta_j, \end{aligned}$$

then the minimum distance between  $E_i$  and  $E_j$  is the distance between point  $p_i$  and  $p_j$ . Otherwise,  $\theta_i$  and  $\theta_j$  will be assigned to  $\theta_{imin}^k$  and  $\theta_{jmin}^k$ , respectively, and they will be the initial angles in next iteration. This algorithm will continue to loop until the suitable angles  $\theta_{imin}$  and  $\theta_{jmin}$  are found. The minimum distance between these two ellipses can be expressed as

$$d_{min} = |p_i - p_j|,$$

where  $p_i$  and  $p_j$  are obtained in (2.2), while  $\theta_i = \theta_{imin}$  and  $\theta_j = \theta_{jmin}$ .

The minimum distance searching algorithm is given follows.

---

**Algorithm 1** Minimum distance searching algorithm
 

---

**Input:**

- The coordinate of the center of agent  $E_i$ ,  $p_i^c = (x_{i0}, y_{i0})$ ;
- The coordinate of the center of agent  $E_j$ ,  $p_j^c = (x_{j0}, y_{j0})$ ;
- The long axis and short axis of agent  $E_i$ ,  $a_i$  and  $b_i$ ;
- The long axis and short axis of agent  $E_j$ ,  $a_j$  and  $b_j$ ;
- The heading angle of agent  $E_i$ ,  $\phi_i$ ;
- The heading angle of agent  $E_j$ ,  $\phi_j$ ;

**Output:**

Find the minimum distance between agent  $E_i$  and  $E_j$ ,  $d_{min}$ ;

- 1:  $[d_{min}] = \text{mindis}(a_i, b_i, a_j, b_j, p_i^c, p_j^c, \phi_i, \phi_j)$ ;
- 2: Randomly generate starting angle  $\theta_i$ ,  $\theta_i = 2 \times \pi \times \text{rand}(1)$ ;
- 3: Randomly generate starting angle  $\theta_j$ ,  $\theta_j = 2 \times \pi \times \text{rand}(1)$ ,
- 4:  $\delta = \frac{1.8}{\pi}$ ;
- 5: Calculate the initial angle set  $\Theta_i$ ,  $\Theta_i = \{\theta_i - \delta, \theta_i, \theta_i + \delta\}$ ;
- 6: Calculate the initial angle set  $\Theta_j$ ,  $\Theta_j = \{\theta_j - \delta, \theta_j, \theta_j + \delta\}$ ;
- 7: Based on the angle in angle set  $\Theta_i$ , the corresponding point on  $E_i$  can be calculated by



---

```

8:
9: for n=1:3 do
10:    $x_1(n) = a_i * \cos(\Theta_i(n)) * \cos(\phi_i) - b_i * \sin(\Theta_i(n)) * \sin(\phi_i) + x_{i0};$ 
11:    $y_1(n) = a_i * \cos(\Theta_i(n)) * \sin(\phi_i) + b_i * \sin(\Theta_i(n)) * \cos(\phi_i) + y_{i0};$ 
12: end for
13: Based on the angle in angle set  $\Theta_j$ , the corresponding point on  $E_j$  can be
    calculated by
14:
15: for n=1:3 do
16:    $x_2(n) = a_j * \cos(\Theta_j(n)) * \cos(\phi_j) - b_j * \sin(\Theta_j(n)) * \sin(\phi_j) + x_{j0};$ 
17:    $y_2(n) = a_j * \cos(\Theta_j(n)) * \sin(\phi_j) + b_j * \sin(\Theta_j(n)) * \cos(\phi_j) + y_{j0};$ 
18: end for
19: for n=1:3 do
20:   for m=1:3 do
21:      $value(n, m) = |\sqrt{(x_1(n) - x_2(m))^2 + (y_1(n) - y_2(m))^2}|;$ 
22:   end for
23: end for
24:  $value_{min} = \min value;$ 
25: if  $value_{min}$  corresponds to  $\Theta_i(2)$  and  $\Theta_j(2)$  then
26:    $d_{min} = value_{min}$ 
27: else
28:    $\theta_i$  and  $\theta_j$  are valued as the corresponded angle based on  $value_{min}$ 
29:   Find the minimum distance  $d_{min}$  until  $value_{min}$  correspond to  $\Theta_i(2)$ 
    and  $\Theta_j(2)$ ;
30: end if

```

---

The flow chart of minimum distance searching algorithm is given in Figure 2.2.

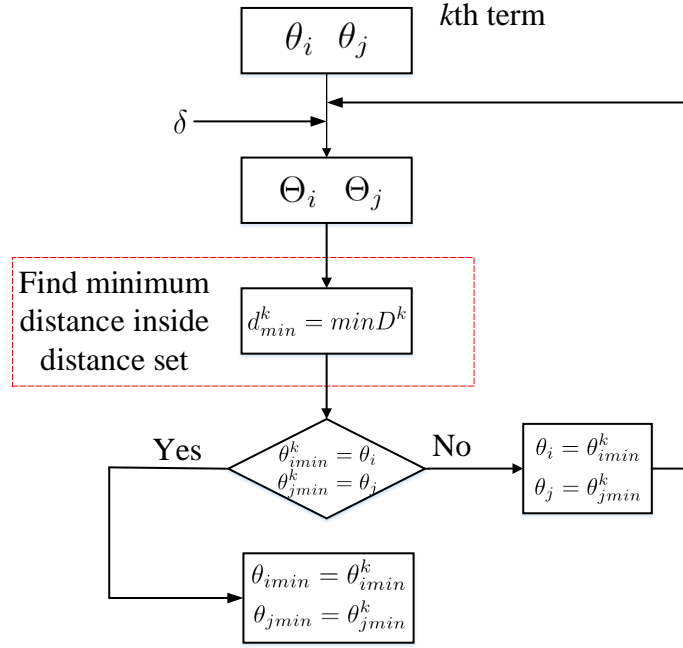


FIGURE 2.2: Flow chart of minimum distance searching algorithm

### 2.2.3 Tangents searching algorithm

The collision avoidance algorithm in this chapter is based on removing collision angles. Collision angles here mean the angles that are obtained by the tangents from one agent to other agents in the way of its path, which is between the current position of this agent and its objective position. Hence, it is important to find the tangents between two ellipses. Assume that  $P_i$  and  $P_j$  as the set of all points on agent  $E_i$  and agent  $E_j$  separately, while  $p_{itan}$  and  $p_{jtan}$  are corresponded to the specific points both on the ellipses and the tangents between two ellipses. The points  $p_{itan}$  and  $p_{jtan}$  should satisfy one of the following conditions:

If any  $p_i \in P_i$  and  $p_j \in P_j$ , then

$$\text{Condition 1 } S = \{(p_{itan} - p_{jtan})V_1(p_i - x_{jtan})^T \cup (p_{itan} - p_{jtan})V_1(p_j - p_{jtan})^T\},$$

for any  $s_1, s_2 \in S, s_1 \times s_2 \geq 0$

$$\text{Condition 2 } S = \{(p_{itan} - x_{jtan})V_2(p_i - p_{jtan})^T \cup (p_{itan} - p_{jtan})V_2(p_j - p_{jtan})^T\},$$

for any  $s_1, s_2 \in S, s_1 \times s_2 \geq 0$

$$\text{Condition 3 } S = \{(p_{itan} - p_{jtan})V_1(p_i - p_{jtan})^T \cup (p_{itan} - p_{jtan})V_2(p_j - p_{jtan})^T\},$$

for any  $s_1, s_2 \in S, s_1 \times s_2 \geq 0$

$$\text{Condition 4 } S = \{(p_{itan} - p_{jtan})V_2(p_i - p_{jtan})^T \cup (p_{itan} - p_{jtan})V_1(p_j - p_{jtan})^T\},$$

for any  $s_1, s_2 \in S, s_1 \times s_2 \geq 0$

here,  $V_1 = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$  and  $V_2 = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix}$ .

Similar to the minimum distance searching algorithm, the tangents can also be obtained by the searching algorithm. Parameter  $\delta$  is employed to vary the initial angles  $\theta_{in}$  and  $\theta_{jn}$  in  $E_i$  and  $E_j$ . The angle set can be defined as  $\Theta_i = \{\theta_{in} - \delta, \theta_{in}, \theta_{in} + \delta\}$  and  $\Theta_j = \{\theta_{jn} - \delta, \theta_{jn}, \theta_{jn} + \delta\}$ . In each iteration, three points on each ellipses will be found based on the angle set. Nine lines between these relative points are defined as  $L : \{(p_i - p_j), \theta_i \in \Theta_i, \theta_j \in \Theta_j\}$ . The line between unchanged points is called initial line  $l_{in}$ . If  $l_{in}$  satisfies one of the condition, it can be seen as one of the possible tangents, while  $\theta_{ifinal} = \theta_{in}$  and  $\theta_{jfinal} = \theta_{jn}$ . Otherwise, the points corresponded to the satisfied line would be picked to enter another loop. The algorithm will terminate if all corresponding lines are founded. The tangents searching algorithm under condition 1 is given as follows:

---

**Algorithm 2** Tangents searching algorithm under condition 1

---

**Input:**

- The coordinate of the center of agent  $E_i$ ,  $p_i^c = (x_{i0}, y_{i0})$ ;
- The coordinate of the center of agent  $E_j$ ,  $p_j^c = (x_{j0}, y_{j0})$ ;
- The long axis and short axis of agent  $E_i$ ,  $a_i$  and  $b_i$ ;
- The long axis and short axis of agent  $E_j$ ,  $a_j$  and  $b_j$ ;
- The heading angle of agent  $E_i$ ,  $\phi_i$ ;
- The heading angle of agent  $E_j$ ,  $\phi_j$ ;

**Output:**

- Find the tangent points between agent  $E_i$  and  $E_j$  under condition 1,  $p_{itan} = (x_{itan}, y_{itan})$  and  $p_{jtan} = (x_{jtan}, y_{jtan})$ ;
- 1: Randomly generate starting angle  $\theta_{in}$ ,  $\theta_{in} = 2 \times \pi \times rand(1)$ ;
- 2: Randomly generate starting angle  $\theta_{jn}$ ,  $\theta_{jn} = 2 \times \pi \times rand(1)$ ;
- 3:  $\delta = \frac{1.8}{\pi}$ ;
- 4:  $[p_{itan}, p_{jtan}, \theta_{in}, \theta_{jn}] = tangent(a_i, b_i, a_j, b_j, p_i^c, p_j^c, \phi_i, \phi_j, \theta_{in}, \theta_{jn})$ ;
- 5: Calculate the initial angle set  $\Theta_{in}$ ,  $\Theta_{in} = \{\theta_{in} - \delta, \theta_{in}, \theta_{in} + \delta\}$ ;
- 6: Calculate the initial angle set  $\Theta_{jn}$ ,  $\Theta_{jn} = \{\theta_{jn} - \delta, \theta_{jn}, \theta_{jn} + \delta\}$ ;
- 7: Based on the angle in angle set  $\Theta_i$ , the corresponding point on  $E_i$  can be calculated by
- 8:
- 9: **for n do=1:3**
- 10:  $x_1(n) = a_i * \cos(\Theta_{in}(n)) * \cos(\phi_i) - b_i * \sin(\Theta_{in}(n)) * \sin(\phi_i) + x_{i0}$ ;
- 11:  $y_1(n) = a_i * \cos(\Theta_{in}(n)) * \sin(\phi_i) + b_i * \sin(\Theta_{in}(n)) * \cos(\phi_i) + y_{i0}$ ;
- 12: **end for**
- 13: Based on the angle in angle set  $\Theta_j$ , the corresponding point on  $E_j$  can be calculated by
- 14:
- 15: **for n do=1:3**
- 16:  $x_2(n) = a_j * \cos(\Theta_{jn}(n)) * \cos(\phi_j) - b_j * \sin(\Theta_{jn}(n)) * \sin(\phi_j) + x_{j0}$ ;

```

17:    $y_2(n) = a_j * \cos(\Theta_{jn}(n)) * \sin(\phi_j) + b_j * \sin(\Theta_{jn}(n)) * \cos(\phi_j) + y_{j0}$ ;
18: end for
19: if check01( $[x_1(2), y_1(2)], [x_2(2), y_2(2)], [x_1, y_1], [x_2, y_2]$ ) then
20:    $p_{itan} = (x_1(2), y_1(2))$ ;
21:    $p_{jtan} = (x_2(2), y_2(2))$ ;
22: else if check01( $[x_1(1), y_1(1)], [x_2(1), y_2(1)], [x_1, y_1], [x_2, y_2]$ ) then
23:    $\theta_{in} = \Theta_{in}(1)$ ;
24:    $\theta_{jn} = \Theta_{jn}(1)$ ;
25:    $[p_{itan}, p_{jtan}, \theta_{in}, \theta_{jn}] = \text{tangent}(a_i, b_i, a_j, b_j, p_i^c, p_j^c, \phi_i, \phi_j, \theta_{in}, \theta_{jn})$ ;
26: else if check01( $[x_1(1), y_1(1)], [x_2(2), y_2(2)], [x_1, y_1], [x_2, y_2]$ ) then
27:    $\theta_{in} = \Theta_{in}(1)$ ;
28:    $\theta_{jn} = \Theta_{jn}(2)$ ;
29:    $[p_{itan}, p_{jtan}, \theta_{in}, \theta_{jn}] = \text{tangent}(a_i, b_i, a_j, b_j, p_i^c, p_j^c, \phi_i, \phi_j, \theta_{in}, \theta_{jn})$ ;
30: else if check01( $[x_1(1), y_1(1)], [x_2(3), y_2(3)], [x_1, y_1], [x_2, y_2]$ ) then
31:    $\theta_{in} = \Theta_{in}(1)$ ;
32:    $\theta_{jn} = \Theta_{jn}(3)$ ;
33:    $[p_{itan}, p_{jtan}, \theta_{in}, \theta_{jn}] = \text{tangent}(a_i, b_i, a_j, b_j, p_i^c, p_j^c, \phi_i, \phi_j, \theta_{in}, \theta_{jn})$ ;
34: else if check01( $[x_1(2), y_1(2)], [x_2(1), y_2(1)], [x_1, y_1], [x_2, y_2]$ ) then
35:    $\theta_{in} = \Theta_{in}(2)$ ;
36:    $\theta_{jn} = \Theta_{jn}(1)$ ;
37:    $[p_{itan}, p_{jtan}, \theta_{in}, \theta_{jn}] = \text{tangent}(a_i, b_i, a_j, b_j, p_i^c, p_j^c, \phi_i, \phi_j, \theta_{in}, \theta_{jn})$ ;
38: else if check01( $[x_1(2), y_1(2)], [x_2(3), y_2(3)], [x_1, y_1], [x_2, y_2]$ ) then
39:    $\theta_{in} = \Theta_{in}(2)$ ;
40:    $\theta_{jn} = \Theta_{jn}(3)$ ;
41:    $[p_{itan}, p_{jtan}, \theta_{in}, \theta_{jn}] = \text{tangent}(a_i, b_i, a_j, b_j, p_i^c, p_j^c, \phi_i, \phi_j, \theta_{in}, \theta_{jn})$ ;
42: else if check01( $[x_1(3), y_1(3)], [x_2(1), y_2(1)], [x_1, y_1], [x_2, y_2]$ ) then
43:    $\theta_{in} = \Theta_{in}(3)$ ;
44:    $\theta_{jn} = \Theta_{jn}(1)$ ;
45:    $[p_{itan}, p_{jtan}, \theta_{in}, \theta_{jn}] = \text{tangent}(a_i, b_i, a_j, b_j, p_i^c, p_j^c, \phi_i, \phi_j, \theta_{in}, \theta_{jn})$ ;
46: else if check01( $[x_1(3), y_1(3)], [x_2(2), y_2(2)], [x_1, y_1], [x_2, y_2]$ ) then
47:    $\theta_{in} = \Theta_{in}(3)$ ;
48:    $\theta_{jn} = \Theta_{jn}(2)$ ;
49:    $[p_{itan}, p_{jtan}, \theta_{in}, \theta_{jn}] = \text{tangent}(a_i, b_i, a_j, b_j, p_i^c, p_j^c, \phi_i, \phi_j, \theta_{in}, \theta_{jn})$ ;
50: else if check01( $[x_1(3), y_1(3)], [x_2(3), y_2(3)], [x_1, y_1], [x_2, y_2]$ ) then
51:    $\theta_{in} = \Theta_{in}(3)$ ;
52:    $\theta_{jn} = \Theta_{jn}(3)$ ;
53:    $[p_{itan}, p_{jtan}, \theta_{in}, \theta_{jn}] = \text{tangent}(a_i, b_i, a_j, b_j, p_i^c, p_j^c, \phi_i, \phi_j, \theta_{in}, \theta_{jn})$ .
54: end if

```

The checking algorithm under condition 1 is given in Algorithm 3.

---

### Algorithm 3 Checking condition 1

---

**Input:**

The point for checking on  $E_i$ ,  $p_{itan} = (x_{itan}, y_{itan})$ ;

The point for checking on  $E_j$ ,  $p_{jtan} = (x_{jtan}, y_{jtan})$ ;

The long axis and short axis of  $E_i$ ,  $a_i$  and  $b_i$ ;

The long axis and short axis of  $E_j$ ,  $a_j$  and  $b_j$ ;

The heading angles of  $E_i$  and  $E_j$ ,  $\phi_i$  and  $\phi_j$ ;

**Output:**

Find if the points for checking satisfy condition 1, *true*;

1: **for**  $n=1:3$  **do**

2:  $value_1(n) = (p_{itan} - p_{jtan}) * \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} * ([x_1(n), y_1(n)] - p_{jtan})^T$ ;

3: **end for**

4: **for**  $n=1:3$  **do**

5:  $value_2(n) = (p_{itan} - p_{jtan}) * \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} * ([x_2(n), y_2(n)] - p_{jtan})^T$ ;

6: **end for**

7:  $true = (\sum value_1 < 0 == 0) \text{ and } (\sum value_2 < 0 == 0)$  ;

The flow chart of left tangent searching algorithm is shown in Figure 2.3, and other three tangents searching methods are similar with tangents searching algorithm of left tangent. Four tangents between two ellipses are given in Figure 2.1.

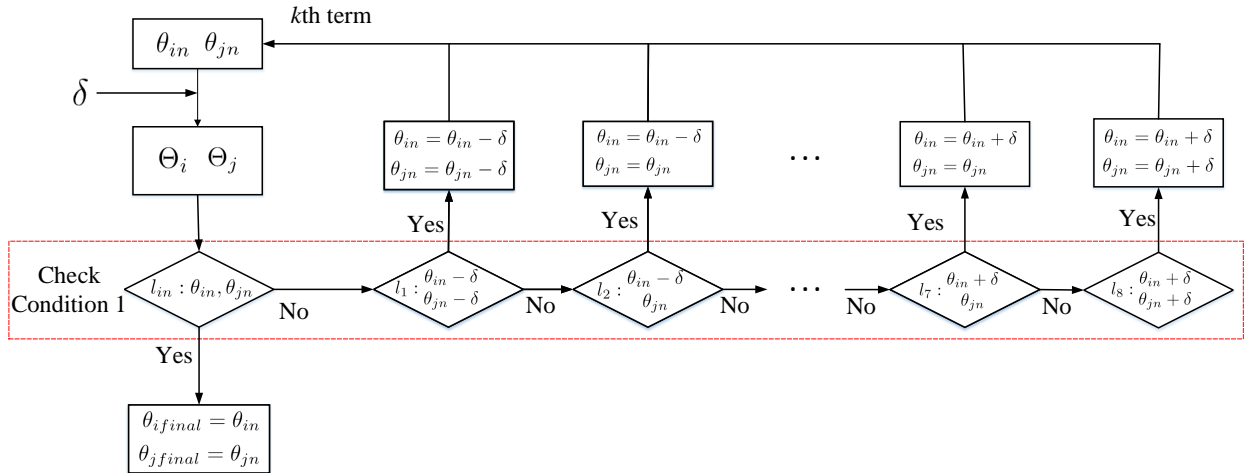


FIGURE 2.3: Flow chart of tangents searching algorithm under Condition 1

## 2.3 Formation control design

### 2.3.1 Problem statement

The objective of this chapter is to drive the elliptical agents to the desired formation based on the reference map. Assume that there are  $N$  robots in the multi-agent system. The set of the agents is written as

$$E = \{E_1 \ E_2 \ E_3 \dots E_N\}. \quad (2.4)$$

The centering points of the individual agents are

$$P^c = \{p_1^c \ p_2^c \ \dots \ p_N^c\}. \quad (2.5)$$

The point set on the edge of the elliptical agents is defined as

$$P = \{P^{E_1} \ P^{E_2} \ P^{E_3} \ \dots \ P^{E_N}\}. \quad (2.6)$$

Each agent in the group is modeled in first-order dynamics. The centering point of  $E_i$  can be represented as  $p_i^c$  based on (2.5). Therefore, the dynamic of agent  $E_i$  is defined as

$$\begin{bmatrix} \dot{p}_i^c \\ \dot{\phi}_i \end{bmatrix} = \begin{bmatrix} u_i \\ u_{\phi_i} \end{bmatrix}. \quad (2.7)$$

The position control input vector for agent  $E_i$  is represented as  $u_i = [u_{x_{i0}}, u_{y_{i0}}]$ , while  $p_i^c = [x_{i0}, y_{i0}]$  denotes the centering position of agent  $E_i$ . The heading angle control input for agent  $E_i$  is represented as  $u_{\phi_i}$ , while  $\phi_i$  denotes the heading angle of agent  $E_i$ . The position control  $u_i$  will be designed in Section 2.3.2, while the heading angle control  $u_{\phi_i}$  is obtained by the self-center-base rotation algorithm in Section 2.3.5.

The following assumptions are imposed throughout the chapter to develop our main results in sequel.

**Assumption 1.** *All agents can move in any 2D directions.*

Note that, by Assumption 1, there is no fixed direction towards which agents are forced to move or rotate. Agent can move along the direction that is planned by the mapping and collision avoidance algorithm without rotation. Rotation control for agent is considered for collision avoidance among agents, and an agent rotates by itself whenever it is too close to another agent. In the process, heading angle  $\phi$  has to be changed to enlarge the minimum distance between two elliptical agents.

Regarding the sensing ability and avoidance range among agents, we introduce the following assumption.

**Assumption 2.**

*For any  $p_{sen} \in P_{sen}$ , there is a corresponding  $p_i \in P_i$  that satisfies*

$$|p_i - p_{sen}| \leq R_{sen}.$$

*For any  $p_{avo} \in P_{avo}$ , there is a corresponding  $p_i \in P_i$  that satisfies*

$$|p_i - p_{avo}| \leq R_{avo}.$$

The center of sensing area and avoidance area of agent  $E_i$  is represented by  $p_i$ , while  $p_{sen}$  and  $p_{avo}$  represent the points on sensing ellipse and avoidance ellipse respectively. The points set on sensing ellipse are represented as  $P_{sen}$ , while points set on avoidance ellipse are represented as  $P_{avo}$ . The radius of sensing range and avoidance range are  $R_{sen}$  and  $R_{avo}$ , respectively. Notice that Assumption 2 specifies the sensing ability and the avoidance range for

each agent. Displacement sensors on agents  $E_i$  and  $E_j$  have circular ranges, which are centered at  $p_i$  and  $p_j$ , while  $p_i$  and  $p_j$  are distributed in the edge of each agent. The sensing area and avoidance area are enclosed by oval surface, as shown in Figure 2.4. Each agent has the same sensing range  $R_{sen}$  and avoidance range  $R_{avo}$ . Based on  $E$ ,  $R_{sen}$  and  $R_{avo}$ , agent could have its own neighbourhood and avoidance group as,

$$H_i = \{H_{E_1}(R_{sen}) \quad H_{E_2}(R_{sen}) \quad \dots \},$$

$$H_{E_i}(R_{sen}) = \{E_1^{H_{E_i}(R_{sen})} \quad E_2^{H_{E_i}(R_{sen})} \quad \dots \}, \quad (2.8)$$

$$A_i = \{A_{E_1}(R_{avo}) \quad A_{E_2}(R_{avo}) \quad \dots \},$$

$$A_{E_i}(R_{avo}) = \{E_1^{A_{E_i}(R_{avo})} \quad E_2^{A_{E_i}(R_{avo})} \quad \dots \}, \quad (2.9)$$

where for  $p \in P^{E_i}$ ,  $p' \in P^{H_{E_i}(R_{sen})}$ , if  $|p - p'| \leq R_{sen}$ ; for  $p \in P^{E_i}$ ,  $p' \in P^{A_{E_i}(R_{avo})}$ , if  $|p - p'| \leq R_{avo}$ . In Figure 2.4, for example, the neighbourhood set of  $E_1$  is  $H_{E_1}(R_{sen}) = \{E_3, E_4\}$ , and its avoidance group is  $A_{E_1}(R_{avo}) = \{E_4\}$ .

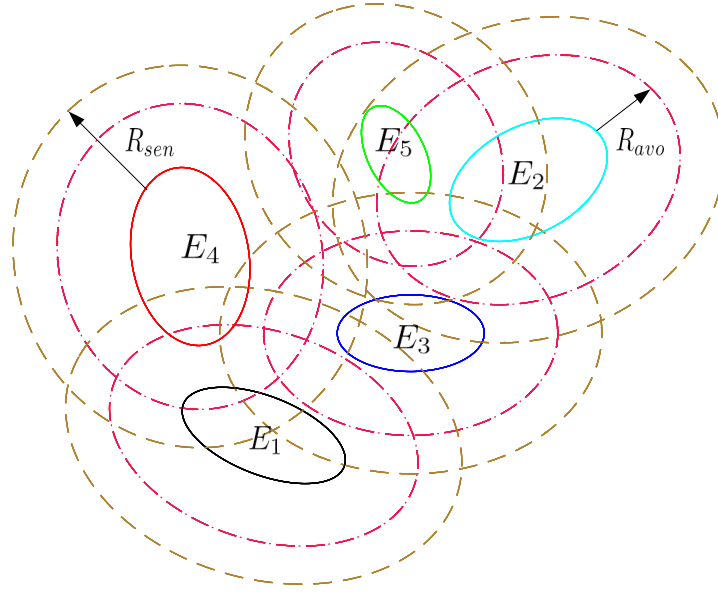


FIGURE 2.4: Formation setup

**Assumption 3.** For any  $p_i \in P_i$  on agent  $E_i$  and any  $p_j \in P_j$  on agent  $E_j$ , at the initial time  $t_0$ , the following condition holds

$$|p_i - p_j| \geq \varepsilon,$$

where  $\varepsilon$  is a positive constant.

It can be seen from Assumption 3 that agents in the group cannot collide with each other in initial state, while  $\varepsilon$  is big enough to guarantee the initial distances among agents.

We also have the following assumption on the agents.

**Assumption 4.** Agents can figure out the center of other agents, at least a portion of which is within their sensing ranges.

This assumption implies that agents, that cannot be sensed as a whole by agent  $E_i$ , can also be considered as the neighbouring agents of  $E_i$  and added in  $N_{E_i}(R_{sen})$  if at least a portion of them is within the sensing range.

**Formation objective.** Under Assumptions 1, 2, 3 and 4, for each agent, design a control law  $u_i$ , such that all agents can conform to the formation based on the reference map  $F$  without collision with the rest of the agents in the group. The reference map  $F$  is defined as

$$F = \{f_1 \ f_2 \ \dots \ f_n\}, \quad (2.10)$$

where  $f' \in \mathbf{R}^2$  for any  $f' \in F$ . The set of displacements of  $f_i$  is defined as  $\bar{F}_{f_i}$  based on  $F$  and is given as

$$\bar{F}_{f_i} = \{f_1^{\bar{F}_{f_i}} \ f_2^{\bar{F}_{f_i}} \ \dots\},$$

where for any  $f \in F$ ,  $f - f_i \in \bar{F}_{f_i}$ . This means that agents can achieve the desired formation based on the relative displacements obtained from  $F$ , rather than move to some fixed positions in the 2D space. In other words, we design  $u_i$  such that

$$\lim_{t \rightarrow \infty} (p_i^c - p_j^c) = f_m^{\bar{F}_{f_i}} \neq 0, \quad (2.11)$$

where  $p_i^c, p_j^c \in P^c$ ,  $f_m^{\bar{F}_{f_i}} \in \bar{F}_{f_i}$ , and  $0 \leq t_0 \leq t$ .

### 2.3.2 Controller design

In this section, a controller is proposed to ensure the establishment of the desired formation. Using (2.10), reference map  $F$  can be mapped to agents based on the displacements from their neighbourhood. The relative point of agent  $E_i$  in  $F$  is represented as  $f_i \in F$ . The controller for each agent is built based on desired formation, excluding the interferences from collision avoidance to another agents. The expectation point  $g_i$  in the desired formation for agent  $E_i$  should be obtained by its neighbourhood  $H_{E_i}(R_{sen})$  and  $F$ . Based on  $H_{E_i}(R_{sen})$  and  $f_i$ , controller on agent  $E_i$  generates a momentum  $u_i$  under which agent  $E_i$  is expected to gradually reach its desired position  $g_i$ . For any  $E_i \in E$ , the agent formation will become stable when  $u_i = 0$ . The control of each agent in the group is discussed in two situations below.

The controller of an agent with one neighbour is shown in Figure 2.5. It is clear that  $H_{E_i}(R_{sen}) = \{E_j\}$ , and  $O_{ij}$  is the midpoint between  $E_i$  and  $E_j$  centred at  $p_i^c, p_j^c \in P^c$ , respectively. The relative points mapping in reference formation  $F$  are  $f_i$  and  $f_j$ . The midpoint between them is  $O_{f_{ij}}$ . The expectation point for  $E_i$  in the objective map is  $g_i$ . Without considering interferences from outside, we have

$$\begin{aligned} \overrightarrow{(O_{f_{ij}}, O_{ij})} &= \overrightarrow{(f_i, g_i)}, \\ \overrightarrow{(O_{f_{ij}}, f_i)} &= \overrightarrow{(O_{ij}, g_i)}, \end{aligned} \quad (2.12)$$



where  $O_{ij} = \frac{p_i^c + p_j^c}{2}$ ,  $O_{f_{ij}} = \frac{f_i + f_j}{2}$ , which means that the length and center point constituted by  $f_i$  and  $f_j$  is not changed in desired formation. According to (11), controller on agent  $E_i$  generates a momentum under which agent  $E_i$  is expected to gradually reach its desired position  $g_i$  in the objective map. The coordinate of  $g_i = (x_{g_i}, y_{g_i})$  can be found from

$$\begin{aligned} g_i &= f_i + \overrightarrow{(O_{f_{ij}}, O_{ij})} \\ &= f_i + (O_{ij} - O_{f_{ij}}). \end{aligned} \quad (2.13)$$

The control momentum for  $E_i$  takes the form as

$$u_i = \overrightarrow{(p_i^c, g_i)} = g_i - p_i^c. \quad (2.14)$$

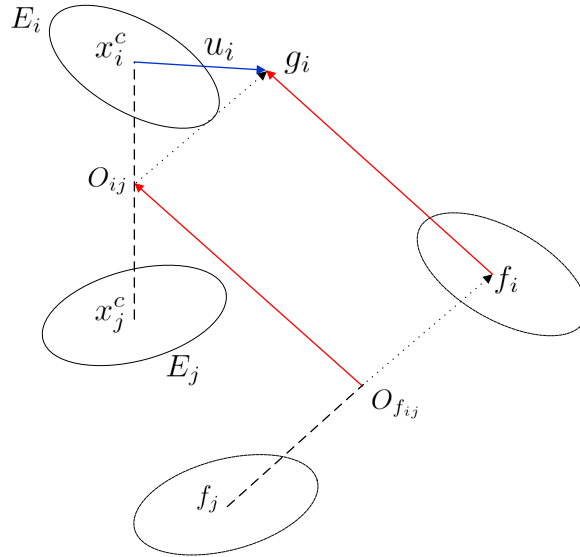


FIGURE 2.5: Agent with one neighbour

The second situation is when an agent has two or more neighbours. The agent and its neighbours form an area instead of a line. In Figure 2.6, it can be seen that the neighbourhood of  $E_i$  is  $H_{E_i}(R_{sen}) = \{E_j, E_k\}$ , which are centered at  $p_i^c, p_j^c, p_k^c \in P^c$ . The relative points in reference map  $F$  are represented by  $f_i, f_j$  and  $f_k$ , respectively. Centers of these agents form a triangle area, which has a center  $O_{ijk} = \frac{x_i^c + x_j^c + x_k^c}{3}$ . Correspondingly, the center of  $f_i, f_j$  and  $f_k$  is written as  $O_{f_{ijk}} = \frac{f_i + f_j + f_k}{3}$ , while  $O_{ijk}, O_{f_{ijk}}, p_i^c$  and  $g_i$  satisfy

$$\begin{aligned} \overrightarrow{(O_{f_{ijk}}, O_{ijk})} &= \overrightarrow{(f_i, g_i)}, \\ \overrightarrow{(O_{f_{ijk}}, f_i)} &= \overrightarrow{(O_{ijk}, g_i)}. \end{aligned} \quad (2.15)$$

The coordinate of  $g_i = (x_{g_i}, y_{g_i})$  is given as

$$\begin{aligned} g_i &= f_i + \overrightarrow{(O_{f_{ijk}}, O_{ijk})} \\ &= f_i + (O_{ijk} - O_{f_{ijk}}). \end{aligned} \quad (2.16)$$

The control momentum for  $E_i$  is given as

$$u_i = \overrightarrow{(p_i^c, g_i)} = g_i - p_i^c. \quad (2.17)$$

Hence, based on (2.13) and (2.16), the desired position  $g_i$  for agent  $E_i$  is obtained by

$$\begin{aligned} g_i &= f_i + \overrightarrow{(O_{f_i}, O_i)} \\ &= f_i + (O_i - O_{f_i}). \end{aligned} \quad (2.18)$$

The general control momentum for agent  $E_i$  is proposed as

$$u_i = \overrightarrow{(p_i^c, g_i)} = g_i - p_i^c, \quad (2.19)$$

where  $O_{f_i}$  and  $O_i$  are derived from  $H_{E_i}(R_{sen})$  and  $F$ .

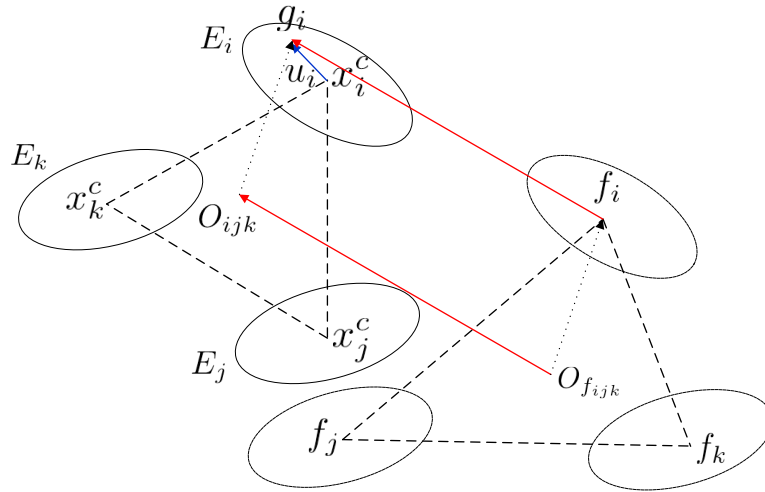


FIGURE 2.6: Controller for agent with two or more neighbours

It can be seen in (2.18) that target position for each agent is obtained from the relative position in reference formation and midpoint of the area constituted by the agent and its neighbours. Meanwhile, the controller for each agent is designed based on target position and its own location.

### 2.3.3 Random mapping algorithm

To find the optimal mapping for each agent, a random mapping algorithm is built to optimize mapping decisions for the agents. The relative position

$f_i$  for agent  $E_i$  can be found from the random mapping algorithm to obtain desired position  $g_i$  of  $E_i$ . The random mapping algorithm will be given in following steps. In the  $k$ th iteration of the operation of whole algorithm,  $\eta$  mapping decisions are generated randomly, and can be defined as

$$\begin{aligned} R^k &= \{r_1^k \ r_2^k \ \dots \ r_\eta^k\}, \\ r_s^k &= \{r_s^k(E_1) \ r_s^k(E_2) \ \dots \ r_s^k(E_n)\}, 1 \leq s \leq \eta. \end{aligned} \quad (2.20)$$

Here,  $R^k$  is the set of the generated mapping decisions, in which  $r_s^k$  is sth mapping decision. The element in  $r_s^k$  corresponds to agents in the reference map. The mapping pool in the  $k$ th iteration is

$$M^k = \{R^k \ r_{op}^{k-1}\}, \quad (2.21)$$

where  $r_{op}^{k-1}$  is the optimal mapping decision in the  $(k-1)$ th iteration. The spacings between agents and mapping positions are calculated based on  $r_s^k$ . The sum of corresponding distances set  $L^k$  in the  $k$ th term can be written as

$$\begin{aligned} L^k &= \{L_1^k \ L_2^k \ \dots \ L_{\eta+1}^k\}, \\ L_s^k &= \sum_{1 \leq i \leq N} |p_i^c - g_s^k(E_i)|, 1 \leq s \leq \eta + 1. \end{aligned} \quad (2.22)$$

In (2.22),  $L_s^k$  is the sum of distances between agents' current positions and their desired positions based on  $r_s^k$ . The desired position for agent  $E_i$  in sth mapping decision is represented by  $g_s^k(E_i)$  that can be calculated by (2.18). Based on (2.5) and (2.22), the sum of distances of the agents is obtained and compared. Optimal mapping decision  $r_{op}^k$  is the mapping decision corresponding to minimum component in  $L^k$ . This mapping algorithm will be terminated when desired formation establishes. Algorithm 4 illustrates the random mapping algorithm.

---

**Algorithm 4** Random mapping algorithm in the  $k$ th iteration

---

**Input:**

The current positions of the group of elliptical agents in the  $k-1$ th iteration,  $P^c = \{p_1^c \ p_2^c \ \dots \ p_n^c\}$ ;

The optimal mapping in the  $k-1$ th iteration,  $r_{op}^{k-1}$ ;

**Output:**

Find the optimal mapping in the  $k$ th iteration,  $r_{op}^k$ ;

- 1: Generate  $\eta$  mappings randomly,  $R^k = \{r_1^k \ r_2^k \ \dots \ r_\eta^k\}$ ;
- 2: Set up the mapping set  $M^k = \{R^k \ r_{op}^{k-1}\}$ ;
- 3: Calculate the corresponding desired positions based on  $M^k$ , the desired position of agent  $E_i$   $g_s^k(E_i)$  based on the sth mapping in  $M^k$ ;
- 4: Calculate the sum of corresponding distances set in the  $k$ th based on the mapping in  $M^k$ ;
- 5:  $L_s^k = \sum_{1 \leq i \leq N} |p_i^c - g_s^k(E_i)|, 1 \leq s \leq \eta + 1$ ;

- 6: Find the minimum value from  $L^k = \{L_1^k L_2^k \cdots L_{\eta+1}^k\}$ ;
- 7: The optimal mapping in the  $k$ th iteration  $r_{op}^k$  is obtained based on the minimum value of  $L^k$ .

The flow chart of the mapping algorithm is shown in Figure 2.7.

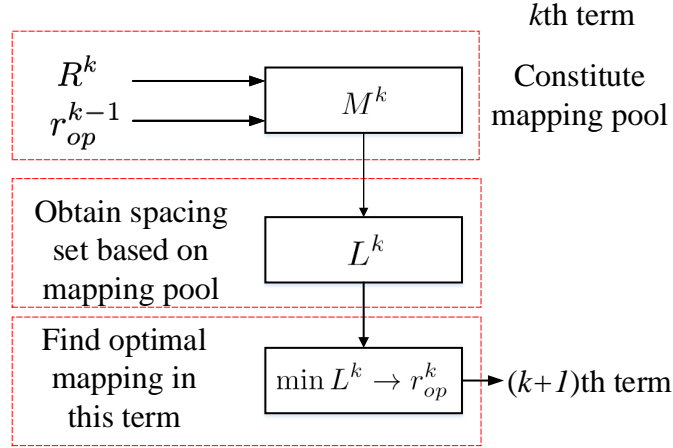


FIGURE 2.7: Random mapping algorithm

**Remark 1.** It should be emphasized that the more random mappings are selected in each updated iteration, the less iterations is required to find the global optimal mapping decision. Here, global optimal mapping decision represents the optimal mapping decision of all possible mappings. Assume there are  $n$  agents in the system. The number of possible mapping is given as  $n!$ . The probability of the optimal mapping decision to be found by one selection is  $\frac{1}{n!}$ . When  $\eta$  random mappings are selected in one term, the probability of choosing the optimal mapping decision becomes  $\eta \times \frac{1}{n!}$ . When  $\eta$  increases, the convergence rate to achieve the global optimal mapping decision becomes larger.

### 2.3.4 Collision avoidance algorithm

The collision avoidance is used to ensure that agents can move to the desired position without any collision, causing by the sizes of agents. In this section, a collision avoidance algorithm for elliptical agents is proposed. The algorithm is based on the avoidance group of each agent and avoidance angles. Here, avoidance group of an agent is the agent set of other agents in the group within its avoidance range. Collision angles represent the angles between two insect tangents of agent and agents within its avoidance group. Tangents among each agent can be obtained based on the tangents searching algorithm given in Section 2.3.

For an agent  $E_i \in E$ , its avoidance range  $A_{E_i}(R_{avo})$  is defined in (2.9). Let  $E_j \in A_{E_i}(R_{avo})$ . The intersect tangents between  $E_i$  and  $E_j$  are  $l_2^{ij}$  and  $l_3^{ij}$ , respectively. Tangent points on each agent are denoted by  $p_i^{l_2^{ij}}$ ,  $p_i^{l_3^{ij}}$ ,  $p_j^{l_2^{ij}}$  and  $p_j^{l_3^{ij}}$ ,

respectively. Then, the vectors of these two tangents are defined as  $\overrightarrow{(p_i^{l2}, p_j^{l2})}$  and  $\overrightarrow{(p_i^{l3}, p_j^{l3})}$ . It follows that the collision angle between these two tangents is

$$\begin{aligned}\psi_{ij} &= \langle \overrightarrow{(p_i^{l2}, p_j^{l2})}, \overrightarrow{(p_i^{l3}, p_j^{l3})} \rangle \\ &= \arccos\left(\frac{(p_j^{l2} - p_i^{l2}) \cdot (p_j^{l3} - p_i^{l3})}{|p_j^{l2} - p_i^{l2}| |p_j^{l3} - p_i^{l3}|}\right).\end{aligned}\quad (2.23)$$

The avoidance angle set for  $E_i$  is obtained as

$$\Psi_i = \{\psi_{ij}, E_j \in A_{E_i}(R_{avo})\}.\quad (2.24)$$

Then the angle of initial control monument  $u_i$  obtained in (2.19) should be checked with  $\Psi_i$ . First, for any  $E_j \in A_{E_i}(R_{avo})$ , midline between two intersect tangents need to be calculated as follow,

$$m_{ij} = \frac{\overrightarrow{(p_i^{l2}, p_j^{l2})}}{|(p_i^{l2}, p_j^{l2})|} + \frac{\overrightarrow{(p_i^{l3}, p_j^{l3})}}{|(p_i^{l3}, p_j^{l3})|}.\quad (2.25)$$

The set of midline of  $E_i$  based on  $A_{E_i}(R_{avo})$  and  $\Psi_i$  is written as

$$M_i = \{m_{ij}, E_j \in A_{E_i}(R_{avo})\}.\quad (2.26)$$

The angles between  $u_i$  and  $m_{ij} \in M_i$  can be worked out as

$$\begin{aligned}\varphi_{ij} &= \langle u_i, m_{ij} \rangle \\ &= \arccos\left(\frac{u_i \cdot m_{ij}}{|u_i| |m_{ij}|}\right).\end{aligned}\quad (2.27)$$

The set of  $\varphi_{ij}$  is given as

$$\Omega_i = \{\varphi_{ij}, E_j \in A_{E_i}(R_{avo})\}.\quad (2.28)$$

To correct the control momentum  $u_i$  based on collision avoidance, the comparison between  $\frac{\psi_{ij}}{2}$  and  $\varphi_{ij} \in \Omega_i$  has to be given. If all  $\varphi_{ij} \geq \frac{\psi_{ij}}{2}$ ,  $\varphi_{ij} \in \Omega_i$ ,  $\psi_{ij} \in \Psi_i$ , this means the moving direction of  $E_i$  is out of the possible avoidance areas which are constituted by intersect tangents, and the agent can move based on  $u_i$ . The desired position  $g_i$  in (2.18) will not be changed. If there is  $\varphi_{ij} < \frac{\psi_{ij}}{2}$ ,  $\varphi_{ij} \in \Omega_i$ ,  $\psi_{ij} \in \Psi_i$ , then control monument  $u_i$  will move through possible avoidance area. In this situation, to avoid possible collision between agents, center  $O_i$  in (2.18) has to be changed. The desired position for  $E_i$  has to be changed based on  $O_i$ , and a modified control algorithm should be given. The changing  $g_i$  of agent  $E_i$  is represented by  $g_i^d$ , and the

modified control monument is represented by  $u_i^d$ . To find  $u_i^d$ , the minimum angle between  $u_i$  and tangents of  $E_i$  is given as

$$\vartheta_i = \min \langle u_i, \overrightarrow{(p_i^{lj}, p_j^{lk})} \rangle, \quad (2.29)$$

where  $k = 2, 3$ , and  $E_j$  satisfies  $\varphi_{ij} < \frac{\psi_{ij}}{2}$ . The corresponding angle of control momentum for  $E_i$  is changed to the angle of vector  $\overrightarrow{(p_i^{lk}, p_l^{ll})}$ , which corresponds to  $\vartheta_i$ . Here,  $p_l^{ll}$  is with respect to the agent  $E_l$  based on  $\vartheta_i$ . The value of  $k$  corresponds to  $\vartheta_i$ . The length of control vector  $u_i^d$  is given as

$$|u_i^d| = \zeta(d_{il}^{min} - d_{stop}), \quad (2.30)$$

where  $d_{il}^{min}$  is the minimum distance between  $E_i$  and  $E_l$ , which can be obtained by minimum distance searching algorithm in Section 2.2, while  $\zeta$  is a positive coefficient, and  $0 < \zeta \leq 1$ . A default distance  $d_{stop}$  is given in order to prevent collision further. Agents will stop moving when their minimum distance  $d_{min}^{il} \leq d_{stop}$ . Based on (2.19), (2.29) and (2.30), the developed control monument can be formed as

$$u_i^d = |u_i^d| \frac{(p_i^{lk}, p_l^{ll})}{|(p_i^{lk}, p_l^{ll})|}, \quad (2.31)$$

while agent  $E_l$  and  $k$  are corresponding to  $\vartheta_i$ . The changed desired position  $g_i^d$  for  $E_i$  can be formed as

$$g_i^d = p_i^c + u_i^d. \quad (2.32)$$

**Remark 2.** According to (2.30),  $\zeta$  is relevant to the length of  $u_i^d$ , which leads to the moving distance that an agent intends to go. Agent  $E_l$  is the nearest to  $E_i$  in the direction  $u_i$ , and  $E_l$  also travels among  $\overrightarrow{(p_l^{lk}, p_l^{ll})}$ . To avoid collision between agents  $E_i$  and  $E_l$ , the distance  $d_{il}$  should be ensured to be smaller than  $d_{il}^{min}$ , while  $d_{il}$  is the sum of the distance, which  $E_i$  and  $E_l$  travel, projected onto the direction of  $d_{il}^{min}$ . In (2.30),  $\zeta$  and  $d_{stop}$  are used to avoid collision among agents, while  $d_{min}^{il} - d_{stop}$  is defined as the safety distance between agents  $E_i$  and  $E_j$ . It can be seen from (2.30) that

$$d_{il} = |u_i^d| \times \alpha_i + |u_l^d| \times \alpha_l, \quad (2.33)$$

where

$$\alpha_i = \langle u_i^d, d_{il}^{min} \rangle = \arccos\left(\frac{u_i^d \cdot d_{il}^{min}}{|u_i^d| |d_{il}^{min}|}\right),$$

$$\alpha_l = \langle u_l^d, d_{li}^{min} \rangle = \arccos\left(\frac{u_l^d \cdot d_{li}^{min}}{|u_l^d| |d_{li}^{min}|}\right).$$

It is clear that,  $|d_{il}^{min}| = |d_{li}^{min}|$ . To avoid the collision between agent  $E_i$  and  $E_l$ , the travel distance  $d_{il}$  should be satisfied

$$d_{il} < d_{il}^{min} - d_{stop}, \quad (2.34)$$

which is

$$\zeta(d_{il}^{min} - d_{stop}) \times \alpha_i + \zeta(d_{il}^{min} - d_{stop}) \times \alpha_l < d_{il}^{min} - d_{stop}. \quad (2.35)$$

It can be seen that when  $\zeta$  satisfies

$$0 < \zeta < \min\left\{\frac{0.5}{\cos \alpha_i}, \frac{0.5}{\cos \alpha_l}\right\}, \quad (2.36)$$

there will be no collision between agents  $E_i$  and  $E_l$ . The value of angle  $\psi_{il}$  between two insect tangents between  $E_i$  and  $E_l$  satisfies  $\psi_{il} \in (0, \pi)$ , and  $d_{il}^{min}$  crosses by the area between two insect tangents, as seen in Figure 2.2, thus  $\alpha_i$  satisfies  $\alpha_i \in (0, \frac{\pi}{2})$ , and  $\alpha_l$  satisfies  $\alpha_l \in (0, \frac{\pi}{2})$ . Substituting  $\alpha_i \in (0, \frac{\pi}{2})$  and  $\alpha_l \in (0, \frac{\pi}{2})$  into (2.36), we have that when  $0 < \zeta \leq 0.5$ , agents  $E_i$  and  $E_l$  will never collide each other.

In this section, the collision avoidance algorithm is developed to guarantee the agents can go to the desired formation without any collisions. The key of this algorithm is to find an optimal path by removing the possible collision areas on the path of each agent based on collision angles. The collision avoidance algorithm is given in Algorithm 5.

---

**Algorithm 5** The collision avoidance algorithm

---

**Input:**

- The intersection tangents information from tangent searching algorithm,  $l_2^{ij}$  and  $l_3^{ij}$ , while  $E_i \in E, E_j \in E$ ;
- The avoidance range of each agent,  $A_{E_i}(R_{avo}), E_i \in E$ ;
- The minimum distance set between each agent,  $d_{ij}^{min}, E_i \in E, E_j \in E$ ;
- The original control input of each agent,  $u_i, E_i \in E$ ;
- The constant parameter,  $d_{stop}$ ;

**Output:**

- The updated control law of the elliptical agents,  $u_i^d, E_i \in E$ ;
- 1: **for**  $i=1:N$  **do**
- 2:     Calculate the angle between two intersection  $\psi_{ij}$ , where  $E_j \in A_{E_N}(R_{avo})$ ;
- 3:     Set up the angle set of  $\psi_{ij}$ ,  $\Psi_i$ ;
- 4:     Calculate the midline  $m_{ij}$  between  $l_2^{ij}$  and  $l_3^{ij}$ ;
- 5:     Calculate the angle  $\varphi_{ij}$  between  $m_{ij}$  and  $u_i$ ;
- 6:     Set up the angle set of  $\varphi_{ij}$ ,  $\Omega_i$ ;
- 7:     Compare the elements in  $\Psi_n$  and  $\Omega_i$ ;
- 8:     **if** all  $\varphi_{ij} \in \Omega_i \geq \frac{\psi_{ij}}{2}$  **then**
- 9:          $u_i^d = u_i$ ;
- 10:    **else**

- 11: Find the minimum angle between  $u_i$  and the tangents of  $E_N$ ;  
 12:  $\vartheta_n = \min \langle u_i, \overrightarrow{(p_i^{l^k}, p_l^{l^k})} \rangle$ , where  $E_l \in A_{E_i}(R_{avo})$ , and  $k = 1, 2$ ;  
 13:  $|u_i^d| = \zeta(d_{il}^{min} - d_{stop})$ ;  
 14:  $u_i^d = |u_i^d| \frac{(p_i^{l^k}, p_l^{l^k})}{|(p_i^{l^k}, p_l^{l^k})|}$ ;  
 15: **end if**  
 16: **end for**

The flow chart of collision avoidance algorithm is shown in Figure 2.8.

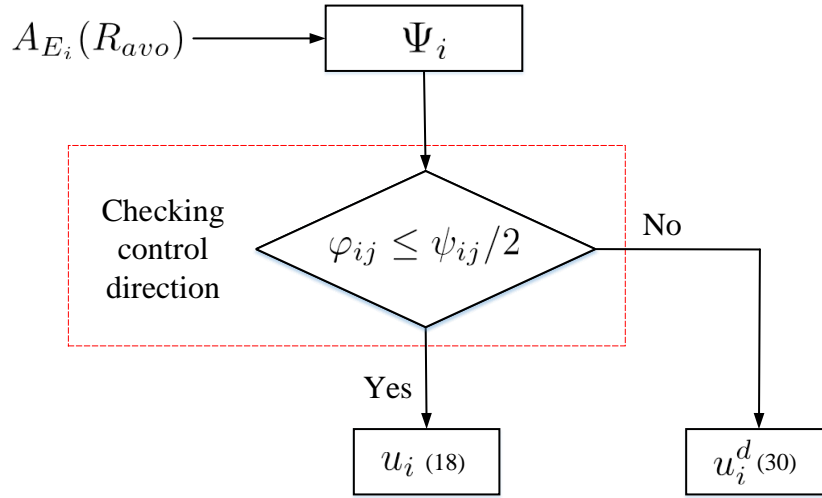


FIGURE 2.8: Collision avoidance algorithm

### 2.3.5 Self-center-based rotation algorithm

In order to further avoid collision among agents, an algorithm of self-center-based rotation is proposed. It is a direct way to increase the minimum distance among agents to reduce the probability of collision. The algorithm can be summarized as follows. For agent  $E_i$ , the heading angle  $\phi_i$  is changed based on the agent  $E_j$ , which is closest to  $E_i$ . The self-center-based rotation algorithm is given as follows:

- (i) The heading angle of agents  $E_i$  and  $E_j$  are represented as  $\phi_i$  and  $\phi_j$ . Let  $\phi_i' = \phi_i + \gamma$ ,  $\phi_i'' = \phi_i - \gamma$ .  $\gamma$  here is a positive coefficient, and we take a value of  $\gamma = 1^\circ$ ;
- (ii) Calculate the minimum distance based on corresponding heading angles, where  $d_{ij}^{min}$  represents the minimum distance between agents with  $\phi_i$  and  $\phi_j$ ;  $d_{ij}'^{min}$  represents the minimum distance between agents with  $\phi_i'$  and  $\phi_j$ ; And  $d_{ij}''^{min}$  represents the minimum distance between agents with  $\phi_i''$  and  $\phi_j$  based on Section 2.2; and



- (iii) Compare  $d_{ij}^{min}$ ,  $d_{ij}'^{min}$  and  $d_{ij}''^{min}$ . Let  $\phi_i$ , which is corresponding to the maximum distance, be the new direction of the elliptical agent.

The flow chart of the self-rotation algorithm is shown in Figure 2.9.

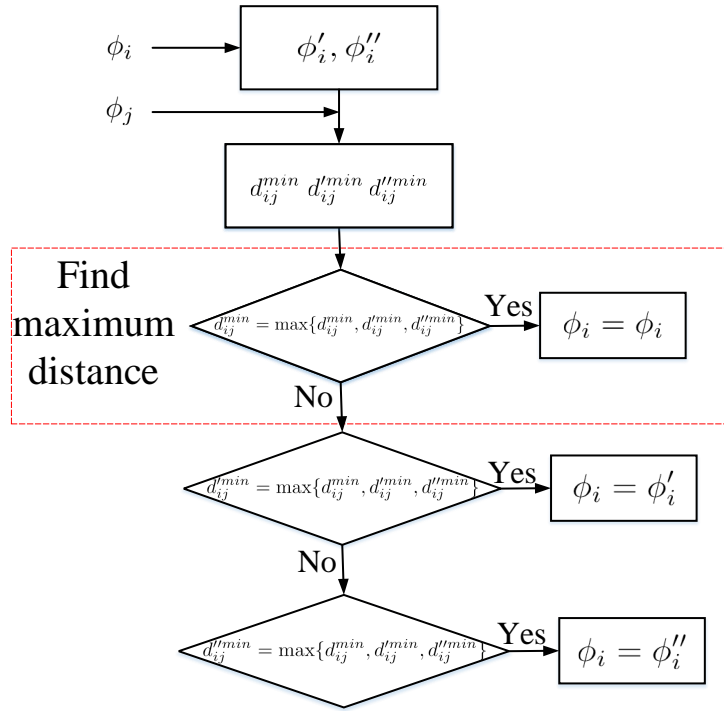


FIGURE 2.9: Self-rotation algorithm

### 2.3.6 Formation control for multiple elliptical agents without collision

The formation control strategy combined with collision avoidance algorithm for  $N$  elliptical agents is proposed in this section. The whole formation control strategy is given in Algorithm 6.

---

**Algorithm 6** Formation control strategy with collision avoidance

---

**Input:**

The initial positions of the whole group,  $P^c$ ;

The long axis set and short axis set of each agent,  $AA = \{a_1 a_2 \cdots a_N\}$  and  $BB = \{b_1 b_2 \cdots b_N\}$ ;

The heading angle of each agent,  $\phi = \{\phi_1 \phi_2 \cdots \phi_n\}$ ;

The predefined formation,  $F = \{f_1 f_2 \cdots f_N\}$ ;

The initial optimal mapping,  $r_{op}^0$ ;

The sensing range of each elliptical agent,  $R_{sen} = \{R_{sen}^1 R_{sen}^2 \cdots R_{sen}^N\}$ ;

The avoidance range of each elliptical agent,  $R_{sen} = \{R_{avo}^1 R_{avo}^2 \cdots R_{avo}^N\}$ ;

The constant parameter,  $d_{stop}$ ;

**Output:**

Achieve the desired formation which has the same displacements with  $F$ .

```

1: for t=1:100000000 do
2:   Calculate the minimum distance among the elliptical agents;
3:   for i=1:N do
4:     for j=1:N do
5:        $[D^t(i, j)] = mindis(AA(i), BB(i), AA(j), BB(j), P^c(i, :), P^c(j, :), \phi(i), \phi(j));$ 
6:     end for
7:   end for
8:   Find the optimal mapping in  $t$ th term;
9:    $[r_{op}^t] = Randommapping(P^c, r_{op}^{t-1});$ 
10:  Calculate the controller of each elliptical agent;
11:  Using  $R_{sen}$  find the neighboring group of each agent,  $H = \{H_1 H_2 \cdots H_N\}$ ;
12:  for i=1:N do
13:    The desired position of  $E_i$  is obtained by  $g_i^t = F(r_{op}^t(i)) + \frac{p_i^c + H_i}{length(H_i)} -$ 
 $\frac{F(r_{op}^t(i)) + F(r_{op}^t(H_i))}{length(H_i)}$ ;
14:    The controller  $u_i^t$  of  $E_i$  is calculated;
15:     $u_i^t = g_i^t - p_i^c;$ 
16:  end for
17:  Start the collision avoidance algorithm;
18:  for i=1:n do
19:    Using  $R_{avo}$  find the neighboring group of each agent,  $A = \{A_1 A_2 \cdots A_N\}$ ;
20:    Calculate the tangents of  $E_i$ ;
21:     $[l_1^i, l_2^i] = tangent(p_{itan}, p_{jtan}, AA(i), BB(i), \phi_i, AA(j), BB(j), \phi_j);$ 
22:     $[u_i^d] = avo(A(i), l_1^i, l_2^i, u_i, d_{stop}, d_{ij}^{min});$ 
23:     $p_i^c = p_i^c + u_i^d;$ 
24:  end for
25:  Using self-center-based rotation algorithm to make sure the minimum
  distance among the elliptical agents;
26:  if Displacements among the elliptical agents in their current positions
  – Displacements among the elliptical agents in  $F=0$  then
27:    break;
28:  end if
29: end for

```

Though the formation control strategy with collision avoidance algorithm, we can drive the group of agents to our desired formation in any space based on the predefined formation.

## 2.4 Simulation examples

In this section, simulation results are given to illustrate the effectiveness of the proposed algorithms. It is assumed that five elliptical agents are equipped

with displacement sensors of the same limited sensing range and dynamics. These five agents have different shape properties. The sensing range is  $R_{sen} = 9$ , and the avoidance range is  $R_{avo} = 5$ . Neighbourhood and avoidance group of each agent can be obtained based on  $R_{sen}$  and  $R_{avo}$ . Parameter  $\delta$  in minimum distance searching algorithm and tangents searching algorithm is given as 0.01. The team operates with limited communication ability, and agents can only send identities and mapping decision to each other. The coefficients in (2.30) are given as  $\zeta = 0.3$ , and  $d_{stop} = 0.1$ . In the first two examples, five elliptical agents are employed, and the properties of these agents are given as,

$$\begin{bmatrix} a_1 \\ b_1 \end{bmatrix} = \begin{bmatrix} 5 \\ 3 \end{bmatrix}, \begin{bmatrix} a_2 \\ b_2 \end{bmatrix} = \begin{bmatrix} 6 \\ 4 \end{bmatrix}, \begin{bmatrix} a_3 \\ b_3 \end{bmatrix} = \begin{bmatrix} 4 \\ 2 \end{bmatrix}, \begin{bmatrix} a_4 \\ b_4 \end{bmatrix} = \begin{bmatrix} 6 \\ 3 \end{bmatrix}, \begin{bmatrix} a_5 \\ b_5 \end{bmatrix} = \begin{bmatrix} 7 \\ 4 \end{bmatrix}.$$

The initial positions and heading angles for agents are

$$\begin{bmatrix} x_{10} \\ y_{10} \\ \phi_1 \end{bmatrix} = \begin{bmatrix} 3 \\ -2 \\ 0^\circ \end{bmatrix}, \begin{bmatrix} x_{20} \\ y_{20} \\ \phi_2 \end{bmatrix} = \begin{bmatrix} 11 \\ 10 \\ 37^\circ \end{bmatrix}, \begin{bmatrix} x_{30} \\ y_{30} \\ \phi_3 \end{bmatrix} = \begin{bmatrix} 2 \\ 12 \\ 111^\circ \end{bmatrix}, \begin{bmatrix} x_{40} \\ y_{40} \\ \phi_4 \end{bmatrix} = \begin{bmatrix} -7 \\ -10 \\ 154^\circ \end{bmatrix}, \begin{bmatrix} x_{50} \\ y_{50} \\ \phi_5 \end{bmatrix} = \begin{bmatrix} -12 \\ 4 \\ 97^\circ \end{bmatrix}.$$

The objective map is  $F = \{f_1 \ f_2 \ \dots \ f_N\}$ , where

$$f_1 = \begin{bmatrix} -10 \\ 8 \end{bmatrix}, f_2 = \begin{bmatrix} 0 \\ 8 \end{bmatrix}, f_3 = \begin{bmatrix} 10 \\ 8 \end{bmatrix}, f_4 = \begin{bmatrix} -6 \\ -4 \end{bmatrix}, f_5 = \begin{bmatrix} 6 \\ -4 \end{bmatrix}.$$

The set up of the first two simulation examples is: i) to illustrate collision avoidance algorithm and self-rotation algorithm, a fixed displacement is employed; and ii) to further enhance the effectiveness of control scheme in this article, random mapping algorithm is developed.

**Example 1** A fixed mapping decision is illustrated in this example. The mapping decision is given as  $F_{opt} = \{3 \ 1 \ 2 \ 5 \ 4\}$ , in which elements represent the identities in  $F$ . Figure 2.10 shows the trajectories of each elliptical agent in the group based on  $F_{opt}$ . For the legend \* used in Figure 2.10, it denotes a number that is the identity of an elliptical agent. The legend  $\triangle$  used in Figure 2.10, denotes the initial position of each agent. Changes in minimum distance and heading angle of each agent in the group are shown in Figures 2.11 and 2.12, respectively. The misalignment between the temporary formation and desired formation is displayed in Figure 2.13. The curve of the distance between temporary formation and desired formation approaches to 0, uniformly, even though the distance increases in some moments due to the collision avoidance. It can be seen from Figures 2.10-2.13 that there is no collision between any two agents. Moreover, all the agents are driven to the desired formation based on reference map  $F$ , even though they have to take some extra effort to avoid collision. The control signals of each agent are shown in Figure 2.14 and Figure 2.15.

**Example 2** In this example, random mapping algorithm is used to find optimal mapping decision for each agent. In this algorithm, an initial mapping decision is proposed first. This mapping decision is given as  $F_{opt} = \{3 \ 1 \ 2 \ 5 \ 4\}$ . It is assumed that 5 mapping decisions are generated per

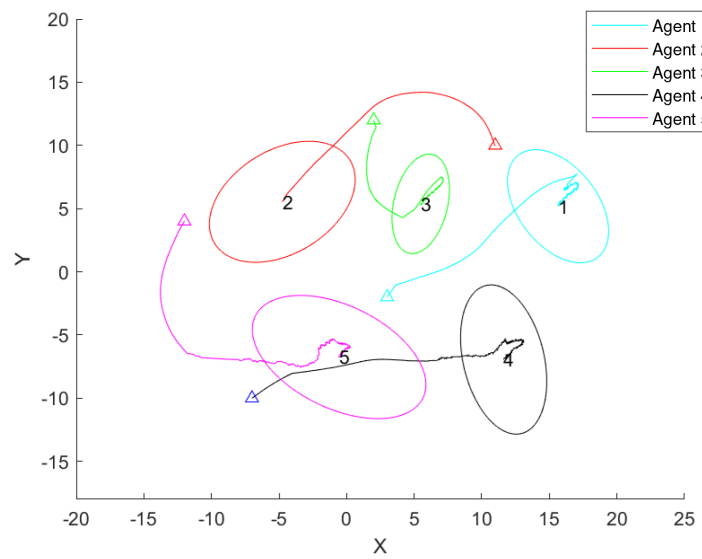


FIGURE 2.10: Trajectories of five elliptical agents

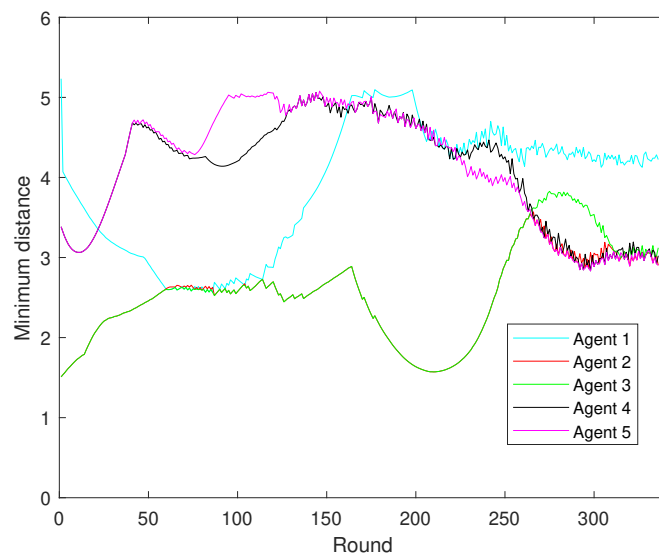


FIGURE 2.11: Changes in minimum distance of each elliptical agent

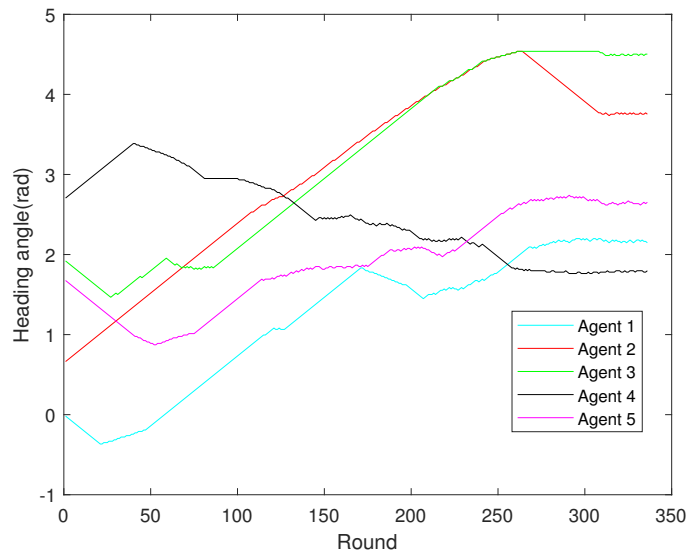


FIGURE 2.12: Changes in the heading angle of each elliptical agent

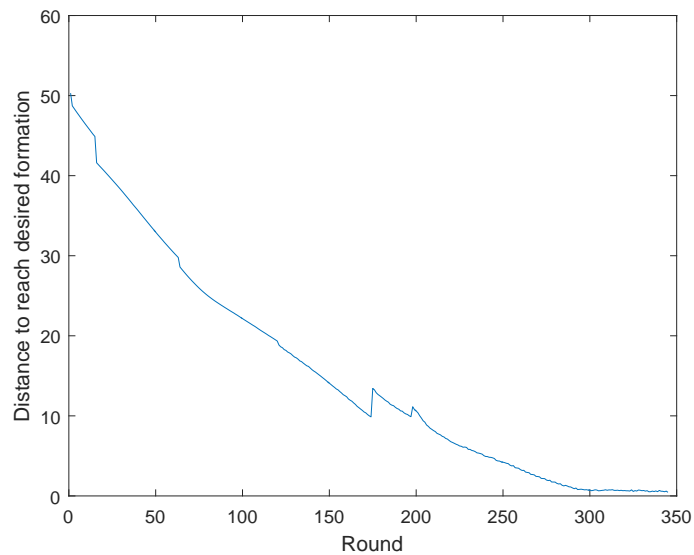


FIGURE 2.13: Distance to reach desired formation

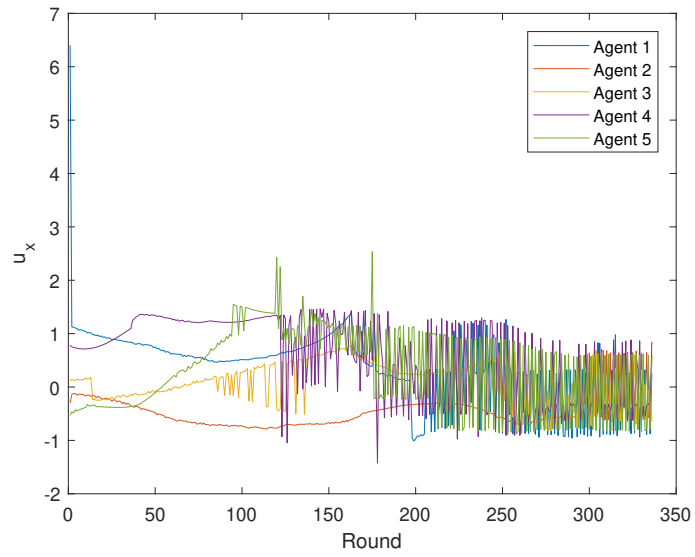


FIGURE 2.14: Control signal  $u_x$  of each elliptical agent

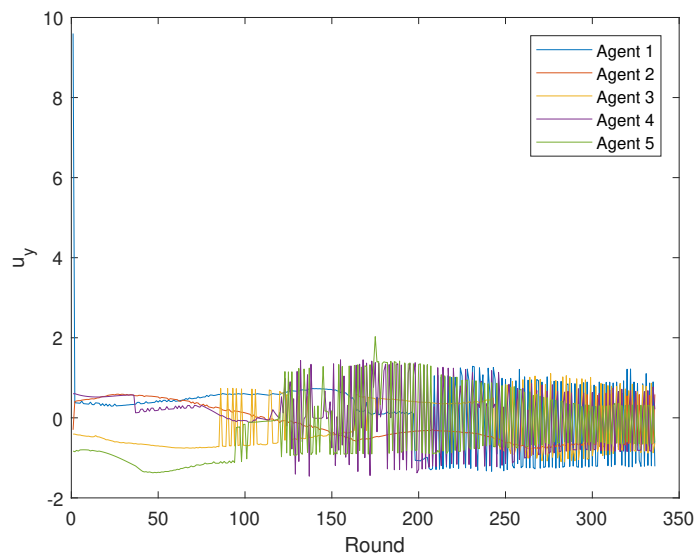


FIGURE 2.15: Control signal  $u_y$  of each elliptical agent

round. Figure 2.16 shows the trajectories of each elliptical agent in the group based on  $F$ . The final formation has the same displacements with reference formation  $F$ . It can be seen that agents move to the desired positions, which are close to them. Changes in minimum distance and heading angle of each agent in the group are shown in Figures 2.17 and 2.18, respectively. The control signals for five agents are given in Figure 2.20 and Figure 2.21. It can be seen that the heading angle change of each agent in Figure 2.12 is greater than that in Figure 2.17. This is due to the number of execution rounds. More rounds lead to a bigger change in the heading angle of each elliptical agent. Figure 2.19 displays the misalignment between temporary formation and desired formation. Different from Figure 2.13, the curve of the distance between temporary formation and desired formation drops sharply over a period time, due to the search for the optimal mapping decision. It is clearly observed from Figures 2.16-2.19 that there is no collision between any two agents. Moreover, all the agents are driven to the desired formation nicely. According to Figure 2.19 and Figure 2.20, it can be seen that the control signals are smoother when all agents are far from their desired positions, and the curves become jagged when they approach the final positions in search of a more precise formation. The communication times in each iteration of the whole system are shown in Figure 2.21. It can be seen that the communication among agents only happens when the mapping decision is changed, and only identification numbers of agents and the updated mapping decision are changed. The bandwidth can be obtained as  $B = \text{Communication times} / \text{Time}$ . In our simulation, the maximum communication times is 10. The bandwidth is 1  $\text{Communication times} / \text{Time}$ .

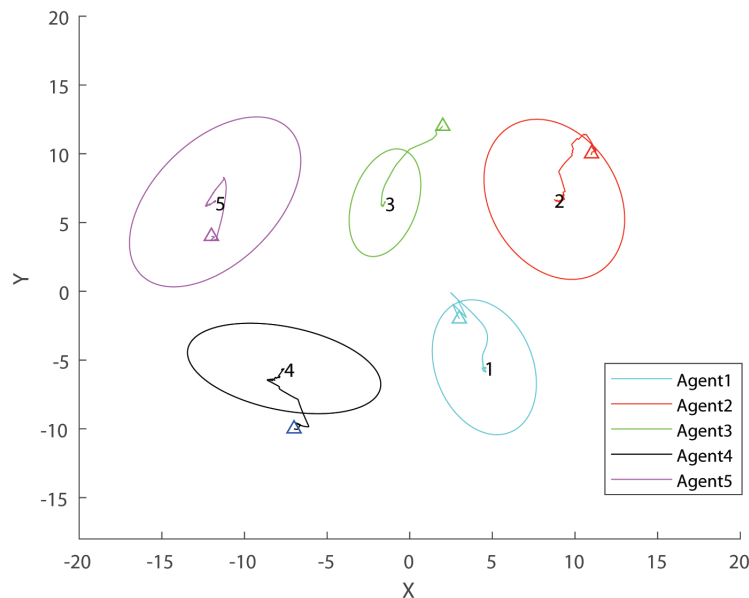


FIGURE 2.16: Trajectories of five elliptical agents

**Example 3** In this example, 10 elliptical agents are employed to expand the multiple elliptical agents group. The feasibility, flexible and efficiency of the formation control strategy are illustrated in the following simulation.

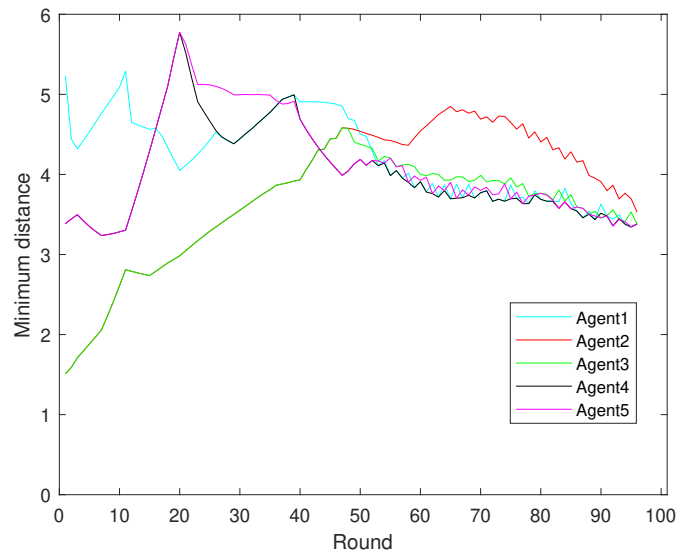


FIGURE 2.17: Changes in minimum distance of each elliptical agent

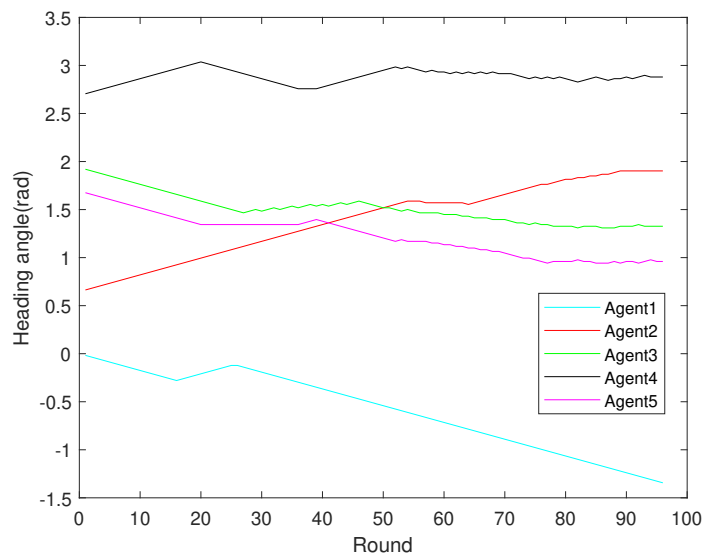


FIGURE 2.18: Changes in the heading angle of each elliptical agent



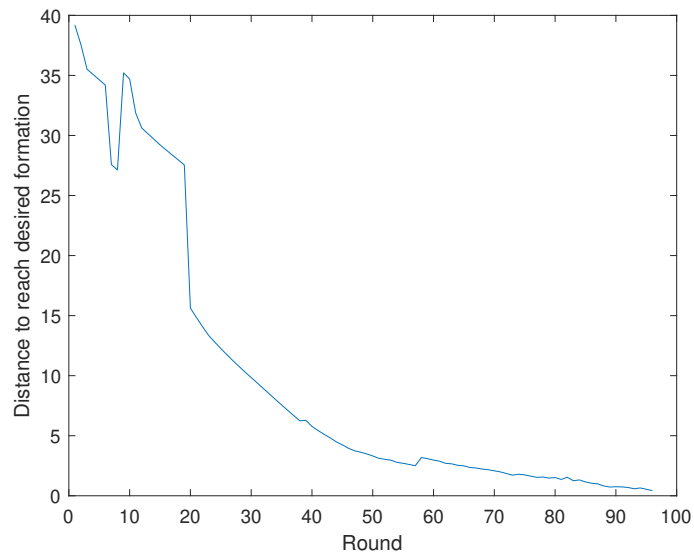
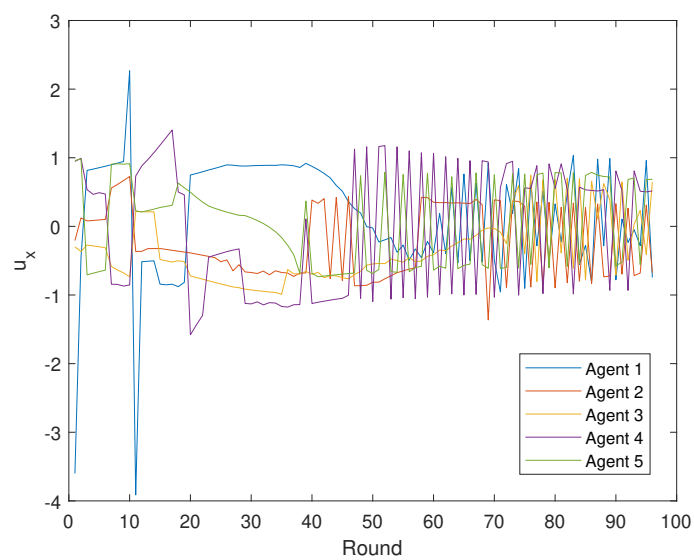


FIGURE 2.19: Distance to reach desired formation

FIGURE 2.20: Control signal  $u_x$  of each elliptical agent

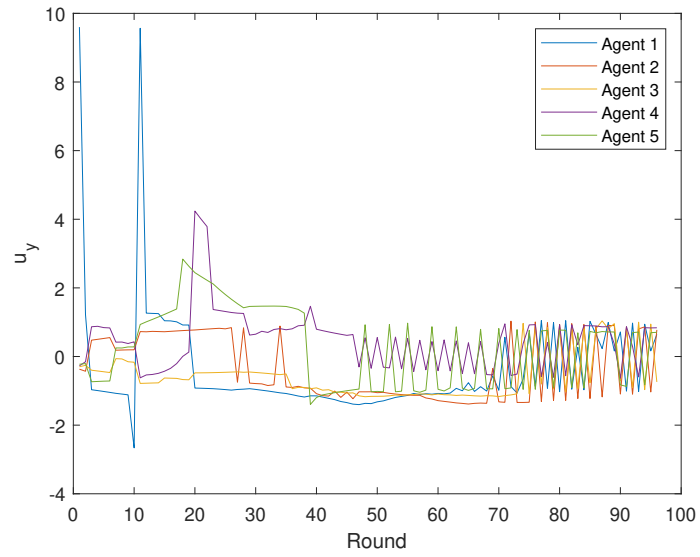


FIGURE 2.21: Control signal  $u_y$  of each elliptical agent

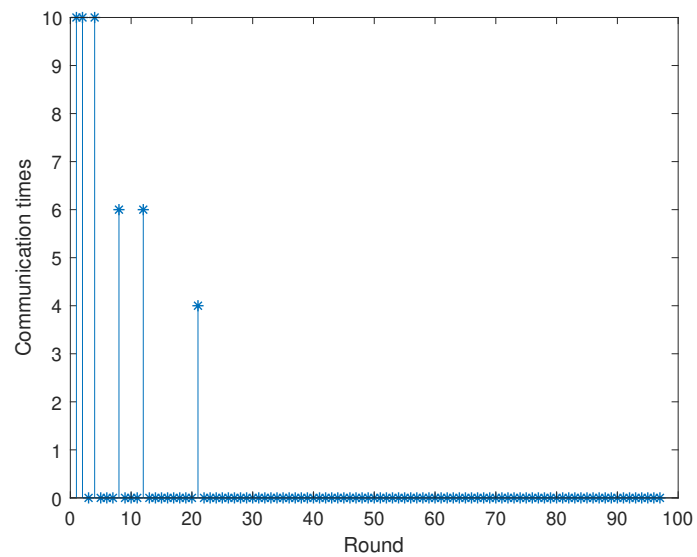


FIGURE 2.22: Communication times

First, the initial positions and heading angles of these 10 elliptical agents are given as follows.

$$\begin{bmatrix} x_{10} \\ y_{10} \\ \phi_1 \end{bmatrix} = \begin{bmatrix} 3 \\ -2 \\ 0^\circ \end{bmatrix}, \begin{bmatrix} x_{20} \\ y_{20} \\ \phi_2 \end{bmatrix} = \begin{bmatrix} 11 \\ 10 \\ 37^\circ \end{bmatrix}, \begin{bmatrix} x_{30} \\ y_{30} \\ \phi_3 \end{bmatrix} = \begin{bmatrix} 2 \\ 15 \\ 111^\circ \end{bmatrix}, \begin{bmatrix} x_{40} \\ y_{40} \\ \phi_4 \end{bmatrix} = \begin{bmatrix} -7 \\ -10 \\ 154^\circ \end{bmatrix}, \begin{bmatrix} x_{50} \\ y_{50} \\ \phi_5 \end{bmatrix} = \begin{bmatrix} -12 \\ 4 \\ 97^\circ \end{bmatrix},$$

$$\begin{bmatrix} x_{60} \\ y_{60} \\ \phi_6 \end{bmatrix} = \begin{bmatrix} -12 \\ 15 \\ 20^\circ \end{bmatrix}, \begin{bmatrix} x_{70} \\ y_{70} \\ \phi_7 \end{bmatrix} = \begin{bmatrix} 5 \\ -10 \\ 45^\circ \end{bmatrix}, \begin{bmatrix} x_{80} \\ y_{80} \\ \phi_8 \end{bmatrix} = \begin{bmatrix} 12 \\ -2 \\ 45^\circ \end{bmatrix}, \begin{bmatrix} x_{90} \\ y_{90} \\ \phi_9 \end{bmatrix} = \begin{bmatrix} -13 \\ -11 \\ 0^\circ \end{bmatrix}, \begin{bmatrix} x_{100} \\ y_{100} \\ \phi_{10} \end{bmatrix} = \begin{bmatrix} -2 \\ 8 \\ 0^\circ \end{bmatrix}.$$

The properties of these 10 elliptical agents are given as,

$$\begin{bmatrix} a_1 \\ b_1 \end{bmatrix} = \begin{bmatrix} 3 \\ 1 \end{bmatrix}, \begin{bmatrix} a_2 \\ b_2 \end{bmatrix} = \begin{bmatrix} 4 \\ 2 \end{bmatrix}, \begin{bmatrix} a_3 \\ b_3 \end{bmatrix} = \begin{bmatrix} 4 \\ 2 \end{bmatrix}, \begin{bmatrix} a_4 \\ b_4 \end{bmatrix} = \begin{bmatrix} 2 \\ 3 \end{bmatrix}, \begin{bmatrix} a_5 \\ b_5 \end{bmatrix} = \begin{bmatrix} 4 \\ 3 \end{bmatrix},$$

$$\begin{bmatrix} a_6 \\ b_6 \end{bmatrix} = \begin{bmatrix} 2 \\ 1 \end{bmatrix}, \begin{bmatrix} a_7 \\ b_7 \end{bmatrix} = \begin{bmatrix} 4 \\ 1 \end{bmatrix}, \begin{bmatrix} a_8 \\ b_8 \end{bmatrix} = \begin{bmatrix} 3 \\ 1 \end{bmatrix}, \begin{bmatrix} a_9 \\ b_9 \end{bmatrix} = \begin{bmatrix} 3 \\ 2 \end{bmatrix}, \begin{bmatrix} a_{10} \\ b_{10} \end{bmatrix} = \begin{bmatrix} 2 \\ 1 \end{bmatrix}.$$

The objective map is  $F = \{f_1 f_2 \cdots f_N\}$ , where

$$f_1 = \begin{bmatrix} -10 \\ 8 \end{bmatrix}, f_2 = \begin{bmatrix} 0 \\ 8 \end{bmatrix}, f_3 = \begin{bmatrix} 10 \\ 8 \end{bmatrix}, f_4 = \begin{bmatrix} -6 \\ -6 \end{bmatrix}, f_5 = \begin{bmatrix} 6 \\ -6 \end{bmatrix},$$

$$f_6 = \begin{bmatrix} 10 \\ -10 \end{bmatrix}, f_7 = \begin{bmatrix} 3 \\ 13 \end{bmatrix}, f_8 = \begin{bmatrix} -10 \\ -10 \end{bmatrix}, f_9 = \begin{bmatrix} -3 \\ 4 \end{bmatrix}, f_{10} = \begin{bmatrix} 10 \\ 4 \end{bmatrix}.$$

The initial mapping decision is give as  $F_{opt} = \{3 1 2 5 4 7 6 8 9 10\}$ . It is assumed that 10 mappings are randomly generated in each iteration. In Figure 2.23, it can be seen that agents move to the desired positions, which are close to them. Changes in minimum distance and heading angle of each agent in the group are shown in Figures 2.24 and 2.25, respectively. The control signals for the ten elliptical agents are given in Figure 2.26 and Figure 2.27. Figure 2.28 displays the misalignment between temporary formation and desired formation. It is clearly observed from Figures 2.24-2.25 that there is no collision between any two agents. Moreover, all the agents are driven to the desired formation nicely.

Note that  $\eta$  represents the quantity of random mapping generated per round. It can be seen from Table 2.1 that the total time and the number of rounds are different for different  $\eta$ . Four sets of data are listed by the average of five executions of the control algorithm. It is shown in Table 2.1 that when  $\eta$  increases, the number of rounds to achieve the desired formation decreases. However, time to achieve final formation will increase with the increasing  $\eta$ . This is because the increase of  $\eta$  will increase the calculating time in each execution round. Thus, it is necessary for us to choose an appropriate  $\eta$  to achieve the objective. In this chapter,  $\eta = 5$  is optimal for 5

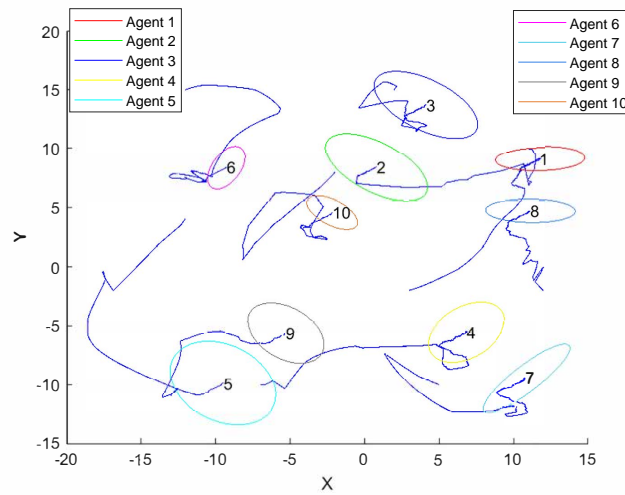


FIGURE 2.23: Trajectories of ten elliptical agents

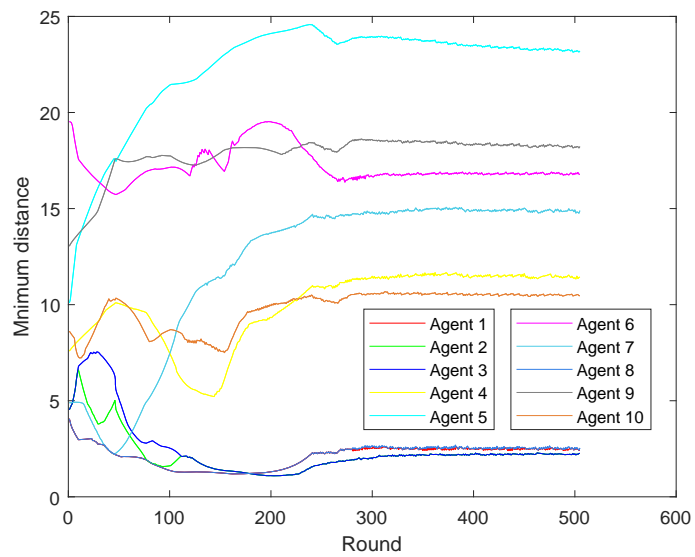


FIGURE 2.24: Changes in minimum distance of each elliptical agent

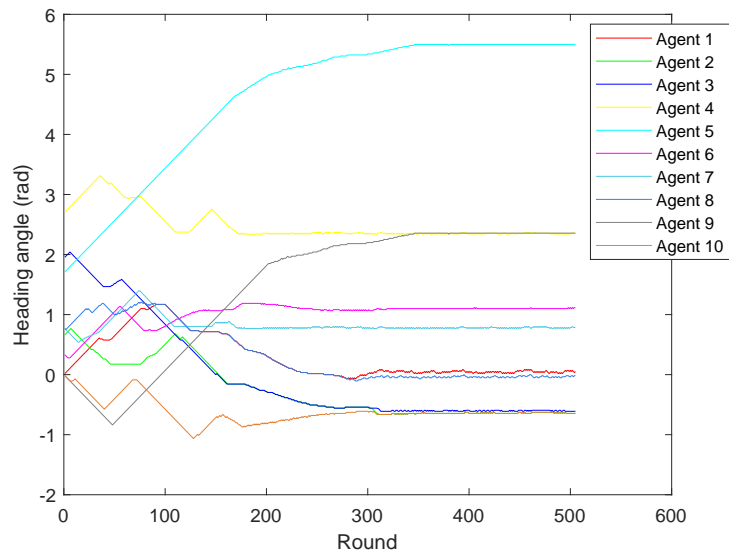


FIGURE 2.25: Changes in the heading angle of each elliptical agent

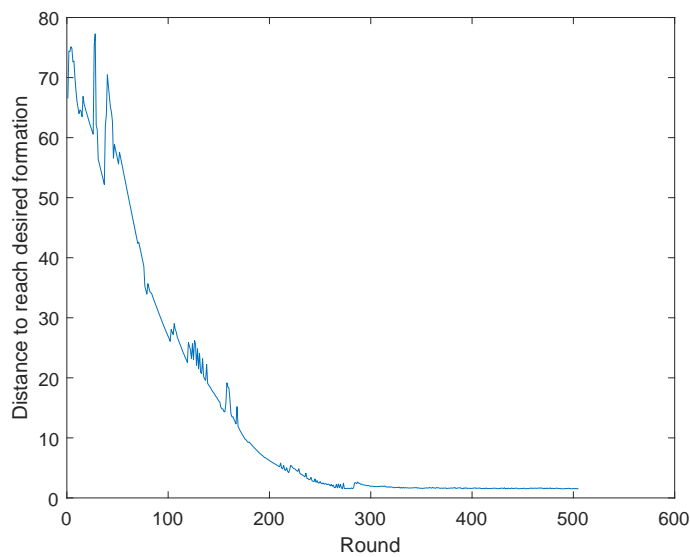


FIGURE 2.26: Distance to reach desired formation

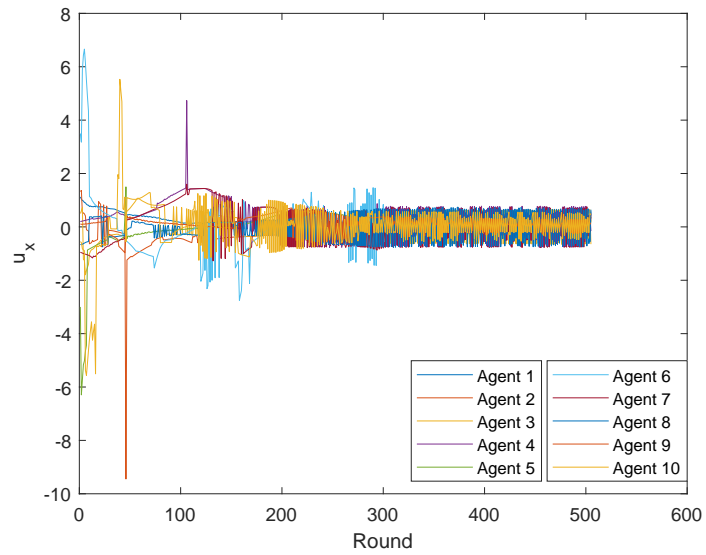


FIGURE 2.27: Control signal  $u_x$  of each elliptical agent

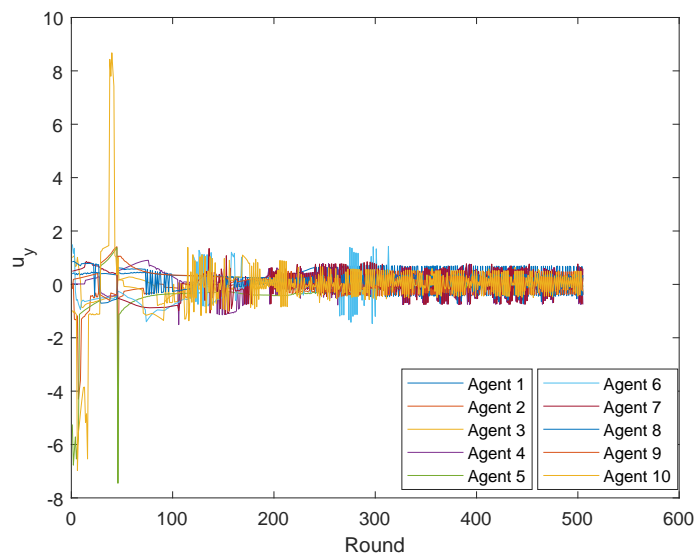


FIGURE 2.28: Control signal  $u_y$  of each elliptical agent

elliptical agents and chosen in the first two examples. The execution times of different sizes of the multi-agent system and different quantity of random mapping is given in Table 2.2 and Figure 2.29. It can be seen that the execution time substantially increases with the addition of the number of elliptical agents in the group. This is the computation burden will increase when the group is coming bigger. The value of  $\eta$  will also influence the computation complexity.

TABLE 2.1: Comparison of different quantity of random mapping

$\eta$	Round	Time(s)
$\eta = 0$	345	122.34
$\eta = 5$	96	102.24
$\eta = 10$	88	152.83
$\eta = 20$	81	250.02

TABLE 2.2: Comparison of different quantity of agents and  $\eta$

Time(s)	$\eta = 3$	$\eta = 5$	$\eta = 10$	$\eta = 20$	$\eta = 30$
$n = 3$	32.52	43.66	-	-	-
$n = 5$	-	102.24	152.83	250.02	-
$n = 7$	-	-	427.89	567.24	846.61
$n = 10$	-	-	1255.36	3176.27	8400.27

## 2.5 Conclusion

This chapter proposed a control algorithm to drive a group of elliptical agents to a predefined formation based on a reference formation. The new techniques developed to achieve the objective included searching algorithms for finding minimum distance and tangents between two elliptical agents, which were used by the control algorithm. Communication among the agents was limited, and only identities of each agent and the mapping decision for them were transmitted. Random mapping algorithm was also presented to obtain optimal mapping decision for the whole group, in which a reference mapping was used to provide displacements. Collision avoidance algorithm based on collision angles between tangents, which were between agents, was used to prevent collision among agents. The self-center-based rotation algorithm for each agent was designed to further improve the collision avoidance. The simulations of fixed mapping and random mapping algorithm were given to demonstrate the feasibility and effectiveness of the new control design scheme.

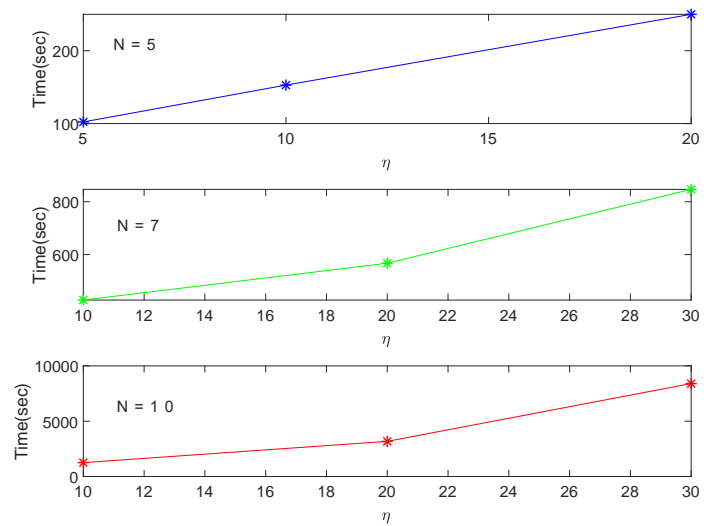


FIGURE 2.29: Comparison of different quantity of agents and  $\eta$



# 3 Adaptive collision-free formation control for multi-agent systems

## 3.1 Introduction

In this chapter, an adaptive formation control scheme is constructed for a group of elliptical agents to achieve a predefined formation. The agents are assumed to have the same dynamics, and communication among the agents are limited. The desired formation is realized based on the reference formation and the mapping decision. In the control design, searching algorithms for both cases of minimum distance and tangents are established for each agent and its neighbors. In order to avoid collision, an optimal path planning algorithm based on collision angles, and a self-center-based rotation algorithm are also proposed. Moreover, randomized method is used to provide the optimal mapping decision for the underlying system.

Different from the work in [94], [95], this chapter focuses on driving a group of agents to achieve a desired formation derived from the objective map. The objective map is set well in advance and serves as a reference. Agents only organise their formation based on the displacements in the objective map, but not the specific points in the predefined map. The obstacle avoidance algorithm established in this chapter is based on the optimal path planning by removing the obstacle angles. These angles can be obtained by clamping tangents of objective agents and their obstacle agents. To improve the efficiency of the collision-free formation control algorithm for multiple elliptical agents, an adaptive parameter is introduced, and dynamic mapping algorithm is employed to enhance the efficiency of the whole group.

In this chapter, the main work is as follows. First, a new control scheme is proposed to drive a group of elliptical agents to a predefined formation. All agents are assumed to have the same form of control law and reference formation. Only restricted communication among agents is allowed, and they can send and receive identification numbers to and from other agents in the system. The controller of each agent is established based on the mid-point derived from their neighbourhood. Second, the predefined formation is based on the displacements, which are obtained through a reference mapping. Agents can find their optimal mapping decisions based on the random mapping algorithm. During each sampling interval, several possible mappings are generated and the sums of distances with corresponding agents under each possible mapping decision are calculated to be compared with

the others. The shortest one will be chosen to be the optimal formation in the corresponding interval. Third, the collision among elliptical agents can be avoided by choosing optimal path and removing obstacle angles. A self-center-based rotation algorithm is also proposed to guarantee collision avoidance when two agents approach to each other.

### 3.2 System model

Consider  $N$  agents that form the multi-agent system, and agents are described as ellipses. The elliptical formula of agent  $E_i$  is given as

$$E_i : [x_i, y_i, 1] A_i [x_i, y_i, 1]^T = 0 \quad (3.1)$$

where  $A_i$  is the parameter matrix based on heading angle  $\phi_i$

$$A_i = \begin{bmatrix} \frac{\cos^2 \phi_i}{a_i^2} + \frac{\sin^2 \phi_i}{b_i^2} & \frac{\sin 2\phi_i}{a_i^2} & \frac{2A_{i1} \cos \phi_i}{a_i^2} \\ -\frac{\sin 2\phi_i}{b_i^2} & \frac{\sin^2 \phi_i}{a_i^2} + \frac{\cos^2 \phi_i}{b_i^2} & \frac{2A_{i1} \sin \phi_i}{a_i^2} \\ -\frac{2A_{i2} \sin \phi_i}{b_i^2} & \frac{2A_{i2} \cos \phi_i}{b_i^2} & \frac{A_{i1}^2}{a_i^2} + \frac{A_{i2}^2}{b_i^2} - 1 \end{bmatrix},$$

and

$$\begin{aligned} A_{i1} &= x_{i0} \cos \phi_i + y_{i0} \sin \phi_i, \\ A_{i2} &= -y_{i0} \sin \phi_i + x_{i0} \cos \phi_i. \end{aligned}$$

The point  $(x_i, y_i)$  on  $E_i$  can be obtained from (3.1), while  $a_i$  and  $b_i$  are the long and short axes of  $E_i$ . The coordinate of center point  $p_i^c$  of  $E_i$  is represented by  $(x_{i0}, y_{i0})$ . The set of the agents is given by

$$E = \{E_1 E_2 E_3 \dots E_N\}.$$

The dynamics of elliptical agent  $E_i$  is described by a single integrator model,

$$\dot{x}_i^c = u_i. \quad (3.2)$$

For all  $E_i \in E$ , the vector  $u_i = [u_{xi}, u_{yi}]^T$  is the position control input. For any point  $p_i$  on agent  $E_i$ , and for any point  $p_j$  on agent  $E_j$ , at the initial time  $t_0$ , the distance between these two points satisfies

$$|x_i - x_j| > \varepsilon, \quad (3.3)$$

where  $\varepsilon > 0$ , and  $\varepsilon$  should be big enough to make sure collision-free among elliptical agents. If all elliptical agents satisfy (3.3), superpositions among agent will not occur at the initial time  $t_0$ .

For agent  $E_i$ , the sensing range is an oval, and the center of sensing ellipse is at  $p_i^c$ , while the long axis is given as  $a_i^{sen} = a_i + R_{sen}$  and the short axis is

given as  $b_i^{sen} = b_i + R_{sen}$ . The sensing range is  $R_{sen}$ . If a point  $p = (x, y)$  satisfies

$$\begin{aligned} [x, y, 1]A_i[x, y, 1]^T &> 0, \\ [x, y, 1]B_i[x, y, 1]^T &< 0, \end{aligned} \quad (3.4)$$

then  $p$  can be sensed by  $E_i$ . In (4),

$$B_i = \begin{bmatrix} \frac{\cos^2 \phi_i}{(a_i^{sen})^2} + \frac{\sin^2 \phi_i}{(b_i^{sen})^2} & \frac{\sin 2\phi_i}{(a_i^{sen})^2} & \frac{2B_{i1} \cos \phi_i}{(a_i^{sen})^2} \\ -\frac{\sin 2\phi_i}{(b_i^{sen})^2} & \frac{\sin^2 \phi_i}{(a_i^{sen})^2} + \frac{\cos^2 \phi_i}{(b_i^{sen})^2} & \frac{2B_{i1} \sin \phi_i}{(a_i^{sen})^2} \\ -\frac{2B_{i2} \sin \phi_i}{(b_i^{sen})^2} & \frac{2B_{i2} \cos \phi_i}{(b_i^{sen})^2} & B_{i3} \end{bmatrix},$$

$$B_{i1} = x_{i0} \cos \phi_i + y_{i0} \sin \phi_i,$$

$$B_{i2} = -x_{i0} \sin \phi_i + y_{i0} \cos \phi_i,$$

$$B_{i3} = \frac{B_{i1}^2}{(a_i^{sen})^2} + \frac{B_{i2}^2}{(b_i^{sen})^2} - 1.$$

The surface of avoidance range for  $E_i$  is also an oval, which is centered at  $p_i^c$ , while the long axis is given as  $a_i^{avo} = a_i + R_{avo}$  and the short axis is given as  $b_i^{avo} = b_i + R_{avo}$ .

Some assumptions are imposed on the sensing ability and initial position conditions.

#### Assumption 5.

For system (3.2), the following conditions are satisfied.

- (i) Agents can move in any 2D directions.
- (ii) Agents can figure out the center of other agents, at least a portion of which is within their sensing ranges.

**Remark 3.** Condition (i) in Assumption 5 shows that there is no limitation for each agent to move. All agents can choose the optimal moving direction without rotation. Based on Condition (ii), if any point  $p_j$  on agent  $E_j$  satisfies (3.4),  $E_j$  can be seen as the neighboring agent of  $E_i$ . The neighborhood  $H_{E_i}(R_{sen})$  of  $E_i$  can be written as

$$H_{E_i}(R_{sen}) = \{E_1^{H_{E_i}(R_{sen})} E_2^{H_{E_i}(R_{sen})} \dots\}.$$

Also, the avoidance group  $A_{E_i}(R_{avo})$  of  $E_i$  can be written as

$$A_{E_i}(R_{avo}) = \{E_1^{A_{E_i}(R_{avo})} E_2^{A_{E_i}(R_{avo})} \dots\}.$$

### 3.3 Adaptive controller design

In this section, an adaptive controller is proposed to achieve the predefined formation. Reference formation  $F$  is given as

$$F = \{f_1 f_2 \dots f_N\},$$

where  $f_i \in F$  is the coordinate of  $i$ th position in the reference formation. The optimal mapping in the  $k$ th iteration is given as  $r_{op}(k)$ . If agent  $E_i$  moves without possible collision, control input  $u_i(k)$  in the  $k$ th iteration for  $E_i$  can be obtained by

$$u_i(k) = \sigma(g_i(k) - p_i^c(k)), \quad (3.5)$$

where  $p_i^c(k)$  is the center of agent  $E_i$  in the  $k$ th iteration, while  $g_i(k)$  is the desired position in the  $k$ th iteration based on  $R_{op}(k)$ . The coefficient  $\sigma$  represents the control step. The desired position  $g_i(k)$  can be written as

$$g_i(k) = f_i(R_{op}(k)) + (O_i - O_{f_i(R_{op}(k))}). \quad (3.6)$$

In (3.6),  $f_i(R_{op}(k))$  is the position corresponding to predefined formation  $F$  based on  $R_{op}(k)$ . The center point  $O_{f_i(R_{op}(k))}$  is the center of desired position of agent  $E_i$  and the desired positions of its neighboring agents which correspond to  $F$  with the mapping rule  $R_{op}(k)$ . We can have  $O_{f_i(R_{op}(k))}$  as

$$O_{f_i(R_{op}(k))} = \frac{f_i(R_{op}(k)) + \sum_{j=1}^m f_j^i(R_{op}(k))}{m+1},$$

where  $f_j^i(R_{op}(k))$  is the desired position of agent  $E_j$  based on  $R_{op}(k)$ , while  $E_j \in H_{E_i}(R_{sen})$ . The number of elements in  $H_{E_i}(R_{sen})$  is  $m$ . The center of current positions of  $E_i$  and its neighboring agents is  $O_i$ , which can be obtained by

$$O_i = \frac{x_i^c(k) + \sum_{j=1}^m x_j^c(k)}{m+1},$$

where  $p_i^c(k)$  is the current center position of agent  $E_i$  in the  $k$ th iteration, while  $p_j^c(k)$  is the current center position of agent  $E_j \in H_{E_i}(R_{sen})$ . The controller for agent  $E_i$  with two neighbors is given in Figure 3.1, and the sensing range  $R_{sen}$  is also shown.

If agent  $E_i$  moves through the possible collision area, the controller should be updated. The possible collision area is based on the avoidance group  $A_{E_i}(R_{avo})$ . The angle  $\psi_{ij}(k)$  between  $E_i$  and  $E_j \in A_{E_i}(R_{avo})$  and the angle  $\varphi_{ij}(k)$  between  $u_i(k)$  and midline  $m_{ij}(k)$  of  $\psi_{ij}(k)$  should be calculated to judge whether agent  $E_i$  moves through possible collision area or not. They can be obtained from

$$\psi_{ij}(k) = \arccos\left(\frac{(p_j^{l1}(k) - p_i^{l1}(k)) \cdot (p_j^{l2}(k) - p_i^{l2}(k))}{|p_j^{l1}(k) - p_i^{l1}(k)| |p_j^{l2}(k) - p_i^{l2}(k)|}\right), \quad (3.7)$$

$$\varphi_{ij}(k) = \arccos\left(\frac{u_i(k) \cdot u_j(k)}{|u_i(k)| |u_j(k)|}\right). \quad (3.8)$$

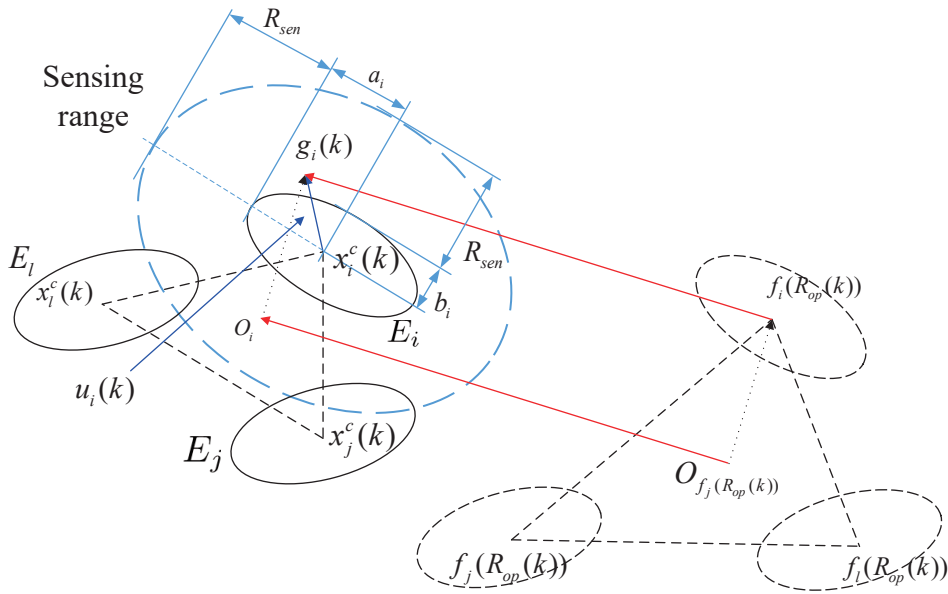


FIGURE 3.1: Controller and sensing range for agent  $E_i$  with two neighbors in the  $k$ th iteration

In (7),  $l_1^{ij}$  and  $l_2^{ij}$  are the two cross tangents of  $E_i$  and  $E_j \in A_{E_i}(R_{avo})$  at the  $k$ th iteration. The corresponding points on  $E_i$  and  $E_j$  based on  $l_1^{ij}$  and  $l_2^{ij}$  are  $p_i^{l_1^{ij}}(k)$ ,  $p_j^{l_1^{ij}}(k)$ ,  $p_i^{l_2^{ij}}(k)$  and  $p_j^{l_2^{ij}}(k)$ . The control input of  $E_j$  is  $u_j(k)$ . If  $\varphi_{ij}(k) > \psi_{ij}(k)$  exists, then agent  $E_i$  will move through possible collision area. Hence control input  $u_i(k)$  should be updated as

$$u_i^d(k) = \sigma |u_i^d(k)| \frac{(p_i^{l_1^{ij}}(k), p_l^{l_1^{ij}}(k))}{|(p_i^{l_1^{ij}}(k), p_l^{l_1^{ij}}(k))|}, o = 1, 2 \quad (3.9)$$

$$|u_i^d(k)| = \tilde{\zeta}_i(k) (d_{il}^{min}(k) - d_{stop}), \quad (3.10)$$

$$g_i(k) = p_i^c(k) + \frac{u_i^d(k)}{\sigma}. \quad (3.11)$$

where  $E_l \in A_{E_i}(R_{avo})$  satisfying  $\varphi_{il}(k)$  is the minimum among the avoidance group, and  $d_{il}^{min}$  is the minimum distance value between  $E_i$  and  $E_l$ . The desired position of  $E_i$  is updated in (3.11). The anti-collision parameter is given as  $d_{stop}$ , which is a positive constant. The coefficient  $\tilde{\zeta}_i(k)$  is related to the distance  $d_i(k)$  between  $p_i^c(k)$  and  $g_i(k-1)$  and the sum of the minimum distances between  $E_i$  and agents belonging to  $A_{E_i}(R_{avo})$ . Distance  $d_i(k)$  is given as

$$d_i(k) = |g_i(k-1) - p_i^c(k)|.$$

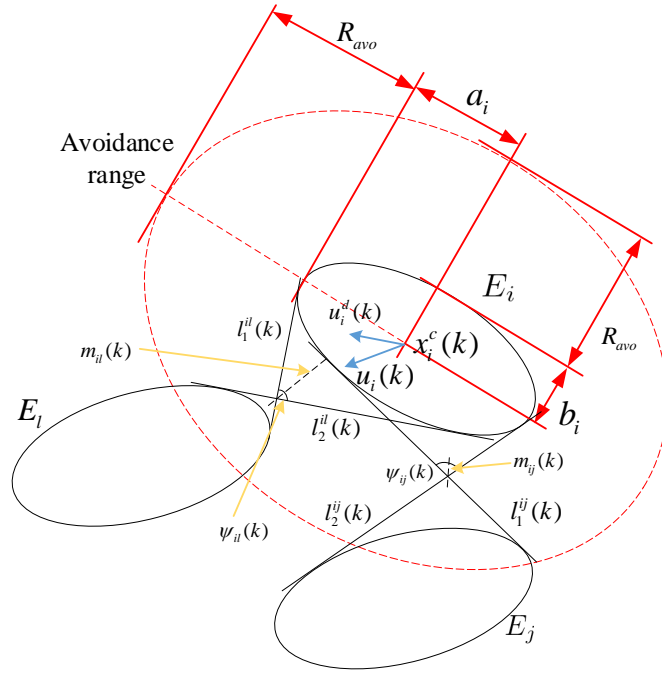


FIGURE 3.2: Collision-free controller and avoidance range for agent  $E_i$  in the  $k$ th iteration

Coefficient  $\xi_i(k)$  is written as

$$\xi_i(k) = \lambda \frac{d_i(k)}{\sum_{j=1}^p d_{ij}^{min}(k)}. \quad (3.12)$$

The crossed tangents between  $E_i$  and  $E_l$  is given as  $l_o^{il}$ ,  $o = 1, 2$ , while  $p$  is the number of the elements in  $A_{E_i}(R_{avo})$ . The updated control strategy is illustrated in Figure 3.2.

**Theorem 1.** Consider system (3.2) under Assumption 5, if

$$0 < \lambda < \frac{(n-1)R_{avo}(1 + \frac{d_{stop}}{\epsilon - d_{stop}})}{d_i(k) + d_l(k)},$$

then the following inequality holds:  $|p_i(k) - p_l(k)| > \epsilon$ , where  $p_i(k)$  and  $p_l(k)$  represent any point on  $E_i$  and  $E_l$  at the  $k$ th iteration, respectively, and  $\epsilon > 0$ . Agent  $E_l$  satisfies (3.9).

*Proof.* In order to have  $|p_i(k) - p_l(k)| > \epsilon$ , it is sufficient to have  $d_{il}^{min}(k) > \epsilon$ . To construct the collision-free system, we should have

$$0 < |u_i(k)| + |u_l(k)| \leq d_{il}^{min}(k). \quad (3.13)$$

Based on (3.10), (3.13) can be written as,

$$0 < (\zeta_i(k) + \zeta_l(k))(d_{il}^{min}(k) - d_{stop}) \leq d_{il}^{min}(k),$$

$$0 < \zeta_i(k) + \zeta_l(k) \leq \frac{d_{il}^{min}(k)}{(d_{il}^{min}(k) - d_{stop})}. \quad (3.14)$$

Substituting (3.12) into (3.14) yields,

$$0 < \lambda \frac{d_i(k)}{\sum_{j=1}^b d_{ij}^{min}(k)} + \lambda \frac{d_l(k)}{\sum_{j=1}^q d_{lj}^{min}(k)} \leq \frac{d_{il}^{min}(k)}{(d_{il}^{min}(k) - d_{stop})}, \quad (3.15)$$

where  $b$  and  $q$  are the number of agents in  $A_{E_i}(R_{avo})$  and  $A_{E_l}(R_{avo})$ , respectively. The minimum distances for  $E_i$  and  $E_l$  with their avoidance group are given as  $d_{ij}^{min}(k)$ ,  $d_{lj}^{min}(k)$ , respectively. Let  $d = |d_{il}^{min}(k)| - d_{stop}$ , we have  $|d_{il}^{min}(k)| = d + d_{stop} > \varepsilon$ , (15) can be written as

$$0 < \lambda \frac{d_i(k)}{\sum_{j=1}^b d_{ij}^{min}(k)} + \lambda \frac{d_l(k)}{\sum_{j=1}^q d_{lj}^{min}(k)} < 1 + \frac{d_{stop}}{\varepsilon - d_{stop}}. \quad (3.16)$$

It can be seen that  $1 \leq b \leq n - 1$  and  $1 \leq q \leq n - 1$ , while  $d_{ij}^{min} \leq R_{avo}$  and  $d_{lj}^{min} \leq R_{avo}$ . Then, we have  $\sum_{j=1}^b d_{ij}^{min}(k) \leq (n - 1)R_{avo}$  and  $\sum_{j=1}^q d_{lj}^{min}(k) \leq (n - 1)R_{avo}$ .

$$\frac{d_i(k)}{\sum_{j=1}^b d_{ij}^{min}(k)} \geq \frac{d_i(k)}{(n - 1)R_{avo}}, \quad (3.17)$$

$$\frac{d_l(k)}{\sum_{j=1}^q d_{lj}^{min}(k)} \geq \frac{d_l(k)}{(n - 1)R_{avo}}. \quad (3.18)$$

Hence, substituting (3.17) and (3.18) into (3.16) gives

$$0 < \lambda \frac{d_i(k)}{(n - 1)R_{avo}} + \lambda \frac{d_l(k)}{(n - 1)R_{avo}} < 1 + \frac{d_{stop}}{\varepsilon - d_{stop}} \quad (3.19)$$

The range of  $\lambda$  can be calculated as

$$0 < \lambda < \frac{(n - 1)R_{avo}(1 + \frac{d_{stop}}{\varepsilon - d_{stop}})}{d_i(k) + d_l(k)}. \quad (3.20)$$

If  $\lambda$  satisfies (3.20), the condition  $|p_i(k) - p_l(k)| > \varepsilon$  holds, which means collision will not occur on agent  $E_i$ . This completes the proof.  $\square$

### 3.4 Adaptive random mapping algorithm

Mapping is the rule that connects predefined formation  $F$  and desired position set  $G = \{g_1 g_2 \cdots g_N\}$  of all agents in the multi-agent systems. To obtain the optimal mapping of the multiple elliptical agents, a novel adaptive random mapping algorithm is developed. The steps of the adaptive random mapping algorithm are given as follows:

**Initialization:** In the first  $\kappa$  iterations, a random mapping algorithm is employed to find the optimal mapping in each iteration. In each iteration,  $\eta$  mappings are generated, and the set of  $\eta$  mappings and each mapping are given as

$$\begin{aligned} R(k) &= \{r_1(k) r_2(k) \cdots r_\eta(k)\}, 0 < k \leq \kappa, \\ r_s(k) &= \{r_s(k)(E_1) r_s(k)(E_2) \cdots r_s(k)(E_N)\}, 0 < s \leq \eta. \end{aligned}$$

Here,  $r_s(k)$  is the  $s$ th mapping, and the elements in  $r_s(k)$  are random generated from 1 to  $n$ , while any two elements in  $R(k)$  are not equal. The total distance  $L_s(k)$  of the  $s$ th mapping can be obtained based on the current positions and the desired positions in  $k$ th iteration of all agents, and can be obtained as

$$L_s(k) = \sum_{i=1}^N |g_i(k) - p_i^c(k)|. \quad (3.21)$$

The optimal mapping  $r_{op}(k)$  in the  $k$ th iteration satisfies

$$L_{op}(k) = \min\{L_1(k) L_2(k) \cdots L_\eta(k) L_{op}(k-1)\},$$

while  $r_{op}(k) \in R(k)$ . Here,  $L_{op}(k-1)$  represents the total distance of the optimal mapping  $r_{op}(k-1)$  in the  $(k-1)$ th iteration.

**Then:** When  $k > \kappa$ , the screening group  $R_f(k)$  is constructed based on the optimal mappings from  $(k-\kappa)$ th to  $k$ th iterations, which can be written as

$$R_f(k) = \{r_{op}(k-\kappa) r_{op}(k-\kappa+1) \cdots r_{op}(k-1)\}, k > \kappa.$$

The repeat mappings in  $R_f(k)$  should be extracted  $R_r(k) = \{r_1^r r_2^r \cdots\}$ , while  $r_i^r \in R_r(k)$  also satisfies  $r_i^r \in R_f(k)$ . The invariant elements which are in the same positions in different mapping in  $R_r(k)$  should be found. Then these elements are specified as fixed value in  $r_{op}(k)$ . The values of the other elements should be regenerated. If  $t$  elements are different in each mapping,  $t$  mappings will be regenerated based on the invariant elements. Optimal mapping  $r_{op}(k)$  will be obtained by the minimum value of corresponding total distances of  $t$  mappings based on (3.21). If all mappings in  $R_f(k)$  are the same, then  $r_{op}(k) = r_{op}(k-1)$ .

The proposed adaptive random mapping algorithm can release the computation burden. This mapping algorithm will be terminated when desired formation is established. The flowchart of the adaptive mapping algorithm is given in Figure 3.3.



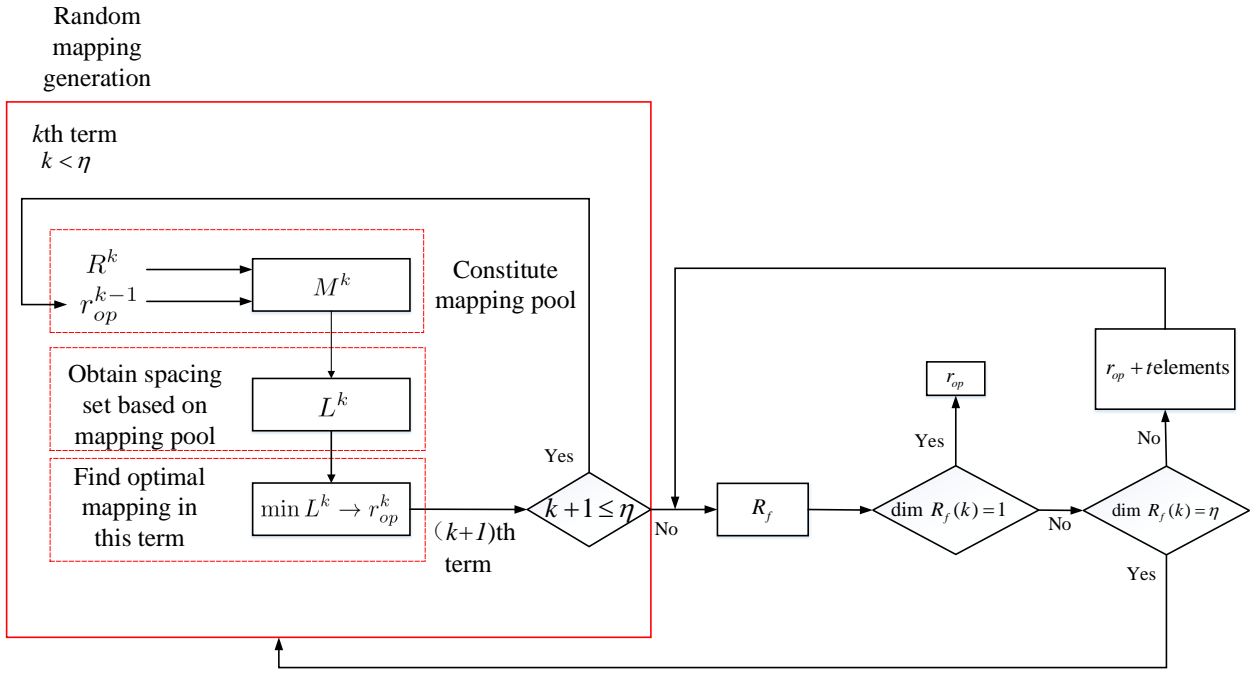


FIGURE 3.3: Adaptive random mapping algorithm

### 3.5 Simulation results

In this section, simulation results are presented to illustrate the effectiveness of the proposed algorithm. In the simulation, five elliptical agents form the multi-agent system. All agents have different long axis and short axis, which are given as

$$\begin{bmatrix} a_1 \\ b_1 \end{bmatrix} = \begin{bmatrix} 5 \\ 3 \end{bmatrix}, \begin{bmatrix} a_2 \\ b_2 \end{bmatrix} = \begin{bmatrix} 3 \\ 1 \end{bmatrix}, \begin{bmatrix} a_3 \\ b_3 \end{bmatrix} = \begin{bmatrix} 4 \\ 2 \end{bmatrix},$$

$$\begin{bmatrix} a_4 \\ b_4 \end{bmatrix} = \begin{bmatrix} 5 \\ 2 \end{bmatrix}, \begin{bmatrix} a_5 \\ b_5 \end{bmatrix} = \begin{bmatrix} 6 \\ 4 \end{bmatrix}.$$

The initial position and heading angle of each agent are given as follows

$$\begin{bmatrix} x_{10} \\ y_{10} \\ \phi_1 \end{bmatrix} = \begin{bmatrix} 3 \\ -2 \\ 0^\circ \end{bmatrix}, \begin{bmatrix} x_{20} \\ y_{20} \\ \phi_2 \end{bmatrix} = \begin{bmatrix} 10 \\ 10 \\ 37^\circ \end{bmatrix}, \begin{bmatrix} x_{30} \\ y_{30} \\ \phi_3 \end{bmatrix} = \begin{bmatrix} 2 \\ 12 \\ 100^\circ \end{bmatrix},$$

$$\begin{bmatrix} x_{40} \\ y_{40} \\ \phi_4 \end{bmatrix} = \begin{bmatrix} -7 \\ -10 \\ 154^\circ \end{bmatrix}, \begin{bmatrix} x_{50} \\ y_{50} \\ \phi_5 \end{bmatrix} = \begin{bmatrix} -12 \\ 4 \\ 97^\circ \end{bmatrix}.$$

The sensing range is  $R_{sen} = 4$ . The avoidance range is  $R_{avo} = 2$ . The control step is  $\sigma = 0.1$ . The initial iterations  $\kappa$  is given as  $\kappa = 6$ . The anti-collision parameter  $d_{stop}$  in (3.10) is 0.1. The parameter  $\varepsilon$  in (3.16) is given as  $\varepsilon = 0.5$ . The

predefined formation is set to  $F = \{[-10, 8]; [0, 4]; [8, 8]; [-6, -4]; [6, -4]\}$ . Parameter  $\lambda$  in (3.12) is given as  $\lambda = 0.5$ . The formation process is given in Figure 3.4. For the legend  $*$  used in Figure 3.4, it denotes a number that is the identity of an elliptical agent. The legend  $\Delta$  used in Figure 3.4, denotes the initial position of each elliptical agent. The minimum distance between each

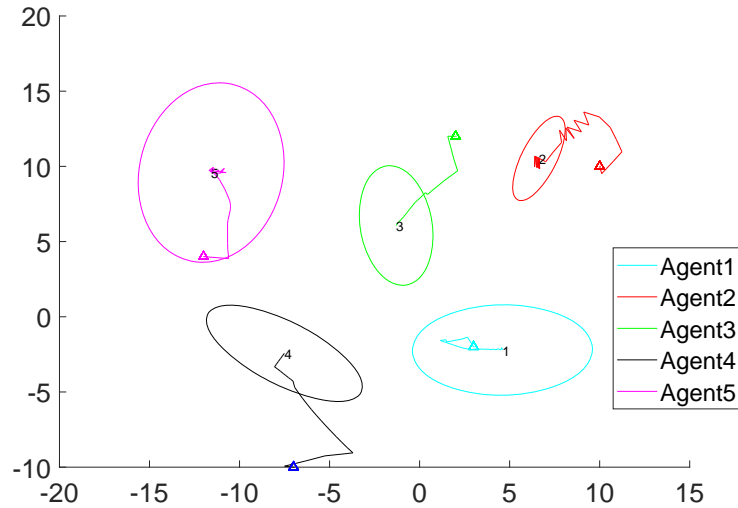


FIGURE 3.4: Trajectories of the five elliptical agents

elliptical agent and its nearest neighbor is given in Figure 3.5, which illustrates that there is no collision among the agents during moving. The control

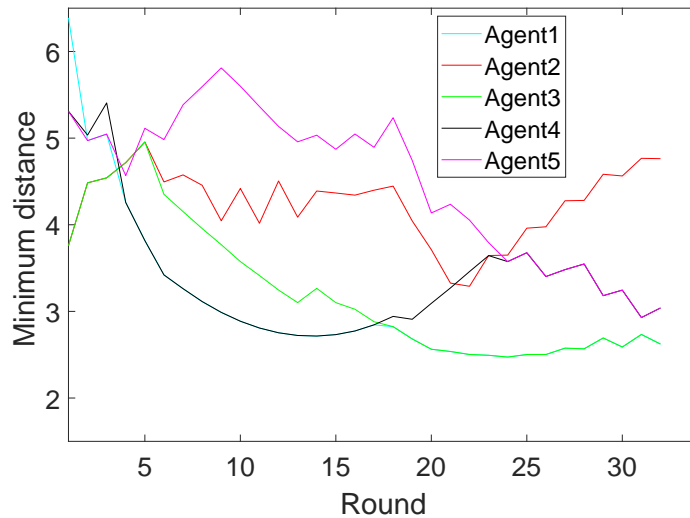


FIGURE 3.5: Minimum distance between each elliptical agent and its nearest neighbor

input for each elliptical agent is shown in Figure 3.6. All elliptical agents can move in any direction in 2D space. The parameter  $\zeta$  in (3.10) is adaptive based on the distance between current position and desired position of each agent and the sum distance of minimum distances between agents and the

agents in their avoidance group. The changes of  $\zeta$  during moving is given in Figure 3.7. In the figures,  $\zeta = 0$  implies that there is no possibility of collision of corresponding agent.

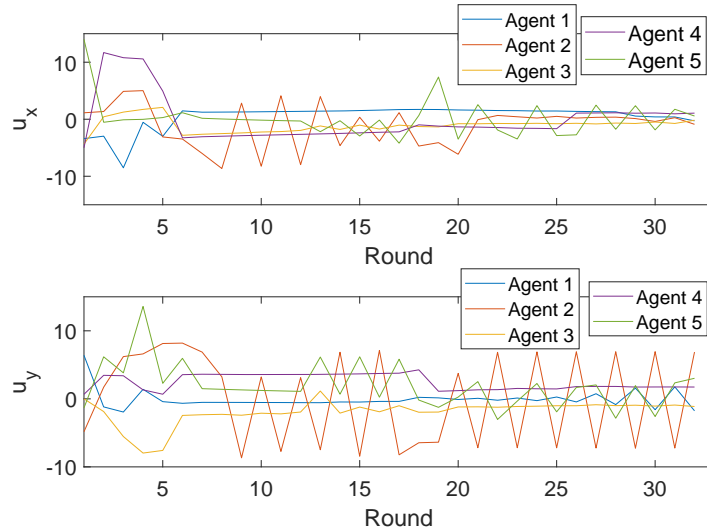


FIGURE 3.6: Control inputs for five elliptical agents

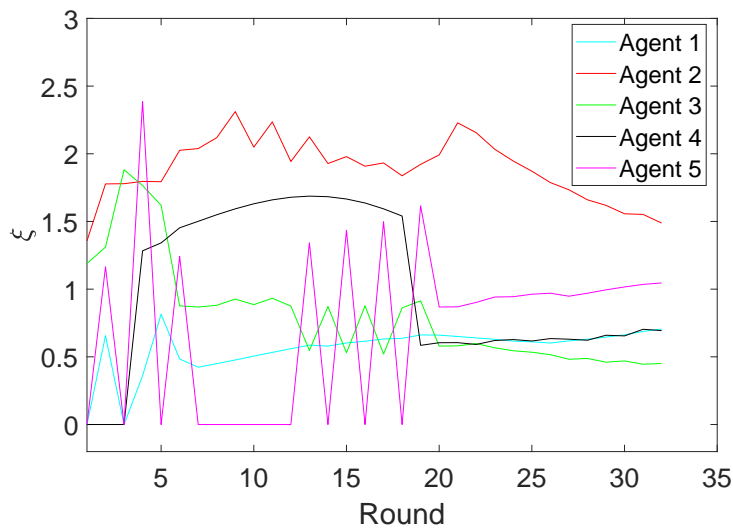


FIGURE 3.7: Changes of collision-free control parameter  $\zeta$

The comparison of the adaptive random mapping algorithm and the random mapping algorithm in [162] based on communication times is shown in Figure 3.8. It can clearly be seen that the communication times decrease, which implies the effectiveness of proposed adaptive random mapping algorithm.

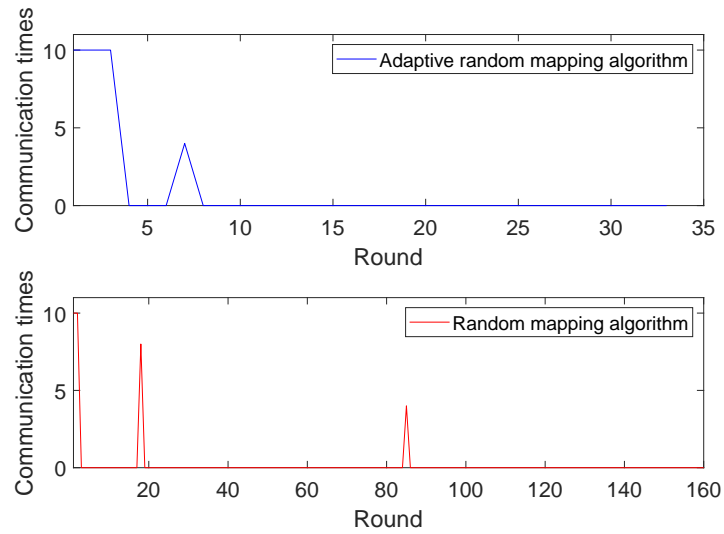


FIGURE 3.8: Comparison of the adaptive random mapping algorithm and the random mapping algorithm in [162]

### 3.6 Conclusion

This chapter established a formation control strategy to enable a group of elliptical agents to achieve predefined formation. The control input of each agent is based on the displacements between the agent and its neighboring agents. To build the collision-free control strategy, the avoidance group of each agent based on the avoidance range and minimum distances among elliptical agents are employed. An adaptive random mapping algorithm is proposed for obtaining the optimal mapping decision. Simulation results show the feasibility and effectiveness of the novel control strategy.

# 4 Event-triggered probability-driven adaptive formation control for multiple elliptical agents

## 4.1 Introduction

In this chapter, an event-triggered control scheme is proposed for a group of elliptical agents to achieve a predefined formation. The agents are assumed to have the same dynamics. The control law for each agent is only updated at its event sequence based on its own minimum collision time and deviation time. The probability-driven controller is designed to prevent the stuck problem among agents. Mapping-adaptive strategy and angle-adaptive scheme based on the minimum collision distance are also developed. Two examples with analysis are presented to demonstrate the effectiveness and potential of the new event-triggered adaptive control algorithm.

Some formation controllers are investigated for multiple elliptical agents. In [162], [163], a controller for multiple elliptical agents to reach predefined formation is proposed with limited sensing range. The formation can be constructed in any space with a reference formation, and a collision avoidance algorithm with collision angle is employed for each agent. Communication topology of multi-agent systems is studied in [164]–[166], in which the information of each agent broadcasts to its neighbors to accomplish the control process. Unlike the aforementioned research, this chapter investigates the problem of formation control for a group of agents with elliptical shape, and design a probability-driven controller based on event-triggered method and adaptive strategies. Under such a scheme, each agent will move along its planned path and change only happens when pre-defined event conditions are triggered. Each individual agent can only obtain the information from other agents through communication among agents when the control law of itself is updated. In this process, an adaptive method is employed to control the heading angles of agents to minimize the probability of collision among the agents, and to adjust the mapping of the system to reduce the moving distance of each agent. Moreover, without loss of generality, all the agents are assumed to have the same dynamics and to be equally important.

In this chapter, the main work is as follows. First, each agent has its own

event sequence based on the minimum collision time and deviation time calculated by itself. Agents only need to receive the state and velocity information in accordance with their own event sequence. Then, probability-driven controller is established to prevent the stuck problem among agents, which may happen when two or more elliptical agents are too close to each other. Also, heading angle rotation algorithm is employed to extend the minimum collision distance for each agent to further prevent collisions among agents. Adaptive mapping scheme is also given to shrink the distance between current positions of elliptical agents and the predefined formation and action time of the whole system.

**Notation.** Throughout this chapter,  $\mathbf{R}^m$  is an  $m$ th dimensional space of real numbers. The symbol  $|\cdot|$  represents the length of a vector. For any two points  $a$  and  $b$ ,  $\overrightarrow{(a,b)}$  represents the vector between  $a$  and  $b$ . If dimensions of matrices are not explicitly stated, they are assumed to be compatible for algebraic operations.

## 4.2 Problem statements

In this chapter, the agents concerned in formation control are assumed to have the shape of ellipses. The relevant elliptical formulas will be given first followed by the problem statement.

### 4.2.1 Ellipse formula

Consider the  $i$ th elliptical agent  $E_i \in \mathbf{R}^2$  of which heading angle is  $\phi_i$ , centered at  $(x_{i0}, y_{i0})^T$ , the elliptical expression can be given as,

$$E_i : [x_i, y_i, 1]A_i[x_i, y_i, 1]^T = 0 \quad (4.1)$$

where

$$A_i = \begin{bmatrix} \frac{\cos^2 \phi_i}{a_i^2} + \frac{\sin^2 \phi_i}{b_i^2} & \frac{\sin 2\phi_i}{a_i^2} & \frac{2A_{i1} \cos \phi_i}{a_i^2} \\ -\frac{\sin 2\phi_i}{b_i^2} & \frac{\sin^2 \phi_i}{a_i^2} + \frac{\cos^2 \phi_i}{b_i^2} & \frac{2A_{i1} \sin \phi_i}{a_i^2} \\ -\frac{2A_{i2} \sin \phi_i}{b_i^2} & \frac{2A_{i2} \cos \phi_i}{b_i^2} & \frac{A_{i1}^2}{a_i^2} + \frac{A_{i2}^2}{b_i^2} - 1 \end{bmatrix},$$

where  $A_i$  is the coefficient matrix based on heading angle  $\phi_i$  with

$$\begin{aligned} A_{i1} &= x_{i0} \cos \phi_i + y_{i0} \sin \phi_i, \\ A_{i2} &= -x_{i0} \sin \phi_i + y_{i0} \cos \phi_i. \end{aligned}$$

In (4.1), parameter  $a_i$  represents the long axis of elliptical agent  $E_i$ , and parameter  $b_i$  is the short axis. The set of points on  $E_i$  can be described as follow,

$$\begin{aligned} P_i &= \{p_i = (x_i, y_i) \mid \\ & \quad x_i = a_i \cos \theta \cos \phi_i - b_i \sin \theta \sin \phi_i + x_{i0}, \\ & \quad y_i = a_i \cos \theta \sin \phi_i + b_i \sin \theta \cos \phi_i + y_{i0}\}, \end{aligned} \quad (4.2)$$

where  $\theta \in [0, 2\pi]$ . The expression the points on the ellipse is used to calculate the collision distances and the associated rotation angles for multiple elliptical agents in the formation control problem addressed in this study.

### 4.2.2 Agent dynamics

This chapter focuses on moving a group of elliptical agents to the desired formation. Consider that there are  $N$  elliptical agents in the group. The set of the agents is given as

$$E = \{E_1 \ E_2 \ E_3 \dots E_N\}.$$

The emphasis on the formation strategy is to overcome the difficulties caused by the elliptical shape of the agents. Therefore, the dynamics of each elliptical agent  $E_i$  is given as single integrator model

$$\begin{bmatrix} \dot{p}_i^c \\ \dot{\phi}_i \end{bmatrix} = \begin{bmatrix} u_i \\ u_{\phi_i} \end{bmatrix}. \quad (4.3)$$

For all  $E_i \in E$ , control variable  $u_i = [u_{xi}, u_{yi}]^T$  is the position control input, and control variable  $u_{\phi_i}$  is the heading angle control input for  $E_i$ . Vector  $p_i^c = [x_{i0}, y_{i0}]^T$  represents the center position of  $E_i$ , and  $\phi_i$  is the heading angle of  $E_i$ .

### 4.2.3 Formation objective

To achieve the predefined formation, the following two assumptions are imposed.

**Assumption 6.** *Agents can move in any 2D directions.*

Note that, by Assumption 1, there is no limitation of the moving direction of an agent. Agents can reach their predefined formation with any control laws based on the event-triggered control strategy. In addition the heading angle adaptive scheme provides the rotation control inputs for the system to lengthen the collision distance among agents to avoid collisions in motion.

**Assumption 7.** *For any point  $p_i \in P_i$  on agent  $E_i$ , and for any point  $p_j \in P_j$  on agent  $E_j$ , at the initial time  $t_0$ , the distance between these two points satisfies*

$$|p_i - p_j| > \varepsilon, \quad (4.4)$$

where  $\varepsilon > 0$ .

For any  $E_i \in E, E_j \in E$ , the distance between the final positions of elliptical agents  $E_i$  and  $E_j$  satisfies

$$|f_i^{Fs} - f_j^{Fs}| > b_i + b_j + \sigma,$$

where  $\sigma > 0$ . Parameters  $b_i$  and  $b_j$  are the short axis of  $E_i$  and  $E_j$ , respectively.

Notice that Assumption 7 implies that the initial position of each agent must be such that the distances among elliptical agents are sufficiently large to avoid collision in initial status. Assumption 7 is also proposed to make sure that agents in the group will not cover the final locations where other agents want to reach.

**Formation objective.** Under Assumptions 6 and 7, a suitable control scheme should be the one capable of driving a group of elliptical agents to a predefined formation without collisions with the other agents in the group. The predefined formation is given as

$$F = \{f_1 \ f_2 \ f_3 \ \dots \ f_N\}, \quad (4.5)$$

where,  $f_i, i \in N$  is the desired position of  $E_i$ . The corresponding mapping for the group based on  $F$  is written as

$$F^s = \{f_1^{F^s} \ f_2^{F^s} \ f_3^{F^s} \ \dots \ f_N^{F^s}\}, \quad (4.6)$$

where  $f_i^{F^s}$  represents the corresponding position based on  $f_i$  of  $E_i$  and the mapping relationship of the whole group. For each agent, we design  $u_i$  such that  $p_i^c$  can move to the corresponding position  $f_i^{F^s}$ . The design condition for  $u_i$  is such that

$$\lim_{t \rightarrow \infty} (p_i^c - f_i^{F^s}) = 0, |p_i - p_j| > \varepsilon, \quad (4.7)$$

for all  $i, j \in N, i \neq j$ .

### 4.3 Event-triggered adaptive formation control design

In event-triggered control, the control actuation times are determined by an event-triggering mechanism. To introduce the event-triggered control scheme, it is assumed that the triggering time sequence is denoted by  $t^i = t_0^i, t_1^i, t_2^i, \dots, t_k^i, \dots$ . Agent  $E_i$  can receive the state information of the other agents in the group and update its control law  $u_i$  at  $t_k^i \in t^i$ . At time  $t_k^i$ , agent  $E_i$  obtains the status of the other agents, which contain the position, velocity and heading angle of each agent. The updated formation is delivered to the whole group. Based on the mapping decision and the state information,  $E_i$  can update  $u_i$  to achieve the desired formation. The event triggering scheme is given first in the following section.

#### 4.3.1 Event-triggered scheme

The event-triggered scheme in this chapter take into consideration collision and deviation problems of each agent. Each agent has its own event-triggered strategy with its collision time and deviation time. First, the collision time for each agent is calculated. The collision set for agent  $E_i$  at  $t_k^i$  is given as

$$C_i(t_k^i) = \{E_1^{C_i}(t_k^i) \ E_2^{C_i}(t_k^i) \ \dots\}. \quad (4.8)$$



where  $E_j^{C_i}(t_k^i)$  represents the possible collision agent in the system, which satisfies  $0 < \varphi_{ij} < 180^\circ$ . Here,  $\varphi_{ij}$  is the angle of  $u_i$  and  $u_j$  at  $t_k^i$ , and it can be obtained by  $\varphi_{ij} = \arccos\left(\frac{u_i(t_k^i) \cdot u_j(t_k^i)}{|u_i(t_k^i)| |u_j(t_k^i)|}\right)$ . Since the system is modelled as the single-integrator system, the control input  $u_i(t_k^i)$  can be seen as the velocity of agent  $E_i$  at  $t \in [t_{k-1}^i, t_k^i)$ , which is  $u_i(t_k^i) = v_i(t_k^i)$ . Also,  $u_j(t_k^i)$  is the velocity of  $E_j$  at  $t \in [t_{k-1}^i, t_k^i)$ . The minimum distance between  $E_i$  and  $E_j$  on the direction of speed difference  $\Delta v_{ij}(t_k^i) = (x_{ij}^v(t_k^i), y_{ij}^v(t_k^i))$  of these two agents is represented as  $d_{ij}(t_k^i)$ , while  $\Delta v_{ij}(t_k^i) = u_j(t_k^i) - u_i(t_k^i)$ . Here,  $d_{ij}(t_k^i) = |p_i(t_k^i) - p_j(t_k^i)|$ , where  $p_i(t_k^i)$  and  $p_j(t_k^i)$  are the corresponding points of  $d_{ij}(t_k^i)$  on  $E_i$  and  $E_j$ , respectively. The coordinates of  $p_i(t_k^i)$  and  $p_j(t_k^i)$  are  $(x_i(t_k^i), y_i(t_k^i))^T$ ,  $(x_j(t_k^i), y_j(t_k^i))^T$ , respectively. These two points  $p_i(t_k^i)$  and  $p_j(t_k^i)$  can be obtained by solving the following equations

$$\left\{ \begin{array}{l} m_{ij}(t_k^i) = \frac{y_{ij}^v(t_k^i)}{x_{ij}^v(t_k^i)}, \\ m_{ij}(t_k^i) = \frac{y_j(t_k^i) - y_i(t_k^i)}{x_j(t_k^i) - x_i(t_k^i)}, \\ [x_i(t_k^i), y_i(t_k^i), 1] A_i(t_k^i) [x_i(t_k^i), x_i(t_k^i), 1]^T = 0, \\ [x_j(t_k^i), y_j(t_k^i), 1] A_j(t_k^i) [y_j(t_k^i), y_j(t_k^i), 1]^T = 0, \\ \psi_{ij}(t_k^i) = \arctan\left(-\frac{b_i^2(x_i(t_k^i) - x_{i0}(t_k^i))}{a_i^2(y_i(t_k^i) - y_{i0}(t_k^i))}\right) + \phi_i(t_k^i), \\ \psi_{ji}(t_k^i) = \arctan\left(-\frac{b_j^2(x_j(t_k^i) - x_{j0}(t_k^i))}{a_j^2(y_j(t_k^i) - y_{j0}(t_k^i))}\right) + \phi_j(t_k^i), \\ \psi_{ij}(t_k^i) = \psi_{ji}(t_k^i). \end{array} \right. \quad (4.9)$$

In (4.9),  $m_{ij}(t_k^i)$  is the slope of  $\Delta v_{ij}(t_k^i)$  in time interval  $t \in [t_k^i, t_{k+1}^i)$ , while  $x_{ij}^v(t_k^i)$  and  $y_{ij}^v(t_k^i)$  are the coordinate of  $\Delta v_{ij}(t_k^i)$ . The coordinates of points  $p_i(t_k^i)$  and  $p_j(t_k^i)$  are given as  $p_i(t_k^i) = (x_i(t_k^i), y_i(t_k^i))$  and  $p_j(t_k^i) = (x_j(t_k^i), y_j(t_k^i))$ , respectively, while  $A_i$  and  $A_j$  are the coefficient matrixes of  $E_i$  and  $E_j$ , which are linked to their heading angles  $\phi_i(t_k^i)$  and  $\phi_j(t_k^i)$ . The angle between the tangent in  $p_i(t_k^i)$  and x-axis is written as  $\psi_{ij}(t_k^i)$ , while the angle between the tangent in  $p_j(t_k^i)$  and x-axis is written as  $\psi_{ji}(t_k^i)$ . The two-point line based on  $p_i(t_k^i)$  and  $p_j(t_k^i)$  is parallel to  $\Delta v_{ij}(t_k^i)$ . Points  $p_i(t_k^i)$  and  $p_j(t_k^i)$  also satisfy (4.1). Points  $p_i(t_k^i)$  and  $p_j(t_k^i)$  should ensure that the tangent of the ellipse  $E_i$  at point  $p_i(t_k^i)$  is parallel to the tangent of the ellipse  $E_j$  at point  $p_j(t_k^i)$ . Though the solution of (4.9), the corresponding points  $p_i(t_k^i)$  and  $p_j(t_k^i)$  on  $E_i$  and  $E_j$  can be calculated. The collision distance between agent  $E_i$  and  $E_j$  is

$$d_{ij}^{col}(t_k^i) = |p_i(t_k^i) - p_j(t_k^i)|, \quad (4.10)$$

and the collision time is

$$t_{ij}^{col}(t_k^i) = \frac{d_{ij}^{col}(t_k^i)}{|\Delta v_{ij}(t_k^i)|}. \quad (4.11)$$

Based on (4.8), (4.9), (4.10) and (4.11), the collision distance set and collision time set of agent  $E_i$  based on collision set  $C_i(t_k^i)$  are given as

$$\begin{aligned} D_i^{col}(t_k^i) &= \{d_1^{E_1^{C_i}(t_k^i)} \quad d_2^{E_2^{C_i}(t_k^i)} \quad \dots\}, \\ t_i^{col}(t_k^i) &= \{t_1^{E_1^{C_i}(t_k^i)} \quad t_2^{E_2^{C_i}(t_k^i)} \quad \dots\}. \end{aligned}$$

The collision time of  $E_i$  at  $\tau_k^i$  is obtained by finding the minimum time from the set  $t_i^{col}(t_k^i)$

$$\tau_i^{col}(t_k^i) = \min t_i^{col}(t_k^i). \quad (4.12)$$

**Remark 4.** To ensure that the collisions will not occur among agents, the collision time of each agent should be reduced appropriate. For agent  $E_i$ , the collision time can be updated as  $\tilde{\tau}_i^{col}(t_k^i) = \alpha \tau_i^{col}(t_k^i)$ , where  $0.5 < \alpha < 1$ . If the value of  $\alpha$  is too small, then  $\tilde{\tau}_i^{col}(t_k^i)$  will be distortion, which cannot reflect the real collision relationship among agents.

The collision relationship of agents  $E_i$  and  $E_j \in C_i(t_k^i)$  at  $t_k^i$  is shown in Figure 1.

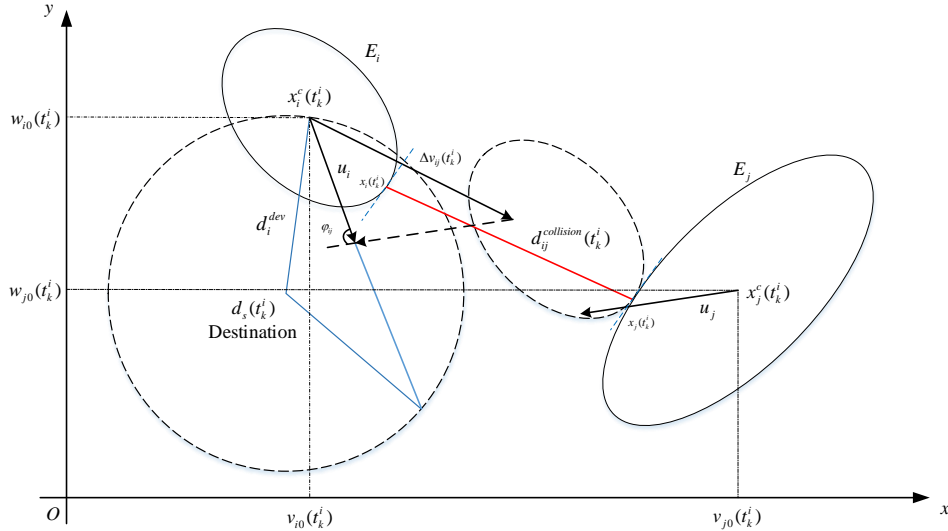


FIGURE 4.1: Collision distance and deviation distance of elliptical agent  $E_i$  at  $t_k^i$

To consider the event-triggered scheme of each agent, the deviation time of each agent also has to be studied. The deviation time means the moving

time of an agent leaving its destination if the agent moves along the current control direction. The deviation distance  $d_i^{dev}(t_k^i)$  of agent  $E_i$  at  $t_k^i$  can be obtained by the corresponding points on the deviation circle of  $E_i$ . The deviation circle of  $E_i$  is shown in Figure 4.1. The corresponding point  $p_i^{dev}(t_k^i)$  can be obtained by solving the following equations.

$$\begin{cases} [x_i^{dev}(t_k^i), y_i^{dev}(t_k^i), 1] B_i(t_k^i) [x_i^{dev}(t_k^i), y_i^{dev}(t_k^i), 1]^T = 0, \\ e_i(t_k^i) = \frac{u_i(t_k^i)}{|u_i(t_k^i)|}, \\ e_i(t_k^i) = \frac{p_i^{dev}(t_k^i) - p_i^c(t_k^i)}{|p_i^{dev}(t_k^i) - p_i^c(t_k^i)|}, \end{cases} \quad (4.13)$$

In (4.13), coordinates  $x_i^{dev}(t_k^i)$  and  $y_i^{dev}(t_k^i)$  are those of  $p_i^{dev}(t_k^i)$  on the deviation circle, and  $B_i(t_k^i)$ , the coefficient matrix of the deviation circle, is given as followings

$$B_i(t_k^i) = \begin{bmatrix} 1 & 0 & -x_i^{Fs}(t_k^i) \\ 0 & 1 & -y_i^{Fs}(t_k^i) \\ -x_i^{Fs}(t_k^i) & -y_i^{Fs}(t_k^i) & B_1(t_k^i) \end{bmatrix}.$$

Note that the coefficient matrix  $B_1(t_k^i)$  is based on the mapped desired position at  $t_k^i$  of  $E_i$ , and is given as  $f_i^{Fs}(t_k^i) = (x_i^{Fs}(t_k^i), y_i^{Fs}(t_k^i))^T$ , while the radius is represented by  $r_i(t_k^i)$  based on the mapped desired position and the center of  $E_i$ . For  $B_1(t_k^i)$ , it is given as

$$B_1(t_k^i) = (x_i^{Fs}(t_k^i))^2 + (y_i^{Fs}(t_k^i))^2 - r_i(t_k^i)^2,$$

with

$$r_i(t_k^i) = |f_i^{Fs}(t_k^i) - x_i^c(t_k^i)|.$$

The unit vector of the control momentum of  $E_i$  is denoted by  $e_i(t_k^i)$ , while  $p_i^c(t_k^i)$  is the center of  $E_i$ . The vector  $\overrightarrow{(x_i^c(t_k^i), x_i^{dev}(t_k^i))}$  is parallel to  $u_i(t_k^i)$ . The deviation distance of  $E_i$  at  $t_k^i$  can be written as

$$d_i^{dev}(t_k^i) = |x_i^{dev}(t_k^i) - x_i^c(t_k^i)|. \quad (4.14)$$

Based on (4.14) and control input  $u_i(t_k^i)$ , the deviation time  $t_i^{dev}(t_k^i)$  is given as

$$t_i^{dev}(t_k^i) = \frac{d_i^{dev}(t_k^i)}{|u_i(t_k^i)|}. \quad (4.15)$$

**Remark 5.** To ensure a timely update for the control input, the deviation time of each agent can be appropriately reduced via the following formula

$$t_i^{dev}(t_k^i) = \beta \frac{d_i^{dev}(t_k^i)}{|u_i(t_k^i)|}, \quad (4.16)$$

where  $\beta$  represents the constant coefficient. The range of  $\beta$  is given as  $0 < \beta < 1$ . Note that the smaller the  $\beta$ , the more frequent update the control law for the agent, leading to the deviation reduction of the desired position.

Based on the collision time and deviation time of each agent, the individual event-triggered timer at  $t_k^i$  for each agent in the system can be obtained by

$$Timer(t_k^i) = \min\{\tilde{\tau}^{col}(t_k^i), t^{dev}(t_k^i)\} \leq T^{ref}, t_k^i \in t^i, \quad (4.17)$$

where  $T^{ref} \in \mathbf{R}^N$  is a constant column matrix. The collision time set and deviation time set for the group of elliptical agents at  $t_k^i$  are given as  $\tilde{\tau}^{col}(t_k^i) = [\tilde{\tau}_1^{col}(t_k^i) \ \tilde{\tau}_2^{col}(t_k^i) \ \dots \ \tilde{\tau}_N^{col}(t_k^i)]^T$  and  $t^{dev}(t_k^i) = [t_1^{dev}(t_k^i) \ t_2^{dev}(t_k^i) \ \dots \ t_N^{dev}(t_k^i)]^T$ , respectively. A constant matrix  $T^{ref}$  is proposed to prevent all possible collisions and an excessive deviation distance. If  $Timer(t_k^i) \leq T^{ref}$ , then agent  $E_i$  will update its control law, otherwise, the timer  $Timer(t_k^i)$  will decrease slowly via the formula

$$Timer(t_k^i) = Timer(t_k^i) - \frac{(t^i - t_k^i)}{\Delta t} dt, t^i \in (t_k^i, t_{k+1}^i),$$

where the constant time is given as  $dt$  to decrease the *Timer* slowly when the control law is not updated, while  $\Delta t$  is the minimized time unit. The *Timer* of  $E_i$  will be reduced based on  $dt$  and  $t^i$  in each iteration.

### 4.3.2 Probability-driven controller

The probability driven controller is designed based on the event-triggered strategy. For agent  $E_i$ , the sequence of events  $t^i = t_0^i, t_1^i, t_2^i, \dots, t_k^i, \dots$  corresponds to the sequence of control updates  $u_i = u_i(t_0^i), u_i(t_1^i), u_i(t_2^i), \dots, u_i(t_k^i), \dots$ . Between the event intervals, the control value will not be changed. The control input for agent  $E_i \in E$  at  $t_k^i$  is given as

$$u_i(t_k^i) = \gamma R_i(t_k^i) (f_i^{Fs}(t_k^i) - x_i^c(t_k^i)), \quad (4.18)$$

where

$$R_i(t_k^i) = \begin{bmatrix} \cos \theta_i(t_k^i) & -\sin \theta_i(t_k^i) \\ \sin \theta_i(t_k^i) & \cos \theta_i(t_k^i) \end{bmatrix}.$$

In (4.18),  $\gamma$  is a positive constant coefficient. The rotation matrix for  $E_i$  at  $t_k^i$  is represented as  $R_i(t_k^i)$ , while  $\theta_i(t_k^i)$  is the corresponding probability rotation angle. The value of  $\theta_i(t_k^i)$  is within the interval  $(-90^\circ, 90^\circ)$ . The rotation matrix  $R_i(t_k^i)$  is proposed to avoid the stuck problem of the agents, which will appear when two or more agents are too close to each other, and their moving directions are crossed.

The algorithm of event-triggered scheme is given in Algorithm 7.

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#### Algorithm 7 Event-triggered scheme for the group of elliptical agents

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**Input:** The set of corresponding mapping formation,  $F^s$ ; The set of the coordinates of the centers of the elliptical agents,  $P^c$ ; The set of time constant,  $T^{ref}$  The number of the elliptical agent,  $N$ ;

**Output:** Update the control law for each agent based on event sequence.

```

1: for each  $i \in N$  do
2:   Calculate the initial control input  $u_i(t_0^i)$ ;
3:   Calculate the initial collision time  $\tilde{\tau}_i^{col}(t_0^i)$ ;
4:   Calculate the initial deviation time  $t_i^{dev}(t_0^i)$ ;
5:    $Timer_i(t_0^i) = \min(\tilde{\tau}_i^{col}(t_0^i), t_i^{dev}(t_0^i))$ 
6:   if  $Timer_i(t_0^i) < T_i^{ref}$  then
7:     Agent updates its control input.
8:     Communicate with the others to obtain information.
9:   else
10:    Agent maintains its original control inputs.
11:   end if
12: end for
13: while  $\sum_{i=1}^0 |d_s(t_{k-1}^i) - p_i^c(t_{k-1}^i)| > 0$  do
14:   for each  $i \in n$  do
15:     if  $Timer_i \leq 0$  then
16:       Calculate the control input  $u_i(t_k^i)$ ;
17:     end if
18:     Calculate the collision time  $\tilde{\tau}_i^{col}(t_k^i)$ ;
19:     Calculate the deviation time  $t_i^{dev}(t_k^i)$ ;
20:      $Timer_i(t_k^i) = \min[\tilde{\tau}_i^{col}(t_k^i), t_i^{dev}(t_k^i)]$ ;
21:     if  $Timer_i(t_k^i) < T_i^{ref}$  then
22:       Agent updates its control input
23:       Obtain information from the other agents though communica-
24:       tion network.
25:     else
26:       Agent maintains its original control inputs.
27:     end if
28:   end for
29:    $Timer(t_k^i) = Timer(t_k^i) - dt$ ;
30: end while

```

---

The following result provides a sufficient condition for reducing the distances between agents' current positions and their final desired formation.

**Theorem 2.** Consider system (4.3) under Assumptions 6 and 7, if the parameter  $\gamma$  in (4.18) satisfies  $0 < \gamma \leq \frac{-C_b + \sqrt{C_b^2 - 4C_a C_c}}{2p\Delta t \times C_a}$ , where  $C_a, C_b, C_c$  and  $q$  are coefficients,

$$\begin{aligned} C_a &= 2 \sum_{i=1}^N l_i^2(t_k^i), \\ C_b &= 2 \sum_{i=1}^N [\cos \theta_i(t_k^i)(x_{i0}(t_k^i) - x_i^{Fs}(t_k^i))(x_i^{Fs}(t_{k+1}^i) \\ &\quad - x_{i0}(t_k^i)) + \sin \theta_i(t_k^i)(y_{i0}(t_k^i) - y_i^{Fs}(t_k^i))(x_i^{Fs}(t_{k+1}^i) \\ &\quad - x_{i0}(t_k^i)) + \cos \theta_i(t_k^i)(y_{i0}(t_k^i) - y_i^{Fs}(t_k^i))(y_i^{Fs}(t_{k+1}^i) \\ &\quad - y_{i0}(t_k^i)) + \sin \theta_i(t_k^i)(y_{i0}(t_k^i) - y_i^{Fs}(t_k^i)) \\ &\quad - x_{i0}(t_k^i))(y_i^{Fs}(t_{k+1}^i) - y_{i0}(t_k^i))], \\ C_c &= \sum_{i=1}^N [(x_{i0}(t_k^i) - x_i^{Fs}(t_{k+1}^i))^2 + (y_{i0}(t_k^i) - y_i^{Fs}(t_{k+1}^i))^2 \\ &\quad - l_i^2(t_k^i)], \\ q &= \frac{t_{k+1}^i - t_k^i}{\Delta t}, \end{aligned}$$

where  $l_i$  represents the distance between agent  $E_i$  and its desired position,  $C_c < 0$ , and  $\Delta t$  is the minimum time interval. Then the following inequality holds:  $\sum_{i=1}^N l_i(t_k^i) \geq \sum_{i=1}^N l_i(t_{k+1}^i)$ .

*Proof.* The distances between the desired position and the center of  $E_i$  at  $t_k^i$  and  $t_{k+1}^i$  are represented by  $l_i(t_k^i)$  and  $l_i(t_{k+1}^i)$ , respectively, while  $l_i(t_k^i) = |f_i^{Fs}(t_k^i) - p_i^c(t_k^i)|$  and  $l_i(t_{k+1}^i) = |f_i^{Fs}(t_{k+1}^i) - p_i^c(t_{k+1}^i)|$ . The desired positions of  $E_i$  at different event time are  $f_i^{Fs}(t_k^i) = (x_i^{Fs}(t_k^i), y_i^{Fs}(t_k^i))^T$  and  $f_i^{Fs}(t_{k+1}^i) = (x_i^{Fs}(t_{k+1}^i), y_i^{Fs}(t_{k+1}^i))^T$ , respectively. The relationship with the center  $p_i^c(t_{k+1}^i)$  of  $E_i \in E$  at  $t_{k+1}^i$  and based on (4.18), the center  $p_i^c(t_k^i)$  at  $t_k^i$  satisfies

$$p_i^c(t_{k+1}^i) = p_i^c(t_k^i) + q\Delta t \times u_i(t_k^i), \quad (4.19)$$

where  $p_i^c(t_{k+1}^i) = (x_{i0}(t_{k+1}^i), y_{i0}(t_{k+1}^i))^T$ , and  $p_i^c(t_k^i) = (x_{i0}(t_k^i), y_{i0}(t_k^i))^T$ . The minimized time unit is represented as  $\Delta t$ , and  $q\Delta t$  is the time interval between  $t_k^i$  and  $t_{k+1}^i$ . The control input for  $E_i$  during  $[t_k^i, t_{k+1}^i)$  is  $u_i(t_k^i)$ . Based on (4.18), (4.19) can be written as

$$p_i^c(t_{k+1}^i) = p_i^c(t_k^i) + q\Delta t \times \gamma R_i(t_k^i)(f_i^{Fs}(t_k^i) - p_i^c(t_k^i)), \quad (4.20)$$

where the constant coefficient can be given as  $\eta = q\Delta t \times \gamma$

$$\eta = q\Delta t \times \gamma. \quad (4.21)$$

From (4.20), we can get the equation

$$p_i^c(t_{k+1}^i) - p_i^c(t_k^i) = \eta R_i(t_k^i) f_i^{Fs}(t_k^i) - \eta R_i(t_k^i) p_i^c(t_k^i). \quad (4.22)$$

Then we have

$$\begin{aligned} \|p_i^c(t_{k+1}^i) - p_i^c(t_k^i)\| &= \|\eta R_i(t_k^i) f_i^{Fs}(t_k^i) - \eta R_i(t_k^i) p_i^c(t_k^i)\| \\ &= \eta \|R_i(t_k^i) (f_i^{Fs}(t_k^i) - p_i^c(t_k^i))\| \\ &> \eta \|f_i^{Fs}(t_k^i) - p_i^c(t_k^i)\|, \end{aligned}$$

followed by

$$\|p_i^c(t_{k+1}^i) - p_i^c(t_k^i)\|^2 \geq \eta^2 \|f_i^{Fs}(t_k^i) - p_i^c(t_k^i)\|^2. \quad (4.23)$$

Based on (4.23) and  $\sum_{i=1}^N l_i(t_k^i) \geq \sum_{i=1}^N l_i(t_{k+1}^i)$ , one can obtain

$$\begin{aligned} &\sum_{i=1}^N \|p_i^c(t_{k+1}^i) - p_i^c(t_k^i)\|^2 \\ &\geq \eta^2 \sum_{i=1}^N \|f_i^{Fs}(t_k^i) - \eta R_i(t_k^i) p_i^c(t_k^i)\|^2 \\ &\geq \eta^2 \sum_{i=1}^N l_i^2(t_{k+1}^i). \end{aligned} \quad (4.24)$$

The range of  $\eta$  can be calculated based on (4.24), and it can be given as

$$\eta^2 \leq \frac{\sum_{i=1}^N \|p_i^c(t_{k+1}^i) - p_i^c(t_k^i)\|^2}{\sum_{i=1}^N \|f_i^{Fs}(t_k^i) - \eta R_i(t_k^i) p_i^c(t_k^i)\|^2}, \quad (4.25)$$

$$1 \leq \frac{\sum_{i=1}^N l_i^2(t_{k+1}^i)}{\sum_{i=1}^N C_i}, \quad (4.26)$$

where

$$\begin{aligned} C_i &= (x_i^{Fs}(t_{k+1}^i) - x_{i0}(t_k^i))^2 + (y_i^{Fs}(t_{k+1}^i) - y_{i0}(t_k^i))^2 \\ &\quad + 2\eta^2 l_i^2(t_k^i) + 2\eta [\cos \theta_i(t_k^i) (x_{i0}(t_k^i) - x_i^{Fs}(t_k^i)) (x_i^{Fs}(t_{k+1}^i) \\ &\quad - x_{i0}(t_k^i)) + \sin \theta_i(t_k^i) (y_{i0}(t_k^i) - y_i^{Fs}(t_k^i)) (x_i^{Fs}(t_{k+1}^i) \\ &\quad - x_{i0}(t_k^i)) + \cos \theta_i(t_k^i) (y_{i0}(t_k^i) - y_i^{Fs}(t_k^i)) (y_i^{Fs}(t_{k+1}^i) \\ &\quad - y_{i0}(t_k^i)) + \sin \theta_i(t_k^i) (y_{i0}(t_k^i) (x_i^{Fs}(t_k^i) \\ &\quad - x_{i0}(t_k^i)) (y_i^{Fs}(t_{k+1}^i) - y_{i0}(t_k^i))]. \end{aligned}$$

By solving (4.25),  $\eta$  can be given as

$$\frac{-C_b - \sqrt{C_b^2 - 4C_a C_c}}{2C_a} \leq \eta \leq \frac{-C_b + \sqrt{C_b^2 - 4C_a C_c}}{2C_a},$$

where

$$\begin{aligned}
 C_a &= 2 \sum_{i=1}^N l_i^2(t_k^i), \\
 C_b &= 2 \sum_{i=1}^N [\cos \theta_i(t_k^i)(x_{i0}(t_k^i) - x_i^{Fs}(t_k^i))(x_i^{Fs}(t_{k+1}^i) - x_{i0}(t_k^i)) \\
 &\quad + \sin \theta_i(t_k^i)(y_{i0}(t_k^i) - y_i^{Fs}(t_k^i))(x_i^{Fs}(t_{k+1}^i) - x_{i0}(t_k^i)) \\
 &\quad + \cos \theta_i(t_k^i)(y_{i0}(t_k^i) - y_i^{Fs}(t_k^i))(y_i^{Fs}(t_{k+1}^i) - y_{i0}(t_k^i)) \\
 &\quad + \sin \theta_i(t_k^i)(y_{i0}(t_k^i)(x_i^{Fs}(t_k^i) - x_{i0}(t_k^i))(y_i^{Fs}(t_{k+1}^i) \\
 &\quad - y_{i0}(t_k^i))], \\
 C_c &= \sum_{i=1}^N [(x_{i0}(t_k^i) - x_i^{Fs}(t_{k+1}^i))^2 + (y_{i0}(t_k^i) - y_i^{Fs}(t_{k+1}^i))^2 \\
 &\quad - l_i^2(t_k^i)].
 \end{aligned}$$

It can be seen that when  $\eta > 0$ , and  $C_c < 0$ ,

$$0 < \eta \leq \frac{-C_b + \sqrt{C_b^2 - 4C_a C_c}}{2C_a}. \quad (4.27)$$

Based on (4.21) and (4.27),  $\gamma$  satisfies the following condition

$$0 < \gamma \leq \frac{-C_b + \sqrt{C_b^2 - 4C_a C_c}}{2q\Delta t \times C_a}, \quad (4.28)$$

□

**Remark 6.** As the value of  $\gamma$  is based on (4.28), hence the event-triggered control strategy can ensure that  $\sum_{i=1}^N l_i(t_k^i) \geq \sum_{i=1}^N l_i(t_{k+1}^i)$ . This means that the sum of differences between current positions and desired positions of the agents in the system will reduce or remain unchanged during the moving period, which reflects that the event-triggered formation algorithm will drive the whole group to the desired formation effectively.

It should be mentioned that the algorithms developed in this chapter is used for discrete systems with the minimum time interval  $\Delta t$ , which indicates that the control momentum of each agent can only update after  $\Delta t$  of the time of the previous update. Thus, each agent can only update  $1/\Delta t$  times in 1 second, instead of triggering countless times in a short time period, thus the Zeno-behavior will not occur in our event-triggered formation strategy.

The triggering condition for agent  $E_i$  is given as follow:

$$|p_i^c(t^i) - p_i^c(t_k^i)| \leq u_i(t_k^i) \text{Timer}(t_k^i), t^i \in [t_k^i, t_{k+1}^i), \quad (4.29)$$

where  $p_i^c(t)$  is the position of  $E_i$  in  $t^i$ .



### 4.3.3 Adaptive strategy for elliptical agents

To enhance the performance of the event-triggered algorithm for a group of elliptical agent, the adaptive mapping algorithm and the heading angle control strategy are developed.

The proposed mapping decision for the whole group is based on the predefined formation  $F$  in (4.5). The desired position for each agent is obtained based on  $L(t_k^i)$  at  $t_k^i$ , while

$$L(t_k^i) = \sum_{i=1}^N |f_i^{Fs}(t_k^i) - x_i^c(t_k^i)|. \quad (4.30)$$

The mapping algorithm should guarantee that the value of  $L(t_k^i)$  is the minimum at  $t_k^i$ , and Algorithm 8 shown below is the mapping algorithm.

---

#### Algorithm 8 Mapping strategy for the group of elliptical agents

---

**Input:** The predefined formation,  $F$ ; The set of the coordinates of the centers of the elliptical agents,  $X^c$ ; The number of the elliptical agent,  $N$ ;

**Output:** The set of mapping formation,  $F^s$ .

- 1: **for** each  $i \in N$  **do**
  - 2:     **for**  $j \in [1 : i - 1, i + 1 : N]$  **do**
  - 3:         Calculate the initial mapping distance  $d_{ii} = |F_i - x_i^c|$ ;
  - 4:         Calculate the set of distance with other desired positions  $d_{ij} = |F_j - x_i^c|, D_i = d_{ij}$ ;
  - 5:         **if**  $d_{ii} < \min D_i$  **then**  $f_i^{Fs} = f_i$ ;
  - 6:         **else**  $f_i^{Fs} = f_j, d_{ij} = \min D_i$
  - 7:         **end if**
  - 8:     **end for**
  - 9: **end for**
- 

In addition, the heading angle control scheme is designed to prevent all possible collisions among agents. The heading angle of each elliptical agent is adjusted based on the collision distances among the agents. The angle control law is given as

$$\bar{u}_{\phi_i} = \rho \frac{\pi}{180^\circ}, \quad (4.31)$$

where  $\rho = [1 \ 0 \ -1]^T$ . Based on (31), the change angle of agent  $E_i \in E$  at  $t_k^i$ , represented by  $\bar{\phi}_i(t_k^i)$ , is written as

$$\bar{\phi}_i(t_k^i) = \phi_i(t_k^i) + \bar{u}_{\phi_i}. \quad (4.32)$$

The collision distances of  $E_i$  from their collision set  $D_i^{col}(t_k^i)$  are derived from (4.10), and the minimum collision distance of  $d_j^{D_i^{col}(t_k^i)}$  is given as

$$d_j^{D_i^{col}(t_k^i)} = \min D_i^{col}(t_k^i), \quad E_j \in C_i(t_k^i).$$

The reference collision distances between  $E_i$  and  $E_j$  are derived using (4.9), (4.10) and (4.32), which are denoted as  $\bar{d}_j^1(t_k^i)$ ,  $\bar{d}_j^2(t_k^i)$  and  $\bar{d}_j^3(t_k^i)$ . To avoid any potential collision, we have

$$d_j^{D_i^{col}(t_k^i)} = \max\{\bar{d}_j^1(t_k^i), \bar{d}_j^2(t_k^i), \bar{d}_j^3(t_k^i)\}. \quad (4.33)$$

The heading angle control input and final heading angle of  $E_i$  at  $t_k^i$  are written as

$$u_{\phi_i}(t_k^i) = \bar{u}_{\phi_i}(\zeta), \quad (4.34)$$

$$\phi_i(t_k^i) = \phi_i(t_k^i) + \bar{u}_{\phi_i}(\zeta), \quad (4.35)$$

where  $\zeta$  is corresponding to  $d_j^{D_i^{col}(t_k^i)}$ . The rotation algorithm, Algorithm 9, is given as follows.

---

**Algorithm 9** Rotation algorithm for the group of elliptical agents

---

**Input:** The collision distance set,  $D^{col}$ ; The set of the heading angles,  $\phi$ ;

**Output:** Update the set of the heading angles,  $\phi$ .

- 1: **for** each  $i \in n$  **do**
  - 2:     Calculate  $\bar{u}_{\phi_i}$ ;
  - 3:     Calculate  $\bar{\phi}_i$ ;
  - 4:     **for**  $d_j \in D_i^{col}$  **do**
  - 5:         **if**  $d_j = \min D_i^{col}$  **then**
  - 6:             Calculate  $\bar{d}_j^1(t_k^i), \bar{d}_j^2(t_k^i), \bar{d}_j^3(t_k^i)$ ;
  - 7:              $d_j = \max\{\bar{d}_j^1(t_k^i), \bar{d}_j^2(t_k^i), \bar{d}_j^3(t_k^i)\}$
  - 8:             Obtain  $\zeta$  corresponded to  $d_j$ .
  - 9:              $u_{\phi_i} = \bar{u}_{\phi_i}(\zeta)$
  - 10:             $\phi_i = \bar{\phi}_i(\zeta)$
  - 11:         **end if**
  - 12:     **end for**
  - 13: **end for**
- 

## 4.4 Simulation examples

In this section, simulation results are given to illustrate the effectiveness of the proposed event-triggered probability-driven adaptive formation control algorithm. There are ten elliptical agents in the group, and all the agents use the same control strategy. The elliptical shape of each agent differs. The parameters  $\alpha$  in Remark 4 and  $\beta$  in (4.16) are given as  $\alpha = 0.8$  and  $\beta = 0.6$ , respectively. The coefficient  $\gamma$  in (4.18) is valued as  $\gamma = 0.5$ . Each agent can receive the state and velocity information from other agents through their event sequence. The initial positions and heading angles of ten elliptical agents are

given as follows.

$$\begin{aligned}
[v_{10}, w_{10}, \phi_1]^T &= [12, 13, 212^\circ]^T, \\
[v_{20}, w_{20}, \phi_2]^T &= [0, 13, 127^\circ]^T, \\
[v_{30}, w_{30}, \phi_3]^T &= [-12, 13, 341^\circ]^T, \\
[v_{40}, w_{40}, \phi_4]^T &= [-12, -13, 76^\circ]^T, \\
[v_{50}, w_{50}, \phi_5]^T &= [12, -13, 42^\circ]^T, \\
[v_{60}, w_{60}, \phi_6]^T &= [0, 6, 14^\circ]^T, \\
[v_{70}, w_{70}, \phi_7]^T &= [6, 0, 0^\circ]^T, \\
[v_{80}, w_{80}, \phi_8]^T &= [-6, 0, 25^\circ]^T, \\
[v_{90}, w_{90}, \phi_9]^T &= [0, -6, 60^\circ]^T, \\
[v_{00}, w_{00}, \phi_0]^T &= [15, -4, 150^\circ]^T.
\end{aligned}$$

The agents' elliptical properties are

$$\begin{aligned}
[a_1, b_1] &= [4, 3], [a_2, b_2] = [2, 6], \\
[a_3, b_3] &= [3, 1], [a_4, b_4] = [5, 3], \\
[a_5, b_5] &= [2, 4], [a_6, b_6] = [4, 2], \\
[a_7, b_7] &= [3, 1], [a_8, b_8] = [2, 1], \\
[a_9, b_9] &= [4, 3], [a_{10}, b_{10}] = [5, 2].
\end{aligned}$$

The predefined 2D spatial formation  $F = \{f_1 \ f_2 \ \dots \ f_{10}\}$  is given as

$$\begin{aligned}
f_1 &= [10, -13], & f_2 &= [12, 16], \\
f_3 &= [-16, 19], & f_4 &= [-16, -13], \\
f_5 &= [7, 0], & f_6 &= [-8, 10], \\
f_7 &= [0, 2], & f_8 &= [-16, 0], \\
f_9 &= [0, -13], & f_{10} &= [10, 7].
\end{aligned}$$

The reference constance column matrix  $T^{ref}$  is a  $10 \times 1$  matrix, in which each element is generated between 1 and 0 randomly. The reference constant column matrix  $T_1^{ref}$  for Agent 1 to Agent 5 is

$$T_1^{ref} = [0.7418 \ 0.2768 \ 0.8868 \ 0.9505 \ 0.7591]^T.$$

The reference constant column matrix  $T_2^{ref}$  for Agent 6 to Agent 10 is

$$T_2^{ref} = [0.8183 \ 0.8073 \ 0.5442 \ 0.7416 \ 0.3784]^T.$$

The simulation examples presented below aim i) to illustrate the effectiveness of event-triggered formation control algorithm in the process of achieving

desired formation, each agent employs only the event-triggered scheme; and ii) to verify the enhanced performance of the proposed control strategy when the adaptive algorithm is incorporated.

**Example 1** The operation of the event-triggered probability-driven control algorithm, Algorithm 7, is illustrated in this example. Figure 4.2 shows the trajectories of the ten elliptical agents reaching from their initial position and orientation to their final locations as defined by the predefined formation  $F$ . The legends  $*$  and  $\triangle$  used in Figure 4.2 denote, respectively, the predefined destination positions of the group and the initial position of each agent. The numbers in black color represent the locations in the predefined formation  $F$ . The misalignment between the temporary formation and desired formation is displayed in Figure 4.3. The responses as shown in Figure 4.3 approach 0 uniformly. It can be seen that there is no increase in distance while the group moves. The control inputs are shown in Figure 4.4 and Figure 4.5. We observe that the control inputs are updated only at the event sequence, and the event sequence of each agent is different based on its collision time and deviation time. The minimum collision distance is shown in Figure 4.6. The minimum distance between each agent and other agents is always positive, which indicates that there is no collision among ten elliptical agents throughout their movement.

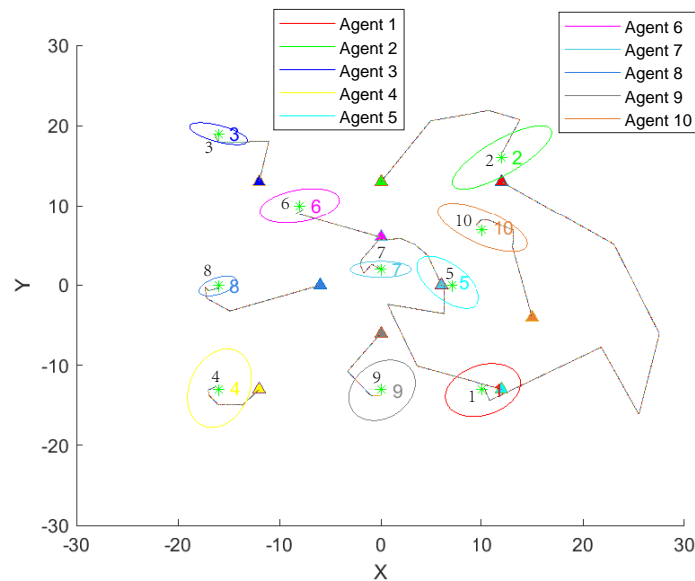


FIGURE 4.2: Trajectories of ten elliptical agents without adaptive mapping algorithm

**Example 2** In this example, the mapping adaptive algorithm given in Algorithm 2 and the heading angle adaptive algorithm in Algorithm 9 are incorporated in the event-triggered control scheme of each elliptical agent. The mapping adaptive algorithm is employed to find the optimal mapping decision for each agent. Figure 4.7 shows the trajectories of ten elliptical agents, and it can be seen that agents achieve the nearest positions based on  $F$  with the minimum distance to the predefined formation of the whole group. The

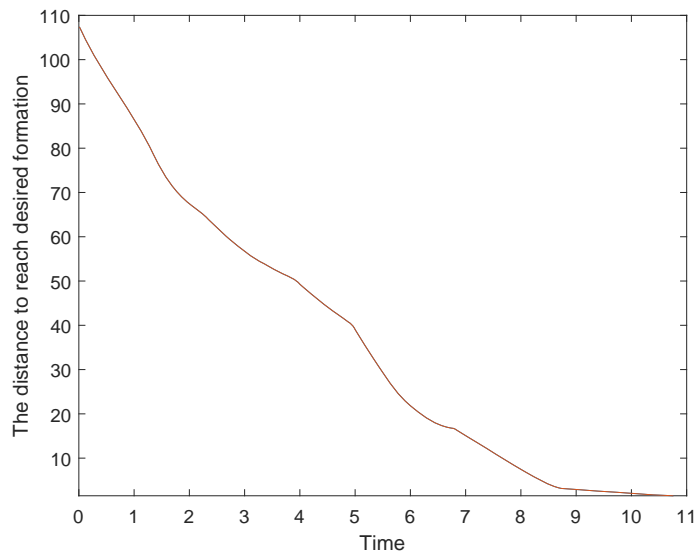
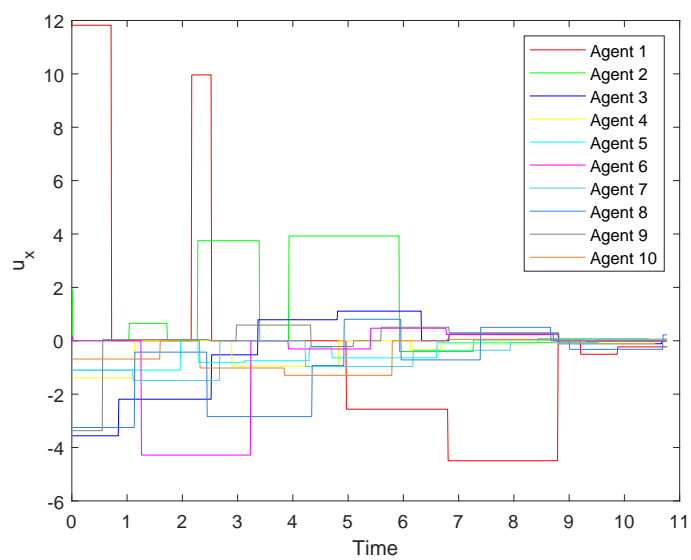


FIGURE 4.3: Distance to reach desired formation

FIGURE 4.4: Control signal  $u_x$  of the elliptical agents

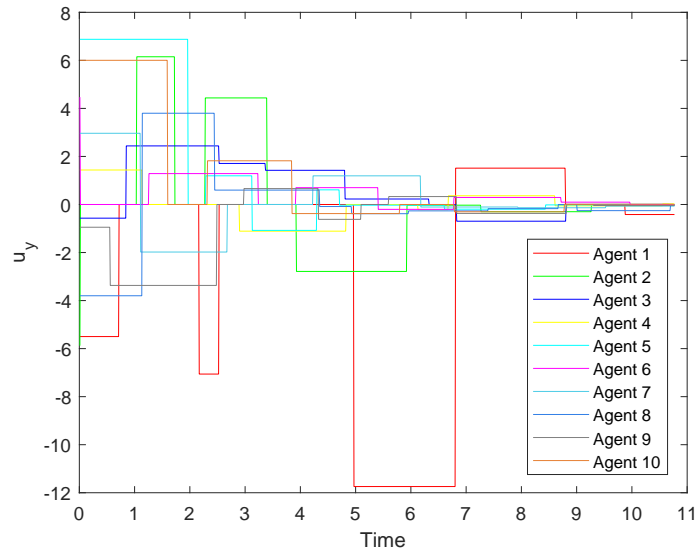


FIGURE 4.5: Control signal  $u_y$  of the elliptical agents

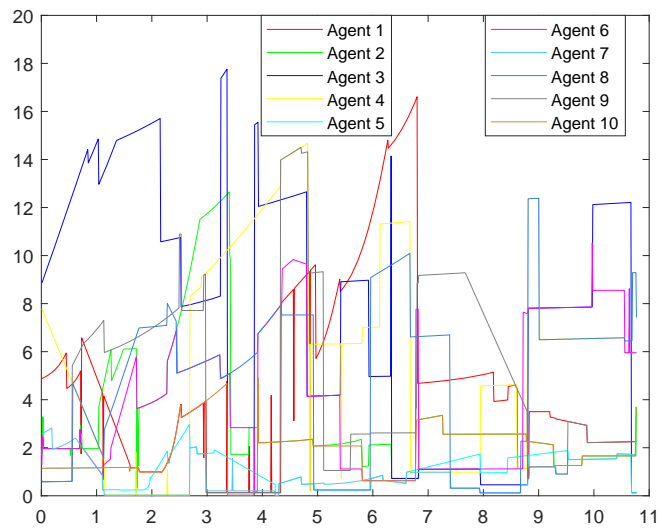


FIGURE 4.6: Changes in minimum collision distance of the elliptical agents

misalignment between the temporary formation and the predefined formation is displayed in Figure 4.8. Compared with Figure 4.3, the distance between initial positions and final formation in Figure 4.8 is about 80, which is much smaller than the initial distance in Figure 4.3, which is about 110. The control inputs for each elliptical agent are shown in Figure 4.9 and Figure 4.10. Shown in Figure 4.11 is the change of minimum collision distance of each agent. The minimum distance between each agent and other agents is greater than that in Figure 4.6. The change of heading angle based on the rotation algorithm is shown in Figure 4.12. The heading angle of each elliptical agent is changing to expand the minimum distance between each agent to further prevent collision among agents.

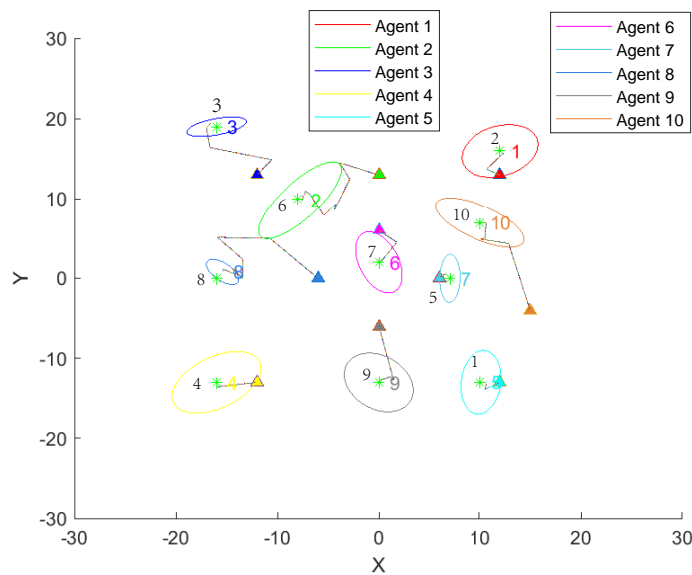


FIGURE 4.7: Trajectories of ten elliptical agents with adaptive mapping algorithm and the rotation algorithm

## 4.5 Conclusion

This chapter proposed an event-triggered control algorithm to drive a group of elliptical agents to a predefined formation. The control input update for each agent was event-driven, depending on the minimum collision time and deviation time of each agent. Each individual agent has its own event sequence. It can receive the state and velocity information of the others at the time when an event is triggered. The probability-driven control law is developed to prevent the stuck problem. Also, adaptive algorithms of mapping and angle rotation are proposed to enhance the performance of event-triggered control algorithm. Mapping is updated based on the minimum distance of distance to reach predefined formation. The rotation algorithm is employed to expand the minimum collision distance among agents. Simulation results of the event-triggered control algorithm and event-triggered

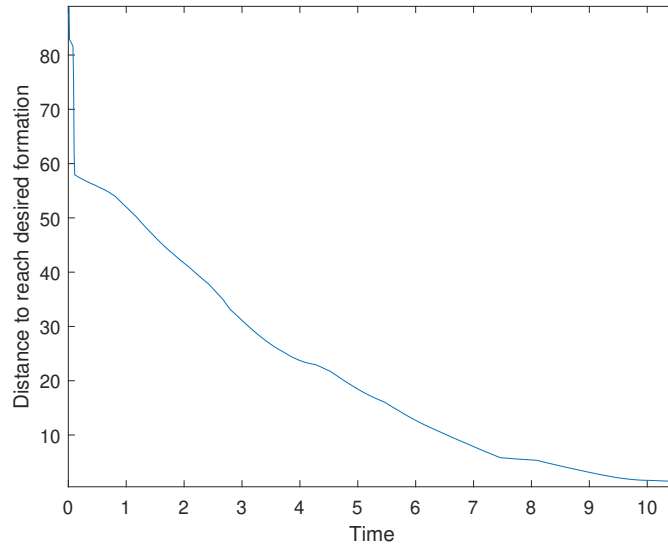


FIGURE 4.8: Distance to reach desired formation

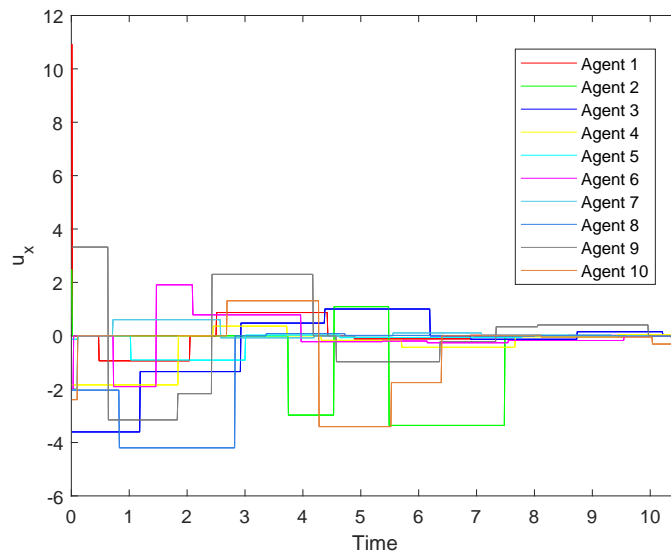


FIGURE 4.9: Control signal  $u_x$  of the elliptical agents



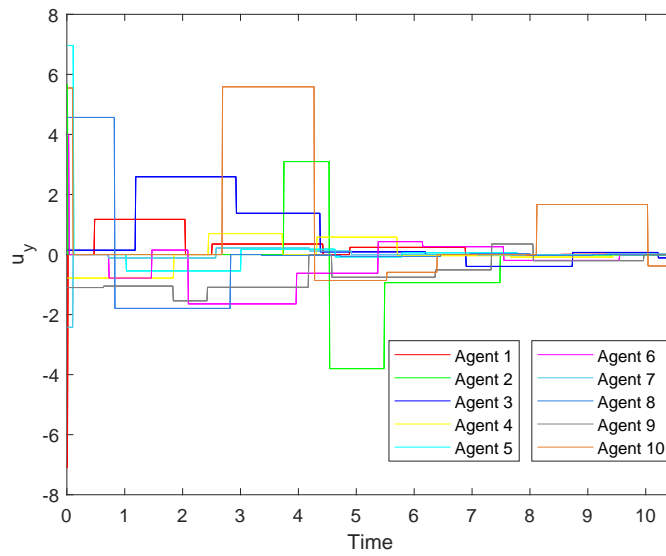
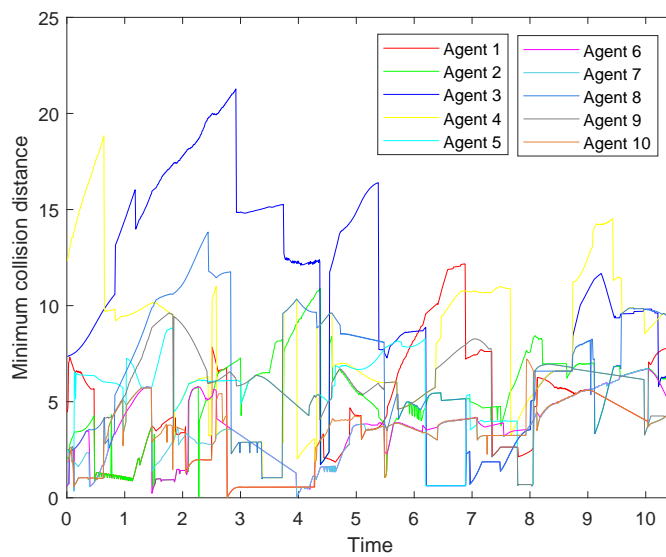
FIGURE 4.10: Control signal  $u_y$  of the elliptical agents

FIGURE 4.11: Changes in minimum collision distance of the elliptical agents

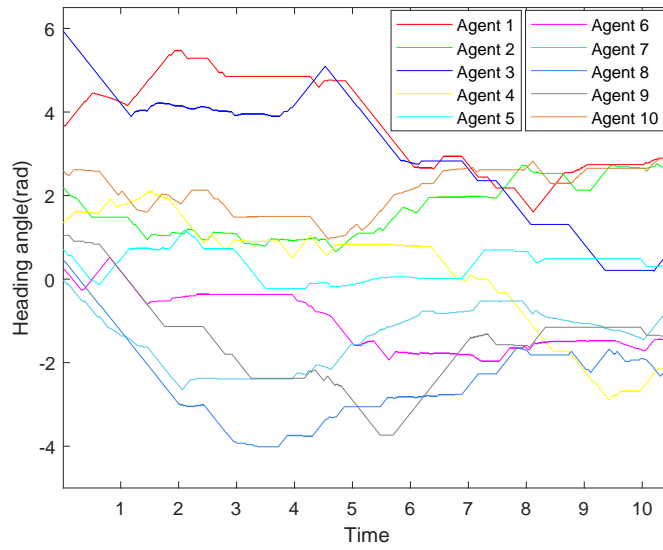


FIGURE 4.12: Changes in heading angle of the elliptical agents

adaptive control algorithm were given to demonstrate the feasibility and effectiveness of the new control design scheme.

# 5 Two-stage reconfiguration strategy for multi-agent systems

## 5.1 Introduction

In this chapter, a two-stage reconfiguration strategy is presented for a group of agents to find its special formation, which can be seen as transition of the predefined formations, during idle time in order to minimize the reconfiguration time. The basic reconfiguration strategy combines with a random mapping algorithm to find optimal special formation. To meet the practical requirements, agents are modeled as circles or ellipses. The anti-overlapping strategies are built to construct the achievable special formation based on the geometric properties of circle and ellipse. Several examples with analysis are presented to demonstrate the effectiveness and potential of the new design technique.

To enhance the usability of multi-agent systems, reconfiguration strategy should be proposed for execution of multiple formation tasks. In this chapter, a two-stage reconfiguration strategy with a random mapping algorithm is proposed to find the optimal special formation for a group of agents during the idle time. The idle time is the time interval between two tasks. This optimal special formation is found to minimize the expected reconfiguration time. Agents are formed as dots, circles and ellipses, respectively. In [144], [145], the mapping relationships between the group of agents and the predefined desired formations is fixed. The agents are all considered as points, which will not produce the overlapping problem in special designated formation. In this chapter, mapping relations between current formation of the group of agents and the predefined formations are adaptive based on the current formation of the agents. The random mapping algorithm proposed in this chapter is developed from [163]. The optimal special formation can be obtained based on the probability of the occurrence of each predefined formation, the corresponding absolute positions of each agent and the mappings achieved by the random mapping algorithm. In this chapter, the two-stage reconfiguration strategy is applied into a group of dot agents to verify the usability of this algorithm. To get closer to reality, the agents should have their own shapes, which are studied in much literature [94], [167]–[169]. In this chapter, agents are studied as dot agents, circular agents and elliptical agents. Once the agents are considered with their own shapes (circles and ellipses), the overlapping problem will occur when only introduce the two-stage reconfiguration strategy used in dot agents. Hence, the two-stage reconfiguration strategy with the random mapping algorithm should be updated based on the geometric features of the agents. The effectiveness of the

two-stage reconfiguration strategy for dot agents, circular agents and elliptical agents are illustrated by simulation.

In this chapter, the main work is as follows. First, a two-stage reconfiguration strategy based on dot agents is proposed during idle time with a random mapping algorithm. Different with [144], [145], the mapping relationship applied in this chapter is changing based on the current positions of the agents and the predefined formation. This mapping algorithm is constructed based on the minimum expected moving distance between the current positions of the group of agents and each predefined formation. Second, to meet the practical requirements, the two-stage reconfiguration scheme is improved due to the circular shapes of the agents, which are used to find the optimal special formation without overlapping problem during idle time. Third, the two-stage reconfiguration strategy for elliptical agents is developed in view of geometric features of the elliptical agents to deal with the overlapping problem happens among agents.

**Notation.** Throughout this chapter,  $\mathbf{R}^m$  is an  $m$ th dimensional space of real numbers. The symbol  $|\cdot|$  represents the length of a vector. For any two points  $a$  and  $b$ ,  $\overrightarrow{(a,b)}$  represents the vector between  $a$  and  $b$ . Matrices are assumed to be compatible for algebraic operations. If the dimensions of matrices are not explicitly stated, they are assumed to be compatible for algebraic operations.

## 5.2 Two-stage reconfiguration strategy for dot agents

In this section, a two-stage reconfiguration is developed with a random mapping algorithm for dot agents. A special formation should be constructed during idle time  $T$  to simplify the movement process in next process. The position of each agent in this special formation can be obtained based on the probability of each predefined formation being the next task and the mapping relations of the current positions for all agents and each predefined formation. Idle time  $T$  is a period time between two movement process. In our two-stage reconfiguration strategy, it is assumed that idle time  $T$  is fixed and long enough to accomplish the calculation and movement to the special formation. In the  $N$  agents are assumed to form the multi-agent system,

$$E = \{E^1 E^2 \dots E^N\}. \quad (5.1)$$

The predefined formation set with  $q$  predefined formation is given as

$$F = \{F^1 F^2 \dots F^q\}, \quad (5.2)$$

while  $F^s \in F$  represents the  $s$ th predefined formation which is given as

$$F^s = \{f_1^s f_2^s \dots f_N^s\} \in \mathbb{R}^{2N}. \quad (5.3)$$

In (5.2),  $f_i^s$  is the position of  $i$ th point in  $F^s$ , where  $f_i^s \in \mathbb{R}^2$ . The current positions for the multiple agents are given as

$$P = \{p_1 p_2 \dots p_N\} \in \mathbb{R}^{2N}, \quad (5.4)$$

where  $p_j = (x_j^c, y_j^c)$ ,  $x_j^c$  and  $y_j^c$  are the x-axis coordinate and y-axis coordinate for agent  $E_j$ , respectively. The special formation which is constructed during idle time  $T$  can be written as

$$P(a) = \{p_1(a) p_2(a) \cdots p_N(a)\} \in \mathbb{R}^{2N}, \quad (5.5)$$

where  $p_j(a) = (x_j^c(a), y_j^c(a))$ ,  $x_j^c(a)$  and  $y_j^c(a)$  are the x-axis coordinate and y-axis coordinate for agent  $E_j$  in special formation, respectively. Instead of the fixed relation between each agent and each predefined formation, the changing mappings are calculated to reduce the moving distance. The mapping relations can be obtained by the random mapping algorithm. The random mapping algorithm is based on the minimum moving distance for the whole group of agents. For the  $s$ th predefined formation  $F^s$ , to find the optimal mapping relation between  $F^s$  and  $P$ , the random mapping algorithm is described as follows.

**Initialization.** In the first  $\kappa$  iterations,  $\eta$  mapping relations will be generated in each iteration. In the  $k$ th iteration, the mapping relations are given as

$$M^s(k) = \{M_1^s(k) M_2^s(k) \cdots M_\eta^s(k)\}, 0 < k \leq \kappa, \quad (5.6)$$

$$M_i^s(k) = \{m_1^s(k) m_2^s(k) \cdots m_N^s(k)\}, i \in \eta, \quad (5.7)$$

where,  $M_i^s(k) \in M^s(k)$ . The sum of the distance between  $F^s$  and  $P$  based on each member in  $M^s(k)$  is calculated by Euclidean distance, which is given as

$$L^s(k) = \{L_1^s(k) L_2^s(k) \cdots L_\eta^s(k)\}, \quad (5.8)$$

$$L_i^s(k) = \sum_{j=1}^N |f_j^s(M_i^s(k)) - p_j|. \quad (5.9)$$

The optimal mapping  $m_{op}^s(k)$  satisfies that

$$L_{op}^s(k) = \min\{L^s(k), L_{op}^s(k-1)\}, \quad (5.10)$$

where  $L_{op}^s(k-1)$  represents the sum distance under optimal mapping in the  $(k-1)$ th iteration between  $F^s$  and  $P$ . For the first iteration,  $L_{op}^s(k-1)$  can be calculated based on the initial mapping relation  $M_0^s$  which is given as a condition, while

$$\begin{aligned} M_0^s &= \{\bar{m}_1^s \bar{m}_2^s \cdots \bar{m}_N^s\}, 1 \leq s \leq \eta, \\ M_0 &= \{M_0^1 M_0^2 \cdots M_0^\eta\}, \end{aligned}$$

where  $M_0$  is the set of the initial mapping relations of the predefined formations. In the first  $\kappa$  iterations, the optimal mapping in each iteration will be put into the optimal set  $M_{op} \in \mathbb{R}^{N\eta}$ ,

$$M_{op} = \{m_{op}^s(1); m_{op}^s(2); \cdots; m_{op}^s(\kappa)\}. \quad (5.11)$$

**Then.** From the  $(\kappa+1)$ th iteration, the similarity of  $M_{op}$  should be considered first. In the  $(\kappa+1)$ th iteration, if no coincident element occurs in  $M_{op}$ ,

which means the optimal mappings in the first  $\kappa$  iterations are totally different. Then  $\eta$  new mapping relations will be generated. The optimal mapping  $m_{op}^s(\kappa + 1)$  in the  $(\kappa + 1)$ th iteration could be filtered based on (5.8), (5.9) and (5.10), while the optimal set  $M_{op}$  will be changed to

$$M_{op} = \{m_{op}^s(2); m_{op}^s(3); \dots; m_{op}^s(\kappa + 1)\}. \quad (5.12)$$

If there are overlapping elements in each row in  $M_{op}$ , the algorithm will act as follows. Assumed that  $r$  elements are the same in each row, if  $r \ll n$ ,  $r$  can be regarded as 0. Then, the algorithm will run as if there are no duplicate elements. If  $r$  is big enough, then these overlapping elements can be seen as the fixed elements in optimal mapping  $m_{op}^s(\kappa + 1)$ . Then,  $(n - r)$  mappings with  $(N - r)$  elements in each mapping should be generated, where  $m_i^s(\kappa + 1) \in \mathbb{R}^{(N-r)(N-r)}$ ,  $0 < i \leq n - r$ . Combined these  $(N - r)$  elements and  $r$  fixed elements, based on (5.8), (5.9) and (5.10), optimal mapping  $m_{op}^s(\kappa + 1)$  can be obtained. Then the optimal set should be updated as (5.12). If all mappings in  $M_{op}$  are the same, the final optimal mapping  $m_{op}^s$  is written as  $m_{op}^s(\kappa)$ .

The random mapping algorithm will iterate until the final optimal mapping is found.

To acquire the position for each agent in the special formation, the probability of occurrence of each predefined formation in the next mission should be given first. The probability of sth predefined formation  $F^s$  is given as  $q^s$ , while  $q_j^s$  represents the probability of agent  $E_j$  in the multi-agent system to move to  $F^s$  in the next mission. It can be seen that  $q^s \in [0, 1]$ , while  $q^1 + q^2 + \dots + q^q = 1$ . Using Lemma 1 in [144], the position for each agent in special formation can be obtained by

$$p_j(a) = \sum_{i=1}^q q_j^i f_j^i, 1 \leq j \leq N, \quad (5.13)$$

where  $q_j^i$  is the probability of occurrence of the agent  $E_j$  in predefined formation  $F^i$ . The position corresponding to  $E_j$  agent based on  $m_{op}^i$  in the  $i$ th predefined formation. In this section, the agents are considered as dots, which means no collision will occur among the agents. Hence, the positions for all agents in the group based on (5.13) are the final positions in special formation. The algorithm for the two-stage reconfiguration algorithm for a group dot agents is given as follow.

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**Algorithm 10** Two-stage reconfiguration for dot agents

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**Input:**

- The set of predefined formation,  $F$ ;
- The set of the current positions of the centers of the dot-shape agents,  $P$ ;
- The number of the predefined formation,  $q$ ;
- The number of the agent,  $N$ ;

The coefficient,  $\kappa$ ;

Initial mapping relation sets,  $M_0$ ;

Probability of each predefined formation,  $q = \{q^1 q^2 \cdots q^e\}$ ;

**Output:**

Find the special formation during idle time  $T$ ,  $P(a)$ ;

```

1: for  $1 \leq s \leq \varrho$  do
2:   while do
3:     for  $k = 1 : 10000000$  do
4:       if  $k \leq \kappa$  then
5:         Generate  $\eta$  mapping relations,
6:          $M^s(k) = \{M_1^s(k) M_2^s(k) \cdots M_\eta^s(k)\}$ ;
7:         for  $1 \leq i \leq \eta$  do
8:           Calculate the sum distance based on  $M_i^s$ ;
9:            $L_i^s(k) = \sum_{j=1}^N |f_j^s(M_i^s(k)) - p_j^c|$ ;
10:        end for
11:         $L_{op}^s(k) = \min\{L_1^s(k) L_i^s(k) \cdots L_i^s(k), L_{op}^s(k-1)\}$ ;
12:        Find  $m_{op}^s(k)$  based on  $L_{op}^s(k)$ ;
13:         $M(op)(k, :) = m_{op}^s(k)$ ;
14:       else
15:         Find the number of overlapping elements in  $M(op)$ ,  $r$ ;
16:         if  $r = 0$  or  $r \ll N$  then
17:           Repeat the steps such as  $k \leq \kappa$ ;
18:           Update  $M(op)$ ;
19:         else if  $r < N$  then
20:            $r$  overlapping elements is seen as the fixed elements in
21:            $m_{op}^s(k)$ ;
22:           Generate  $N - r$  mappings with  $N - r$  elements;
23:           Combined  $N - r$  generated elements and  $r$  fixed ele-
24:           ments to find  $L_{op}^s(k)$ ;
25:           Find  $m_{op}^s(k)$  based on  $L_{op}^s(k)$ ;
26:           Update  $M(op)$ ;
27:         else
28:           The final optimal mapping  $m_{op}^s = m_{op}^s(\kappa)$ ;
29:           break;
30:         end if
31:       end if
32:     end for
33:   end while
34: end for
35: for  $1 \leq j \leq N$  do
36:    $p_j(sp) = \sum_{i=1}^{\varrho} q_i^j f_j^i$ ;
37: end for
38: Obtain the special formation  $P(a) = \{p_1(a) p_2(a) \cdots p_N(a)\}$ 

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### 5.3 Two-stage reconfiguration strategy for circular agents

In this section, the two-stage reconfiguration strategy for circular agents is developed to investigate the overlapping problem. In reality, agents always have their own shapes instead of dots, which will lead to collision and overlapping problems. To solve the possible overlapping issue, the two-stage reconfiguration strategy for circular agents is proposed to find the optimal special formation  $P(c) = \{p_1(c) p_2(c) \cdots p_N(c)\}$  for the group.

Firstly, the special formation  $P(a)$  in idle time  $T$  can be calculated by (5.13). The distance between each point in  $P(a)$  should be calculated to determine whether the circular agents overlap. The distance set  $D^c \in \mathbb{R}^m$  can be calculated as

$$\begin{aligned} d_{jl}^c &= |p_j - p_l|, j \neq l, \\ d_{jl}^c &= \infty, \quad j = l, \end{aligned} \quad (5.14)$$

where  $d_{jl}^c$  is the distance between the positions  $p_j$  and  $p_l$  of agent  $E_j$  and agent  $E_l$  in special formation, while  $p_j$  and  $p_l$  can be obtained by (5.13). To avoid overlapping among circular agents, reference distance set  $D^f$  is given as

$$\begin{aligned} D^f &= \begin{bmatrix} d_{11}^f & d_{12}^f & \cdots & d_{1N}^f \\ \vdots & \vdots & \vdots & \vdots \\ d_{N1}^f & d_{N2}^f & \cdots & d_{NN}^f \end{bmatrix}, \\ d_{jl}^f &= r_j + r_l + \varepsilon, j \neq l, \\ d_{jl}^f &= 0, \quad j = l, \end{aligned} \quad (5.15)$$

where  $r_j$  and  $r_l$  are the radius of circular agent  $E_j$  and  $E_l$ , respectively. Coefficient  $\varepsilon$  is a constant parameter, while  $d_{jl}^f$  is the reference coincidence distance for agent  $E_j$  to agent  $E_l$ . The difference between  $D^f$  and  $D^c$  is given as

$$\begin{aligned} \tilde{D} &= D^f - D^c, \\ \tilde{d}_{jl} &= d_{jl}^f - d_{jl}^c. \end{aligned} \quad (5.16)$$

Based on  $\tilde{D}$ , it can be determined whether there is overlap among circular agents. For agent  $E_j$ ,

- (1) if all  $\tilde{d}_{jl} \in \tilde{D} \leq 0$ , agent  $E_j$  does not have overlapping problem;
- (2) if there is  $\tilde{d}_{jl} \in \tilde{D} > 0$ , there is overlapping problem for  $E_j$ . Assumed that  $\alpha$  agents coincide with  $E_j$ , the overlapping agent set of  $E_j$  is given as

$$\begin{aligned} E^j(P(c)) &= \{E_1^j(P(c))E_2^j(P(c)) \\ &\quad \cdots E_\alpha^j(P(c))\}. \end{aligned} \quad (5.17)$$



To analyze the overlapping direction, the following decision method is proposed

$$\begin{cases} S_1 = \{(x_i^c(a) - x_j^c(a))\}, \\ S_2 = \{(y_i^c(a) - y_j^c(a))\}, \end{cases} \quad (5.18)$$

where agent  $E_l \in E^j(P(c))$ , and  $x_i^c(a)$ ,  $x_j^c(a)$ ,  $y_i^c(a)$  and  $y_j^c(a)$  are the x-axis and y-axis coordinates of agent  $E_j$  and  $E_l$  in special formation  $P(a)$ , respectively. If any  $s_1, s_2 \in S_1, s_1 \times s_2 > 0$  or any  $s_3, s_4 \in S_2, s_3 \times s_4 > 0$ , the overlapping areas of the agent  $E_j$  and all  $E_l \in E^j(P(c))$  is on one side;

- (3) if there is  $s_1, s_2 \in S_1, s_1 \times s_2 < 0$  and  $s_3, s_4 \in S_2, s_3 \times s_4 < 0$ , the overlapping areas surround  $E_j$ .

Under the above conditions, the positions for circular agents in optimal special formation  $P(c)$  can be updated based on the Theorem 3 as follows.

**Theorem 3.** *Let the circular agents be currently positioned  $P$ . Based on the special formation  $P(a)$  obtained in (13), the positions for multiple circular agents in special formation  $P(c)$  can be obtained as*

- (1) Under condition (1),  $p_j(c) = p_j(a)$ ;

- (2) Under condition (2),

$$p_j(c) = \begin{cases} p_j(a) - (\mu(d_{jl}^f - d_{jl}^c) \cos \theta_{jl}, 0), \\ s_1 \times s_2 > 0 \\ p_j(a) - (0, \mu(d_{jl}^f - d_{jl}^c) \sin \theta_{jl}), \\ s_3 \times s_4 > 0, \end{cases}$$

$$p_l(c) = p_l(a).$$

- (3) Under condition (3),

$$p_j(c) = p_j(a).$$

$$p_l(c) = p_l(a) + (d_{jl}^f - d_{jl}^c) \frac{p_l(a) - p_j(a)}{|p_l(a) - p_j(a)|},$$

where  $p_j(c)$  is the position of agent  $E_j$  in  $P(c)$  and  $p_j(a)$  is the position of agent  $E_j$  in  $P(a)$ . Distance  $d_{jl}^c$  and  $d_{jl}^f$  is obtained in (5.14) and (5.15), and  $\theta_{jl}$  is the angle between between  $\overrightarrow{(p_j(a), p_l(a))}$  and axis x or y based on  $S_1$  and  $S_2$ . The parameter  $\mu$  is a positive coefficient, where  $0 < \mu < 1$ . In (2), to calculate  $p_j(c)$ , agent  $E_l$  is corresponding to the maximum distance on moving direction, while the positions of  $E_j$  and  $E_l$  are given as  $p_j(a) = (x_j^c(a), y_j^c(a))$  and  $p_l(a) = (x_l^c(a), y_l^c(a))$ .

*Proof.* Consider condition (1) in Theorem 3, if all  $\tilde{d}_{jl} \in \tilde{D} \leq 0$  for agent  $E_j$ , it can be seen that there is no overlapping problem for  $E_j$ . Hence the position  $p_j(c)$  does not need to be updated based on  $p_j(a)$ , which is given as

$$p_j(c) = p_j(a). \quad (5.19)$$

For condition (2) in Theorem 3, if any  $s_1, s_2 \in S_1, s_1 \times s_2 > 0$  or any  $s_3, s_4 \in S_2, s_3 \times s_4 > 0$ , then it can be seen that all agents that overlapped with agent  $E_j$  are on one side of agent  $E_j$ . Coincidence distances  $D^j$  of  $E_j$  and  $E_l \in E^j(P(c))$  can be obtained as

$$\begin{aligned} D^j &= \{d_1 d_2 \cdots d_\alpha\}, \\ d_l &= d_{jl}^f - d_{jl}^c, 1 \leq l \leq \alpha, \end{aligned} \quad (5.20)$$

where  $d_{jl}^f$  and  $d_{jl}^c$  can be obtained in (5.14) and (5.15).

If  $s_1 \times s_2 > 0$ , then  $D^j$  will be projected onto the x-axis as

$$\begin{aligned} D_p^j &= \{d_1^{D_p^j} d_2^{D_p^j} \cdots d_\alpha^{D_p^j}\}, \\ d_l^{D_p^j} &= d_l \cos \theta_{jl}, 1 \leq l \leq \alpha, \end{aligned} \quad (5.21)$$

where  $\theta_{jl}$  is the angle between  $\overrightarrow{(p_j(a), p_l(a))}$  and x-axis,

$$\theta_{jl} = \arccos \frac{x_l^c(a) - x_j^c(a)}{|p_l(a) - p_j(a)|}, E_l \in E^j(P(c)), \quad (5.22)$$

where  $p_j(a)$  and  $p_l(a)$  are the positions of  $E_j$  and  $E_l$  in  $P(a)$ , respectively. And  $x_j^c(a)$  and  $x_l^c(a)$  are the coordinates of these two agents in x-axis. Based on (5.21) and (5.22), moving vector  $\lambda_j$  for agent  $E_j$  is written as

$$\lambda_j = |\lambda_j| e_{jl}, \quad (5.23)$$

where  $|\lambda_j|$  represents the length of  $\lambda_j$  for  $E_j$ ,

$$\begin{aligned} |\lambda_j| &= \mu \max D_p^j \\ &= \pm \mu (d_{jl}^f - d_{jl}) \cos \theta_{jl}, \end{aligned} \quad (5.24)$$

where  $0 < \mu < 1$  is a constant coefficient, and  $E_l$  is corresponded to  $\max D_p^j$ . Symbol  $\pm$  is decided by  $\theta_{jl}$ . The unit vector that  $\overrightarrow{(p_j(a), p_l(a))}$  projects onto the x-axis is  $e_{jl} = [\pm 1, 0]$ , which is decided by  $\theta_{jl}$ . If all  $x_l^c(a) - x_j^c(a) > 0$ , all  $E_l \in E_j(P(c))$  are on the right side of  $E_j$ . Thus,  $-\pi/2 < \theta_{jl} < \pi/2$ , and the moving direction of  $E_j$  is along  $[-1, 0]$ . The moving distance is given as

$$|\lambda_j| = \mu (d_{jl}^f - d_{jl}) \cos \theta_{jl}. \quad (5.25)$$

If all  $x_l^c(a) - x_j^c(a) < 0$ , the circular agents in  $E_j(P(c))$  distribute in the left side of  $E_j$ , while  $\pi/2 < \theta_{jl} < 3\pi/2$ , and  $e_{jl} = [1, 0]$ . The moving distance for  $E_j$  is obtained by

$$|\lambda_j| = -\mu(d_{jl}^f - d_{jl}^c) \cos \theta_{jl}. \quad (5.26)$$

If  $s_3 \times s_4 > 0$ , the moving direction is on y-axis, the moving distance for  $E_j$  is written as

$$|\lambda_j| = \pm\mu(d_{jl}^f - d_{jl}^c) \sin \theta_{jl}, \quad (5.27)$$

where  $E_l$  corresponds to  $\max D_p^j$ , and  $0 < \mu < 1$ . The direction vector  $e_{jl}$  is written as  $e_{jl} = [0, \pm 1]$ . When all  $E_l \in E_j(P(c))$  satisfy  $y_l^c(a) - y_j^c(a) > 0$ , all  $E_l$  are above  $E_j$ . Angles  $\theta_{jl}$  satisfy  $0 < \theta_{jl} < \pi$ , and  $e_{jl} = [0, -1]$ . The moving distance is obtained by

$$|\lambda_j| = \mu(d_{jl}^f - d_{jl}^c) \sin \theta_{jl}. \quad (5.28)$$

If all  $y_l^c(sp) - y_j^c(sp) < 0$ , all  $E_l$  are below agent  $E_j$ , and  $-\pi < \theta_{jl} < 0$ . Hence,  $e_{jl} = [0, 1]$ , and the moving distance is written as

$$|\lambda_j| = -\mu(d_{jl}^f - d_{jl}^c) \sin \theta_{jl}. \quad (5.29)$$

Based on above derivation, the position of  $E_j$  in  $P(c)$  can be written as

$$p_j(c) = \begin{cases} p_j(a) - (\mu(d_{jl}^f - d_{jl}^c) \cos \theta_{jl}, 0), & s_1 \times s_2 > 0 \\ p_j(a) - (0, \mu(d_{jl}^f - d_{jl}^c) \cos \theta_{jl}), & s_3 \times s_4 > 0, \end{cases} \quad (5.30)$$

where  $E_l$  is corresponding to the maximum moving distance in moving direction. The position  $p_l(c)$  of  $E_l \in E^j(P(c))$  in  $P(c)$  is same with  $p_l(a)$ , which is given as

$$p_l(c) = p_l(a). \quad (5.31)$$

For condition (3) in Theorem 3, if there is  $s_1 \times s_2 < 0$  and  $s_3 \times s_4 < 0$ , which means the overlapped agents of  $E_j$  are around  $E_j$ . The position of  $E_j$  in  $P(c)$  keeps the original position in  $P(a)$ ,

$$p_j(c) = p_j(a). \quad (5.32)$$

For  $E_l \in E^j(P(c))$ , the moving vector  $\lambda_l$  can be obtained by

$$\lambda_l = |\lambda_l| e_l^j, \quad (5.33)$$

where  $|\lambda_l|$  is the length of moving distance of  $E_l$ , and  $e_l^j$  is the unit vector of moving direction.

$$|\lambda_l| = d_l = d_{jl}^f - d_{jl}^c, \quad (5.34)$$

$$e_l^j = \frac{p_l(a) - p_j(a)}{|p_l(a) - p_j(a)|}, \quad (5.35)$$

where  $d_l$  is the maximum overlapping distance between  $E_l$  and  $E_j$ . The center positions of  $E_j$  and  $E_l$  are represented by  $p_j$  and  $p_l$ , respectively. Hence the positions of  $E_j$  and  $E_l \in E^j(P(c))$  are given as

$$p_j(c) = p_j(a). \quad (5.36)$$

$$p_l(c) = p_l(a) + (d_{jl}^f - d_{jl}^c) \frac{p_l(a) - p_j(a)}{|p_l(a) - p_j(a)|}. \quad (5.37)$$

□

**Remark 7.** Note that, moving direction for  $E_j$  in (5.30) is decided by the angle  $\theta_{jl}$  of  $(\overrightarrow{p_j(a), p_l(a)})$ . Different values of  $\theta_{jl}$  will lead to the values of  $\cos \theta_{jl}$  and  $\sin \theta_{jl}$  to be positive or negative. Hence the formula of moving distance should consider this problem. The algorithm will run until the system reaches non-overlapping state.

## 5.4 Two-stage reconfiguration strategy for elliptical agents

In this section, the two-stage reconfiguration strategy for a group of elliptical agents is established. The agents in the group are modeled as ellipses because many practical agents have a long and narrow shape. The objective of the two-stage reconfiguration strategy for elliptical agents is to update the positions in special formation  $P(a)$  obtained in Section 5.2 to find the special formation  $P^c(e)$  for elliptical agents. The special formation is written as  $P(e) = \{p_1(e) p_2(e) \cdots p_N(e)\}$ . The updated reconfiguration scheme is used to avoid overlapping problem among elliptical agents. The difficulty addressed in this section is the decision method of coincident of elliptical agents and the maximum overlap distance for two elliptical agents. First, the formula of elliptical agent  $E_j$  is given as

$$E_j : [x_j, y_j, 1] A_j [x_j, y_j, 1]^T = 0 \quad (5.38)$$

where

$$A_j = \begin{bmatrix} \frac{\cos^2 \phi_j}{a_j^2} + \frac{\sin^2 \phi_j}{b_j^2} & \frac{\sin 2\phi_j}{a_j^2} & \frac{2A_{j1} \cos \phi_j}{a_j^2} \\ -\frac{\sin 2\phi_j}{b_j^2} & \frac{\sin^2 \phi_j}{a_j^2} + \frac{\cos^2 \phi_j}{b_j^2} & \frac{2A_{j1} \sin \phi_j}{a_j^2} \\ -\frac{2A_{j2} \sin \phi_j}{b_j^2} & \frac{2A_{j2} \cos \phi_j}{b_j^2} & \frac{A_{j1}^2}{a_j^2} + \frac{A_{j2}^2}{b_j^2} - 1 \end{bmatrix},$$

where  $A_j$  is the parameter matrix based on heading angle  $\phi_j$  with

$$A_{j1} = x_j^c \cos \phi_j + y_j^c \sin \phi_j,$$

$$A_{j2} = -x_j^c \sin \phi_j + y_j^c \cos \phi_j.$$

In  $A_j$ ,  $a_j$  represents the long axes of the  $E_j$ , and  $b_j$  is the short axes. The coordinate of center of agent  $E_j$  given in (5.4) is given as  $(x_j^c, y_j^c)$ . The set of points on  $E_j$  is described as follow,

$$\begin{aligned} \hat{P}_j &= \{\hat{p}_j = (x_j, y_j) | \\ &\quad x_j = a_j \cos \vartheta \cos \phi_j - b_j \sin \vartheta \sin \phi_j + x_j^c, \\ &\quad y_j = a_j \cos \vartheta \sin \phi_j + b_j \sin \vartheta \cos \phi_j + y_j^c\}, \end{aligned} \quad (5.39)$$

where  $\vartheta \in [0, 2\pi]$ . Based on the special formation  $P(a)$ , the following equations for  $E_j$  and  $E_l$  should be solved to make the decision of whether there is overlapped problem based on (5.38),

$$\begin{cases} [x_j(a), y_j(a), 1] A_j [x_j(a), y_j(a), 1]^T = 0, \\ [x_l(a), y_l(a), 1] A_l [x_l(a), y_l(a), 1]^T = 0. \end{cases} \quad (5.40)$$

Four conditions will occur based on (5.40). For agent  $E_j$ :

- (1) if there is no real solution in (5.40) for any  $E_l \in E, E_l \neq E_j$ , and  $\hat{p}_j \in \hat{P}_j$  satisfies

$$[\hat{p}_j, 1] A_l [\hat{p}_j, 1] > 0,$$

or  $\hat{p}_l \in \hat{P}_l$  satisfies

$$[\hat{p}_l, 1] A_j [\hat{p}_l, 1] > 0,$$

then there is no intersection between  $E_l$  and  $E_j$ .

- (2) If there is no real solutions in (5.40) for any  $E_l \in E$ , and  $\hat{p}_j \in \hat{P}_j$  satisfies

$$[\hat{p}_j, 1] A_l [\hat{p}_j, 1] < 0,$$

or  $\hat{p}_l \in \hat{P}_l$  satisfies

$$[\hat{p}_l, 1] A_j [\hat{p}_l, 1] < 0,$$

then  $E_j$  and  $E_l$  are inclusion relationship in  $P(a)$ .

If there are more than one real solution in (5.40) for  $E_l \in E$ , the overlapped problem is existed in  $P(a)$ . Assumed  $\beta$  agents coincide with  $E_j$  (contain inclusion relationship), the overlapping set for  $E_j$  is written as

$$\begin{aligned} E^j(P(e)) &= \{E_1^j(P(e)) E_2^j(P(e)) \\ &\quad \cdots E_\beta^j(P(e))\}. \end{aligned} \quad (5.41)$$

The surrounding relationships of  $E_j$  and  $E_l \in E^j(P(e))$  are specified in (3) and (4).

- (3) Analyzing surrounding conditions of the overlapping direction is given as

$$\begin{cases} S_3 = \{(x_i^c(a) - x_j^c(a))\}, \\ S_4 = \{(y_i^c(a) - y_j^c(a))\}, \end{cases} \quad (5.42)$$

where  $E_l \in E^j(P(e))$ . If any  $s_1 \in S_3, s_2 \in S_3, s_1 \times s_2 > 0$  or any  $s_3 \in S_4, s_4 \in S_4, s_3 \times s_4 > 0$ , then the overlapping areas of the agent  $E_j$  and all  $E_l \in E^j(P(e))$  are on one side.

- (4) If there is  $s_1 \in S_3, s_2 \in S_3, s_1 \times s_2 < 0$  and  $s_3 \in S_4, s_4 \in S_4, s_3 \times s_4 < 0$ , the intersections of  $E_j$  and  $E_l \in E^j(P(e))$  are around  $E_j$ .

The positions for elliptical agents in optimal special formation  $P(e)$  can be updated based on the Theorem 4 as follows.

**Theorem 4.** *Let the elliptical agents satisfied (5.38) be currently positioned in  $P$ . Based on the special formation  $P(a)$  obtained in (5.13), the positions for multiple elliptical agents in special formation  $P(e)$  can be obtained as*

- (1) Under condition (1),  $p_j(e) = p_j(a)$ ;  
 (2) Under condition (2) and (3),

$$p_j(e) = \begin{cases} p_j(a) - ((\mu d_{jl} \cos \theta_{jl} + \varepsilon), 0), \\ s_1 \times s_2 > 0 \\ p_j(a) - (0, (\mu d_{jl} \sin \theta_{jl} + \varepsilon)), \\ s_3 \times s_4 > 0, \end{cases}$$

$$p_l(e) = p_l(a).$$

- (3) Under condition (2) and (4),

$$p_j(e) = p_j(a).$$

$$p_l(e) = p_l(a) + (d_{jl} + \varepsilon)e_{jl},$$

where  $p_j(e)$  is the position of agent  $E_j$  in  $P(e)$  and  $p_j(a)$  is the position of agent  $E_j$  in  $P(a)$ . Distance  $d_{jl}$  is the moving distance of  $E_j$ , and  $\theta_{jl}$  is the projection angle of  $d_{jl}$  to  $x$ -axis. The constant parameter  $\mu$  is used to control the length of moving distance, and  $0 < \mu < 1$ . Coefficient  $\varepsilon$  is proposed to ensure the moving distance. In (3),  $e_{jl}$  is the moving direction for  $E_l \in E^j(P(e))$ .

*Proof.* For condition (1) in Theorem 4, there is no coincident problem of  $E_j$ . Hence, the position  $p_j(e)$  can be obtained by

$$p_j(e) = p_j(a), \quad (5.43)$$

where  $p_j(a)$  is the position of  $E_j$  in special formation  $P(a)$ , which is calculated in (5.13).

For condition (2) in Theorem 4, all intersections of  $E_j$  are on one side of  $E_j$ . Moving vector  $\lambda_j$  of agent  $E_j$  can be obtained by

$$\lambda_j = \mu |\lambda_j| e_{jl} + \varepsilon, \quad (5.44)$$

where  $|\lambda_j|$  is the moving distance of agent  $E_j$ , and  $e_{jl}$  is the moving direction. Parameter  $\mu$  is the positive coefficient, and  $0 < \mu < 1$ , and  $\varepsilon$  is a positive coefficient to ensure the moving length of agent  $E_j$ . Moving distance  $|\lambda_j|$  can be obtained based on the conditions of overlapping distribution. If  $s_1 \times s_2 > 0, s_1 \in S_3, s_2 \in S_3$ , the intersections are distributed to the left or right of  $E_j$ , and  $|\lambda_j|$  can be written as

$$|\lambda_j| = \max\{d_{jl} |\cos \theta_{jl}|\}, E_l \in E^j(P(a)), \quad (5.45)$$

where  $d_{jl}$  is the moving distance of  $E_j$  based on  $E_l$ , while  $\theta_{jl}$  is the angle between moving direction and x-axis.

There are three situations to calculate  $d_{jl}$  and  $\theta_{jl}$ . The first situation is that there are more than two real solutions of (5.40), which means two agents have more than two points of intersection. Second situation is the inscribed situation, which is given as: there are one or two points of intersection  $\hat{p}_1 = (x_1, y_1)$  and  $\hat{p}_2 = (x_2, y_2)$ , and there are

$$\begin{aligned} d_f(x, y) &= \sqrt{(x - x_l^c(a))^2 + (y - y_l^c(a))^2}, \\ d_f(\hat{p}_1) &> d_f(\hat{p}_j), \\ d_f(\hat{p}_2) &> d_f(\hat{p}_j), \\ \hat{p}_j &\in \hat{P}_j, \hat{p}_j \neq \hat{p}_1, \hat{p}_j \neq \hat{p}_2, \end{aligned} \quad (5.46)$$

where  $\hat{p}_j$  is points on  $E_j$  which is different from  $\hat{p}_1$  and  $\hat{p}_2$ . The third situation is the containing situation, which is given as: there is no real solutions in (5.40), however,  $\hat{p}_j \in \hat{P}_j$  satisfies

$$[\hat{p}_j, 1]A_l[\hat{p}_j, 1] < 0. \quad (5.47)$$

or  $\hat{p}_l \in \hat{P}_l$  satisfies

$$[\hat{p}_l, 1]A_j[\hat{p}_l, 1] < 0, \quad (5.48)$$

which means  $E_j$  and  $E_l$  have containing relationship. The overlap area of  $E_j$  and  $E_l$  is relatively large corresponding to  $E_j$ . Hence, the moving distance  $d_{jl}$  is defined as

$$d_{jl} = \max\{a_j, a_l\}, \quad (5.49)$$

where  $a_j$  and  $a_l$  are the long axis of  $E_j$  and  $E_l$ , respectively. The angle  $\theta_{jl}$  is given as

$$\theta_{jl} = \arccos \frac{x_l^c(sp) - x_j^c(sp)}{|p_l(sp) - p_j(sp)|}, \quad (5.50)$$

where  $p_j(a)$  and  $p_l(a)$  are the locations of  $E_j$  and  $E_l$  in special formation  $P(a)$  obtained in (5.13), respectively, and  $x_j^c(a)$  and  $x_l^c(a)$  are the x-axis coordinates of  $E_j$  and  $E_l$ .

If there are two real solutions for (5.40), or (5.40) exists one real solution  $(x_1, y_1)$ , which satisfies

$$[\hat{p}_j, 1]A_l[\hat{p}_j, 1] \geq 0, \quad (5.51)$$

where  $\hat{p}_j \in \hat{P}_j$ . The coincidence distance  $d_{jl}$  of  $E_j$  and  $E_l \in E^j(P(e))$  can be obtained by

$$\begin{aligned} [x_1, y_1, 1]A_j[x_1, y_1, 1]^T &= 0, \\ [x_1, y_1, 1]A_l[x_1, y_1, 1]^T &= 0, \\ [x_2, y_2, 1]A_j[x_2, y_2, 1]^T &= 0, \\ [x_2, y_2, 1]A_l[x_2, y_2, 1]^T &= 0, \\ m_{jl} &= \frac{y_1 - y_2}{x_1 - x_2}, \\ y_{m1} &= m_{jl}x_{m1} + b_1, \\ y_{m2} &= m_{jl}x_{m2} + b_2, \\ d_{jl} &= \frac{|b_1 - b_2|}{\sqrt{m_{jl}^2 + 1}} \end{aligned} \quad (5.52)$$

where  $(x_1, y_1)$  and  $(x_2, y_2)$  are the points of intersection between  $E_j$  and  $E_l$ . The slope of line between  $(x_1, y_1)$  and  $(x_2, y_2)$  is given as  $m_{jl}$ . The points of tangents based on  $m_{jl}$  can be obtained, while  $(x_{m1}, y_{m1})$  and  $(x_{m2}, y_{m2})$  are two tangent points which have shortest distance. Parameters  $b_1$  and  $b_2$  can be calculated based on  $m_{jl}$ ,  $(x_{m1}, y_{m1})$  and  $(x_{m2}, y_{m2})$ . The overlapped distance  $d_{jl}$  between  $E_j$  and  $E_l$  will be calculated based on  $m_{jl}$ ,  $b_1$  and  $b_2$ . Angle  $\theta_{jl}$  is written as

$$\theta_{jl} = \arctan\left(-\frac{1}{m_{jl}}\right). \quad (5.53)$$

The moving direction of  $E_j$  is given as  $e_{jl} = [\pm 1, 0]$ . Based on  $s_1 \times s_2 > 0$ ,  $s_1 \in S_3, s_2 \in S_3$ , the moving direction of  $E_j$  is along x-axis. If all  $x_l^c(a) - x_j^c(a) > 0$ , all intersections of  $E_j$  distribute on the right side of  $E_j$ ,  $e_{jl} = [-1, 0]$ . If all  $x_l^c(a) - x_j^c(a) < 0$ , all intersections of  $E_j$  distribute on the left side of  $E_j$ ,  $e_{jl} = [1, 0]$ .

If  $s_3 \times s_4 > 0$ ,  $s_3 \in S_4, s_4 \in S_4$ , the intersections distribute above or below  $E_j$ , and  $|\lambda_j^c|$  can be written as

$$|\lambda_j^c| = \max\{d_{jl}|\sin \theta_{jl}|\}, E_l \in E^j(P(a)), \quad (5.54)$$

where  $d_{jl}$  can be obtained in (49) and (52). The angle  $\theta_{jl}$  is calculated in (5.50) and (5.53). The moving direction of  $E_j$  is given as  $e_{jl} = [0, \pm 1]$ . Based on



$s_3 \times s_4 > 0, s_3 \in S_4, s_4 \in S_4$ , the moving direction of  $E_j$  is along y-axis. If all  $y_l^c(a) - y_j^c(a) > 0$ , all intersections of  $E_j$  distribute above  $E_j$ , and  $e_{jl} = [0, -1]$ . If all  $y_l^c(a) - y_j^c(a) < 0$ , all intersections of  $E_j$  distribute below  $E_j$ , and  $e_{jl} = [0, 1]$ . Based on above derivation, the updated position  $p_j(e)$  of  $E_j$  in  $P(e)$  is given as

$$p_j(e) = \begin{cases} p_j(a) - ((\mu d_{jl} \cos \theta_{jl} + \varepsilon), 0), \\ s_1 \times s_2 > 0 \\ p_j(a) - (0, (\mu d_{jl} \sin \theta_{jl} + \varepsilon)), \\ s_3 \times s_4 > 0, \end{cases} \quad (5.55)$$

where  $E_l$  is corresponding to (5.46).

In condition (2),  $E_l \in E^j(P(e))$  does not change its position based on  $P(a)$ , which is written as

$$p_l(e) = p_l(a). \quad (5.56)$$

For condition (3) in Theorem 4, the overlapping agents  $E_l \in E^j(P(e))$  distribute around  $E_j$ . In this condition, the position of  $E_j$  in  $P(e)$  keeps the original position in  $P(a)$ ,

$$p_j(e) = p_j(a), \quad (5.57)$$

which means  $|\lambda_j| = 0$ . For  $E_l \in E^j(P(e))$ , the moving vector  $\lambda_l$  is calculated as

$$\lambda_l = \mu |\lambda_l| e_l + \varepsilon, \quad (5.58)$$

where  $\mu$  and  $\varepsilon$  are the positive coefficients, while  $|\lambda_l|$  is the length of moving distance for  $E_l$  which can be obtained based on (5.49) and (5.52). Moving direction  $e_{jl}$  of  $E_l$  is obtained based on the overlapping situations. If  $|\lambda_l|$  is obtained by (5.49), moving direction  $e_{jl}$  is calculated by

$$e_{jl} = \frac{p_l(a) - p_j(a)}{|p_l(a) - p_j(a)|}, \quad (5.59)$$

where  $p_l(a)$  and  $p_j(a)$  are the coordinations of center of  $E_l$  and  $E_j$  in special formation  $P(a)$ . If  $|\lambda_l|$  is obtained by (5.52), moving direction  $e_{jl}$  is calculated by

$$\begin{aligned} e_{jl} &= (\cos \theta_{jl}, \sin \theta_{jl}) \\ &= (\cos(\arctan(-\frac{1}{m_{jl}})), \sin(\arctan(-\frac{1}{m_{jl}}))). \end{aligned} \quad (5.60)$$

Hence, the updated positions of  $E_l \in E^j(P(e))$  are given as

$$\begin{aligned} p_l(e) &= p_l(a) + \lambda_l \\ &= p_l(a) + (d_{jl} + \varepsilon)e_{jl}. \end{aligned} \quad (5.61)$$

□

**Remark 8.** Note that the iterative moving among elliptical agents may lead to the new overlapping problem. Hence, the algorithm will loop until the special formation  $P(e)$  is found without overlapping.

## 5.5 Simulation results

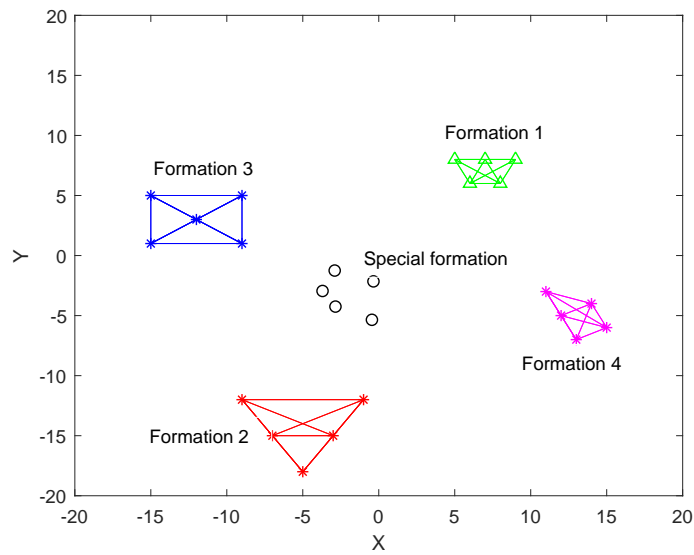
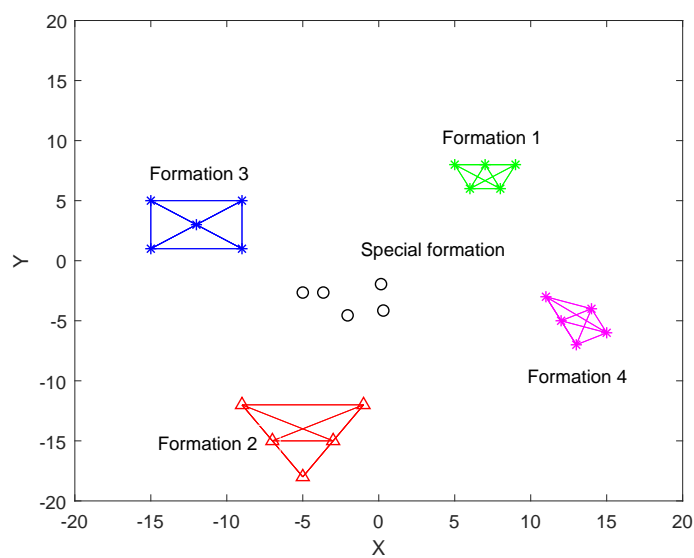
In this section, simulation results are given to illustrate the feasibility of the two-stage reconfiguration strategy for a group of agents. Five agents form the multi-agent system, and it is assumed that all agents have the same control strategy. Three examples are provided in the simulation study to demonstrate the effect on constructing the special formation and avoiding overlapping problem with the two-stage reconfiguration strategy.

**Example 1** In this example, agents are modeled as dots. Four predefined formations are given as the predefined formations, and make up the predefined formation set  $F$ , which are given below

$$\begin{aligned} F^1 &= \{(5 \ 8), (7 \ 8), (9 \ 8), (6 \ 6), (8 \ 6)\}, \\ F^2 &= \{(-9 \ -12), (-7 \ -15), (-5 \ -18), \\ &\quad (-3 \ -15), (-1 \ -12)\}, \\ F^3 &= \{(-15 \ 5), (-12 \ 3), (-15 \ 1), (-9 \ 1), (-9 \ 5)\}, \\ F^4 &= \{(11 \ -3), (12 \ -5), (14 \ -4), (13 \ -7), (15 \ -6)\}. \end{aligned}$$

The probabilities of the predefined formations  $F^1$ ,  $F^2$ ,  $F^3$  and  $F^4$  are given by  $q^1 = 0.15$ ,  $q^2 = 0.3$ ,  $q^3 = 0.35$ , and  $q^4 = 0.2$ , respectively, and  $q^1 + q^2 + q^3 + q^4 = 1$ . The different special formation generated by Algorithm 10 based on different  $P$  is given in Figures 5.1-5.4. In these figures, legend \* denotes the coordinates of the points in the predefined formations, legend  $\triangle$  represents the current positions  $P$  for these agents, and legend  $\circ$  represents the special formation for the system. It can be seen that the special formations have different forms because the current position of each agent leads the different mapping relationships to the possible formations. The corresponding mapping relationships  $m_{op}(F)$  to these four predefined formations with  $\kappa = 10$  and  $\eta = 5$  are given below.

$$\begin{aligned} m_{op}(F^1) &= \left\{ \begin{array}{ccccc} 1 & 2 & 3 & 4 & 5 \\ 1 & 2 & 5 & 4 & 3 \\ 5 & 1 & 2 & 3 & 4 \\ 1 & 4 & 3 & 2 & 5 \end{array} \right\}. \\ m_{op}(F^2) &= \left\{ \begin{array}{ccccc} 1 & 4 & 2 & 3 & 5 \\ 1 & 2 & 3 & 4 & 5 \\ 3 & 1 & 2 & 4 & 5 \\ 1 & 3 & 4 & 5 & 2 \end{array} \right\}. \\ m_{op}(F^3) &= \left\{ \begin{array}{ccccc} 1 & 3 & 4 & 5 & 2 \\ 1 & 3 & 2 & 5 & 4 \\ 1 & 2 & 3 & 4 & 5 \\ 3 & 2 & 4 & 5 & 1 \end{array} \right\}. \\ m_{op}(F^4) &= \left\{ \begin{array}{ccccc} 1 & 4 & 3 & 2 & 5 \\ 1 & 5 & 4 & 3 & 2 \\ 1 & 2 & 5 & 3 & 4 \\ 1 & 2 & 3 & 4 & 5 \end{array} \right\}. \end{aligned}$$

FIGURE 5.1: Special formation for five agents located in  $F^1$ FIGURE 5.2: Special formation for five agents located in  $F^2$

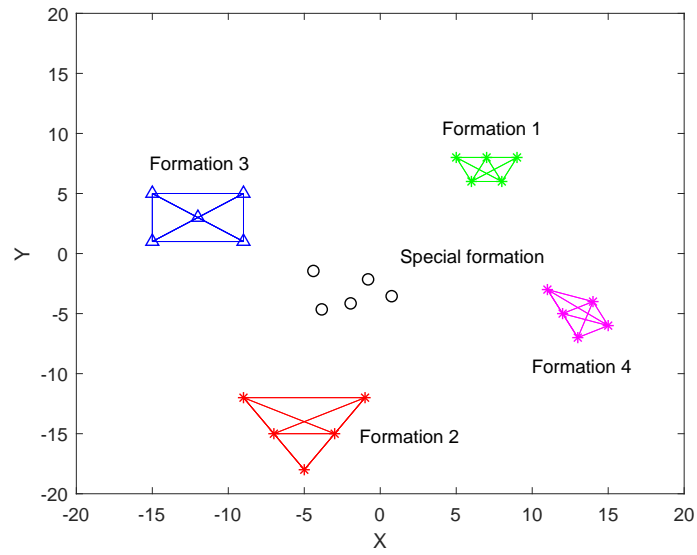


FIGURE 5.3: Special formation for five agents located in  $F^3$

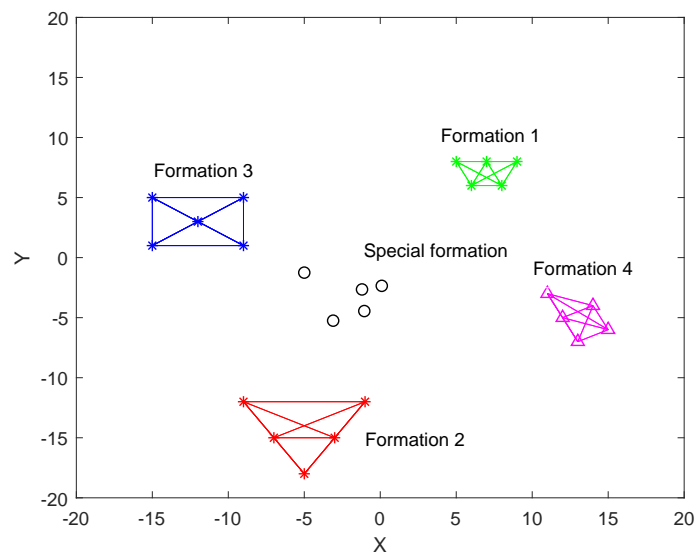


FIGURE 5.4: Special formation for five agents located in  $F^4$

It can be seen that the different initial positions of five agents will lead the different special formations. This is because of the random mapping algorithm employed in the two-stage reconfiguration strategy.

**Example 2** The agents are modeled as circle shapes. Four predefined formations are given to make up the predefined formation set  $F$ , which are given below

$$\begin{aligned} F^1 &= \{(20 \ 16), (28 \ 16), (36 \ 16), (24 \ 8), (31 \ 8)\}, \\ F^2 &= \{(-18 \ -32), (-12 \ -40), (-5 \ -48), (-4 \ -40), \\ &\quad (-1 \ -32)\}, \\ F^3 &= \{(-37 \ 15), (-33 \ 5), (-38 \ -2), (-29 \ -2), \\ &\quad (-29 \ 15)\}, \\ F^4 &= \{(21 \ -18), (27 \ -23), (30 \ -12), (34 \ -25), \\ &\quad (23 \ -31)\}. \end{aligned}$$

Circular agents in  $F$  are not overlapping with the other agents. The radius of each agent is given as

$$r = \{2 \ 3 \ 4 \ 3 \ 2\}.$$

The parameters  $\varepsilon$  and  $\mu$  are set as  $\varepsilon = 0.2$ ,  $\mu = 0.3$ . The special formation for circular agents is illustrated in Figure 5.5. Circular agents are located in  $F^1$ . The final positions of the whole group are given in  $P_1(c)$ , which is shown as

$$\begin{aligned} P_1(c) &= \{(-14.68 \ -4.90), \\ &\quad (-5.29 \ -6.20), \\ &\quad (4.32 \ -6.20), \\ &\quad (-3.20 \ -14.10), \\ &\quad (-5.61 \ -23.70)\}. \end{aligned}$$

The special formation for circular agents located in  $F^2$  is illustrated in Figure 5.6. The final positions of the whole group are given in  $P_2(c)$ , which is shown as

$$\begin{aligned} P_2(c) &= \{(-3.15 \ -9.35), \\ &\quad (-0.49 \ -14.60), \\ &\quad (-21.18 \ -15.50), \\ &\quad (-12.16 \ -15.60), \\ &\quad (0.95 \ -4.35)\}. \end{aligned}$$

The special formation for circular agents located in  $F^3$  is illustrated in Figure 5.7. The final positions of the whole group are given in  $P_3(c)$ , which is shown as

$$\begin{aligned} P_3(c) &= \{(-9.35 \ -7.95), \\ &\quad (2.62 \ -15.25), \\ &\quad (-12.74 \ -15.30), \\ &\quad (-3.72 \ -16.56), \\ &\quad (-0.25 \ -4.35)\}. \end{aligned}$$

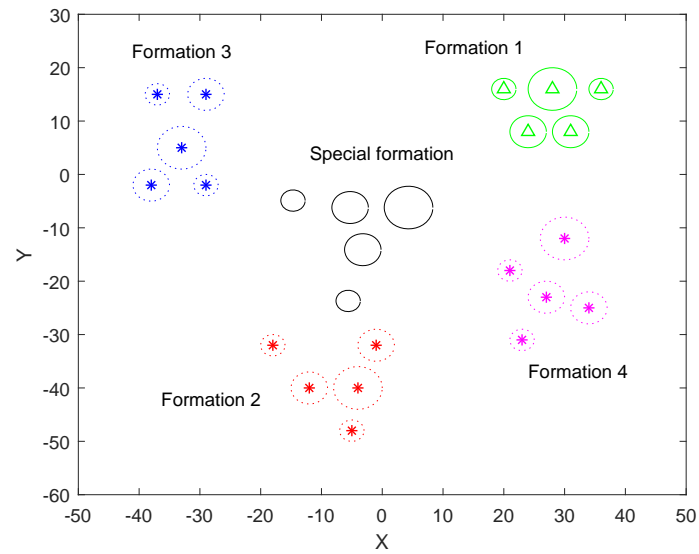


FIGURE 5.5: Special formation for five circular agents located in  $F^1$

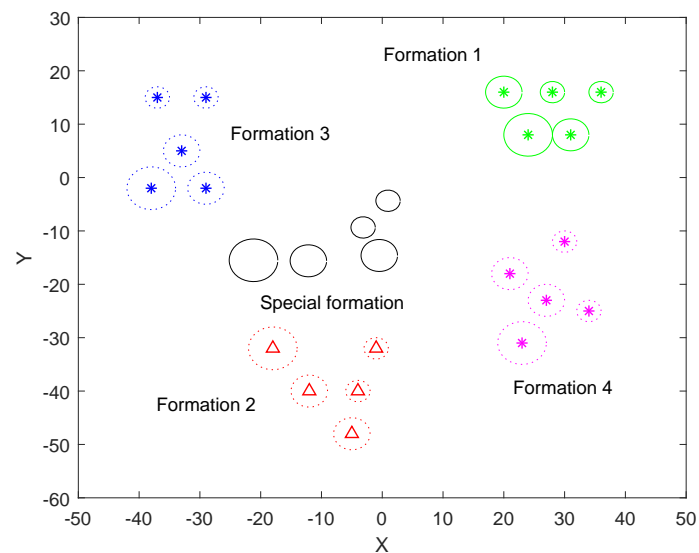


FIGURE 5.6: Special formation for five circular agents located in  $F^2$

The special formation for circular agents located in  $F^4$  is illustrated in Figure

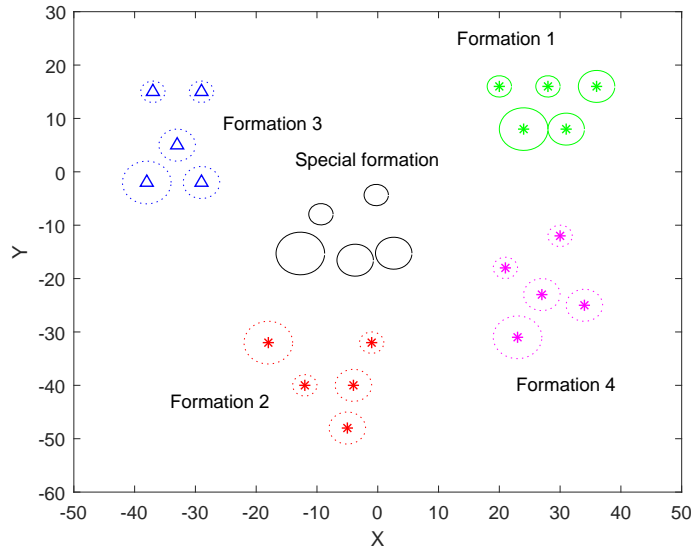


FIGURE 5.7: Special formation for five circular agents located in  $F^3$

5.8. The final positions of the whole group are given in  $P_4(c)$ , which is shown as

$$P_4(c) = \{(-7.57 \ -7.95), \\ (-4.18 \ -12.45), \\ (-11.23 \ -15.30), \\ (-1.25 \ -18.90), \\ (0.95 \ -4.35)\}.$$

It can be seen that the special formation for these circular agents is constructed without the overlapping problem.

**Example 3** In this example, the agents are modeled as ellipses. Four predefined formations are given to form the predefined formation set  $F$ , which are same with predefined formation set in Example 2. The elliptical properties of the elliptical agents are

$$\begin{aligned} [a_1, b_1, \theta_1] &= [2, 1, 0^\circ], & [a_2, b_2, \theta_2] &= [4, 2, 127^\circ], \\ [a_3, b_3, \theta_3] &= [3, 1, 341^\circ], & [a_4, b_4, \theta_4] &= [5, 3, 76^\circ], \\ [a_5, b_5, \theta_5] &= [2, 1, 42^\circ]. \end{aligned}$$

Parameters of  $\varepsilon$  and  $\mu$  are taken as  $\varepsilon = 0.2$ ,  $\mu = 0.3$ . The special formation for elliptical agents is illustrated in Figure 5.9. The agents are located in  $F^1$ . The

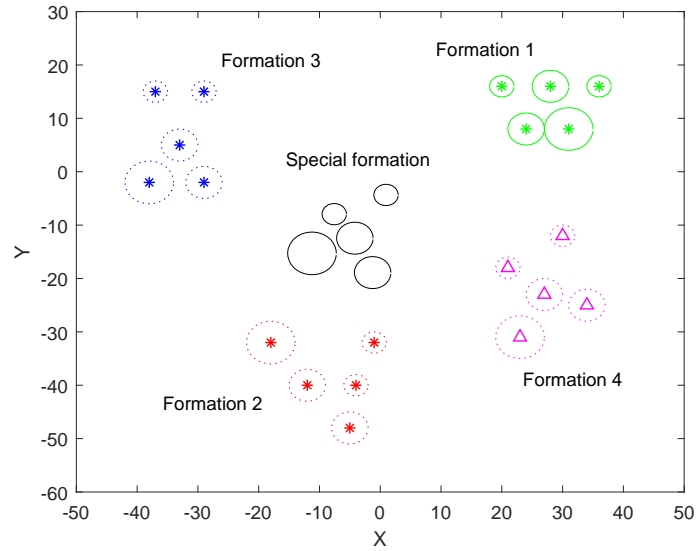


FIGURE 5.8: Special formation for five circular agents located in  $F^4$

final position  $P_1(e)$  of each agent is obtained as

$$P_1(e) = \{(-11.15 \ - 5.55), \\ (-3.55 \ - 6.75), \\ (0.99 \ - 12.85), \\ (-7.00 \ - 15.30), \\ (-1.60 \ - 18.50)\}.$$

The special formation for elliptical agents is illustrated in Figure 5.10. The agents are located in  $F^2$ . The final position  $P_2(e)$  of each agent is obtained as

$$P_2(e) = \{(-10.90 \ - 10.30), \\ (-6.55 \ - 14.05), \\ (-2.40 \ - 20.10), \\ (-1.25 \ - 6.55), \\ (-6.15 \ - 9.15)\}.$$

The special formation for elliptical agents is illustrated in Figure 5.11. The agents are located in  $F^3$ . The final position  $P_3(e)$  of each agent is obtained as

$$P_3(e) = \{(-6.95 \ - 7.95), \\ (-5.35 \ - 12.85), \\ (-9.45 \ - 15.30), \\ (-0.25 \ - 18.50), \\ (-0.25 \ - 4.35)\}.$$

The special formation for elliptical agents is illustrated in Figure 5.12. The



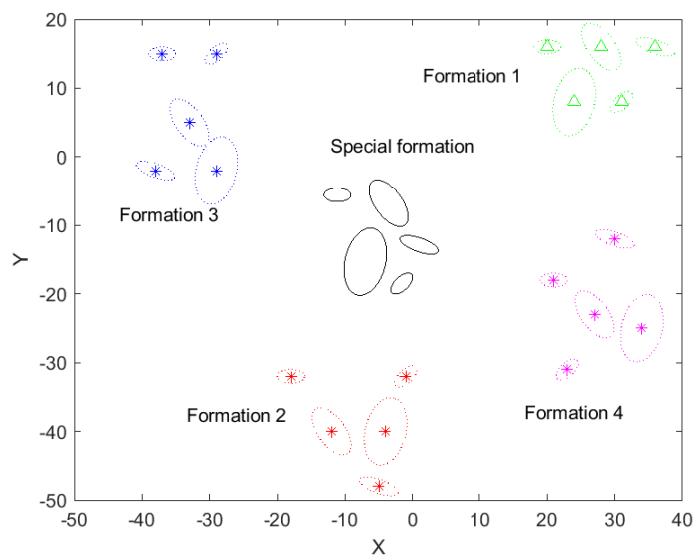


FIGURE 5.9: Special formation for five elliptical agents located in  $F^1$

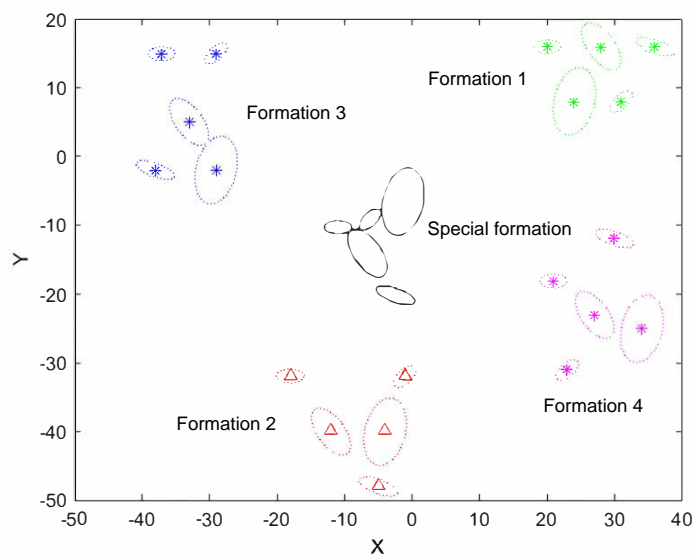


FIGURE 5.10: Special formation for five elliptical agents located in  $F^2$

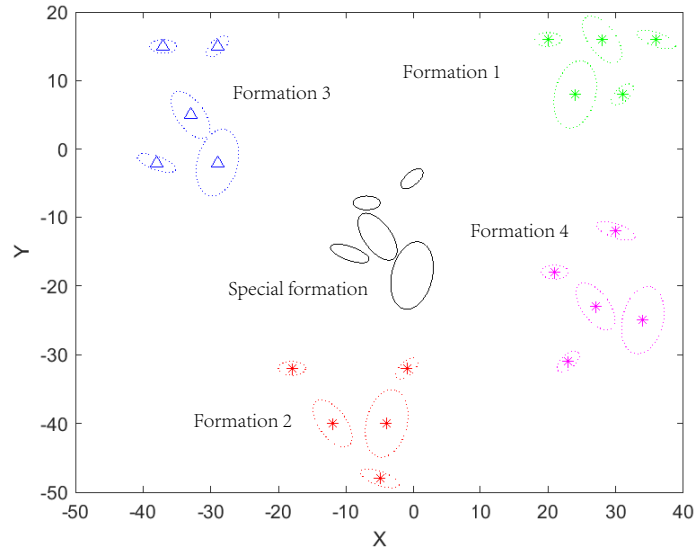


FIGURE 5.11: Special formation for five elliptical agents located in  $F^3$

agents are located in  $F^4$ . The final position  $P_4(e)$  of each agent is obtained as

$$P_4(e) = \{(-9.95 \quad -9.05), \\ (-5.35 \quad -9.35), \\ (0.20 \quad -5.55), \\ (0.85 \quad -17.30), \\ (-6.30 \quad -17.70)\}.$$

It can be seen that the five agents can find their special formation during idle time by using the two-stage reconfiguration strategy. The special formation is changing based on the mapping relationship between the current positions of these agents and each predefined formation. At the same time, circular agents and elliptical agents can avoid overlapping problems effectively.

## 5.6 Conclusion

This section proposed a two-stage reconfiguration strategy for a group of agents. By applying the two-stage reconfiguration algorithm during idle time, it can shorten the expected reconfiguration time when the next command with formation changing is given. These agents are modeled as dots, circles and ellipses to gradually approach the practical application. In this chapter, the two-stage reconfiguration strategy combined with the random mapping algorithm is proposed to find the special formation during idle time based on optimal mappings to predefined formation set. The two-stage reconfiguration scheme is improved for circular agents and elliptical agents to deal with the overlapping problem which may appear in the special formation by using the two-stage reconfiguration strategy for dot agents. The

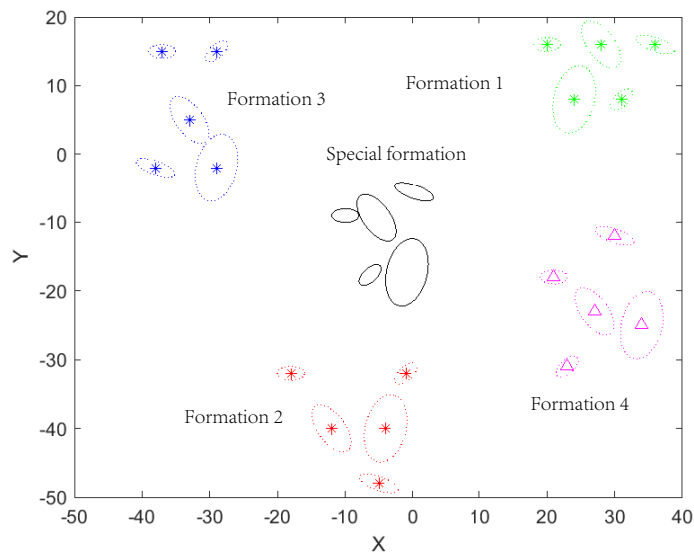


FIGURE 5.12: Special formation for five elliptical agents located in  $F^4$

simulations of the two-stage reconfiguration strategy were given to demonstrate the feasibility and effectiveness of the new reconfiguration strategy. In our future work, the varying-probability of each predefined formation will be studied to make the reconfiguration scheme more flexible.



## 6 Conclusion

Collision-free formation control strategies for multiple elliptical agents and the two-stage reconfiguration strategies for multi-agent systems are considered in this thesis. The algorithms feature localised and decentralised structure and distributed computing. In Chapter 2, the formation control strategy with random mapping algorithm is proposed. The communication among elliptical agents are limited, in which only identities of the agents and the optimal mapping decision in each iteration. Individual agent can obtain its neighbors' position information by using its sensors. The predefined formation is treated as the reference of the final formation, which the group of elliptical agents should achieve. The agents do not need to move to the fixed points in the predefined formation. They only need to find the optimal positions based on the displacements from the predefined formation. Formation controller for each elliptical agent is developed based on the its desired position, which is obtained by using its current position, its neighbors's position information and its desired position. The desired position of individual agent is calculated based on the its position in predefined formation corresponding with the optimal mapping, and its neighbors' corresponding positions in the predefined formation. The random mapping algorithm is investigated to find the optimal mapping in each iteration until the agents reach their final formation. Collision avoidance algorithm is developed based on the moving orientation and moving distance based on the agents' avoidance groups, while the self-center-based rotation algorithm is constructed to extend the minimum distances among elliptical agents.

To improve the efficiency of the collision-free formation control strategy in Chapter 2, an adaptive collision-free formation control strategy is developed in Chapter 3. The adaptive random mapping algorithm replaces the random mapping algorithm to improve efficiency and reduce the computational burden. It executes during the group moving. The adaptive mapping scheme is built based on the minimum value of the total distances corresponded to the generated mappings in each iteration, which is calculated based on the current positions and the desired positions in that iteration of all agents. The optimal mapping pool is constructed to place the optimal mappings in each iteration. After a fixed number of iterations, we can find the following optimal mappings based on the repeat rate and reacting elements relying on the optimal mapping pool. An adaptive parameter is introduced to the formation controller of individual elliptical to adapt to the variety of the number of the elements in its avoidance groups, its desired positions and the minimum distance it has. The moving length can be adjusted based on this adaptive parameter.

To accommodate a larger group of elliptical agents, Chapter 4 presents

event-triggered probability-driven formation control scheme for a group of elliptical agents. The event-triggered scheme is investigated by using the collision time and the deviation time. Each elliptical agent has its own event sequence. Agents only need to receive the state and velocity information in accordance with their own event sequence. The collision time for individual agent is obtained based on the position and velocity information of its possible collision agents, while relative velocity in the direction of obstacle avoidance should be calculated based on the velocities of its current velocity and the velocity of its possible collision agents. The deviation time for each elliptical agent can be calculated based on its position and velocity information and its desired position based on the predefined formation. The probability-driven controller is developed to deal with the stuck problem which may happen during formation moving. To improve the performance of the event-triggered formation control strategy, the adaptive schemes for mapping decision and heading angle rotation are employed to find the optimal mapping, reduce moving distance of the whole group and maintain collision free in the group.

Chapter 5 attempts to implement a two-stage reconfiguration strategy for multi-agent systems. This strategy is employed to find the special formation during idle time, which can be seen as transition of the predefined formations, in order to minimize the reconfiguration time. First, the two-stage reconfiguration scheme for dot agents is proposed to be treated as a basic reconfiguration strategy. The random mapping algorithm is introduced to find the optimal mapping for each predefined formation. These optimal mappings will lead the group of agents to find their optimal special formation. To meet the practical requirements, the agents are modeled as circles or ellipses to consider overlapping problem, which may happen by using the two-stage reconfiguration strategy for dot agents. The anti-overlapping strategies are built to construct the achievable special formation based on the geometric properties of circle and ellipse.

The main contributions of this thesis are given as follows.

- 1 New control schemes are proposed to drive a group of elliptical agents to a predefined formation. All agents are assumed to have the same form of control law and reference formation. Only restricted communication among agents is allowed, and they can send and receive identification numbers to and from other agents in the system. The controller of each agent is established based on the midpoint derived from their neighborhood. An adaptive parameter is introduced to the formation control strategy to adapt to the variety neighboring environment of each elliptical agent. The collision among elliptical agents can be avoided by choosing optimal path and removing obstacle angles. A self-center-based rotation algorithm is also proposed to guarantee collision avoidance when two agents approach to each other.
- 2 The desired formation is obtained based on the displacements and predefined formation, which are obtained through a reference mapping. Agents can find their optimal mapping decisions based on the random

mapping algorithm. During each sampling interval, several possible mappings are generated and the sums of distances with corresponding agents under each possible mapping decision are calculated to be compared with the others. The shortest one will be chosen to be the optimal formation in the corresponding interval. To reduce the computational burden, an adaptive random mapping algorithm is developed based on the random mapping algorithm. It is achieved based on the repeat rate and repeating elements in each optimal mapping.

- 3 An event-triggered probability-driven formation control scheme is investigated for multiple elliptical agents. Each agent has its own event sequence based on the minimum collision time and the deviation time calculated by itself. Agents only need to receive the state and velocity information in accordance with their own event sequence. Probability-driven controller is established to prevent the stuck problem among agents, which may happen when two or more elliptical agents are too close to each other.
- 4 A two-stage reconfiguration strategy based on dot agents is proposed during idle time with a random mapping algorithm. The mapping relationship applied in this thesis is changing based on the current positions of the agents and the predefined formation. This mapping algorithm is constructed based on the minimum expected moving distance between the current positions of the group of agents and each predefined formation. To meet the practical requirements, the two-stage reconfiguration scheme is improved due to the circular shapes and elliptical shapes of the agents, which are used to find the optimal special formation without overlapping problem during idle time.





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