

Jinzhe Gong, Aaron C. Zecchin, Martin F. Lambert, and Angus R. Simpson

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1 **Determination of the creep function of viscoelastic**  
2 **pipelines using system resonant frequencies with**  
3 **hydraulic transient analysis**

4

5 Jinzhe Gong<sup>1</sup>, Aaron C. Zecchin<sup>2</sup>, Martin F. Lambert<sup>3</sup> and Angus R. Simpson<sup>4</sup>

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7 <sup>1</sup>Research Associate; School of Civil, Environmental and Mining Engineering, University  
8 of Adelaide, SA 5005, Australia; Email: [jinzhe.gong@adelaide.edu.au](mailto:jinzhe.gong@adelaide.edu.au)

9 <sup>2</sup>Senior Lecturer; School of Civil, Environmental and Mining Engineering, University of  
10 Adelaide, SA 5005, Australia; Email: [aaron.zecchin@adelaide.edu.au](mailto:aaron.zecchin@adelaide.edu.au)

11 <sup>3</sup>Professor; M.ASCE; School of Civil, Environmental and Mining Engineering, University  
12 of Adelaide, SA 5005, Australia; Email: [martin.lambert@adelaide.edu.au](mailto:martin.lambert@adelaide.edu.au)

13 <sup>4</sup>Professor; M.ASCE; School of Civil, Environmental and Mining Engineering, University  
14 of Adelaide, SA 5005, Australia; Email: [angus.simpson@adelaide.edu.au](mailto:angus.simpson@adelaide.edu.au)

15

16 **Abstract**

17 The determination of the creep (compliance) function of viscoelastic pipelines is essential  
18 for modelling their hydraulic behavior and accurately predicting pressure responses under  
19 transient events. This paper proposes a novel frequency-domain technique for the  
20 determination of the creep function of viscoelastic pipelines using hydraulic transients. A  
21 viscoelastic pipeline system, when compared with a frictionless elastic pipeline under the  
22 same system configuration, has non-uniformly shifted resonant frequencies. Analytical

23 analysis shows that the shift in the resonant frequencies of a viscoelastic pipeline system is  
24 related to both the pipe wall viscoelastic compliance effects and the unsteady wall shear  
25 stress effects. A technique is developed to determine the elastic wave speed and the  
26 viscoelastic creep compliances based on the shifted system resonant frequencies. To  
27 improve the accuracy of the calibration for the viscoelastic parameters, an approach is  
28 proposed to correct the shifting in the resonant frequencies induced by the unsteady friction  
29 before the calibration. Numerical simulations conducted on a high-density polyethylene  
30 (HDPE) pipeline verify that the elastic wave speed and viscoelastic compliance can be  
31 determined with relatively high accuracy.

32 *Keywords:* creep function; fluid transient; polymer; resonance; viscoelasticity; water  
33 hammer.

## 34 **Introduction**

35 Viscoelastic pipelines, such as polyvinyl chloride (PVC) and high-density polyethylene  
36 (HDPE) pipelines, have been increasingly used throughout the world for potable water  
37 distribution, sewage effluent transport and agriculture irrigation. Experimental studies  
38 (Güney 1983; Covas et al. 2004; Ramos et al. 2004; Brunone and Berni 2010; Meniconi et  
39 al. 2012; Pezzinga et al. 2014) showed that transient pressure waves experienced greater  
40 attenuation and dispersion in viscoelastic pipelines when compared with elastic pipelines  
41 (e.g. metallic pipes). However, in some cases, the use of viscoelastic pipelines increases  
42 the maximum transient pressure (Pezzinga and Scandura 1995; Ramos et al. 2004). In the  
43 frequency domain, viscoelasticity introduces non-uniform (frequency-dependent) shifting

44 of the resonant frequencies of a pipeline system and non-uniform resonant responses (Suo  
45 and Wylie 1990; Lee et al. 2013). Detailed understanding of the hydraulic characteristics  
46 of viscoelastic pipelines is critical for accurate prediction of the pressure responses of a  
47 pipeline system during transient events, better system design and safe operation.

48 A number of studies, both in the time and the frequency domain, have been conducted and  
49 reported in the literature on the development of a mathematical model to describe the  
50 hydraulic transient response of viscoelastic pipelines. For an applied pressure load within  
51 a pipe (as experienced during a water hammer event), the effect of viscoelasticity is  
52 characterized by an instantaneous elastic strain, followed by a gradual retarded strain  
53 (Covas et al. 2004; Shaw and MacKnight 2005). In the time domain, the method of  
54 characteristics (MOC) (Wylie and Streeter 1993; Chaudhry 2014) was used and an  
55 additional viscoelastic term was added into the classic continuity equation to describe the  
56 retarded wall deformation (Gally et al. 1979; Rieutord and Blanchard 1979; Güney 1983;  
57 Pezzinga and Scandura 1995; Ramos et al. 2004; Covas et al. 2005; Soares et al. 2008;  
58 Meniconi et al. 2012; Meniconi et al. 2014). Within all this work, a linear viscoelastic  
59 mechanical model, the generalized Kelvin-Voigt (K-V) model (Shaw and MacKnight 2005)  
60 that includes an elastic element and one or more viscoelastic elements, was used to describe  
61 the retarded wall deformation by mathematically describing the creep function of a  
62 viscoelastic pipeline. The creep function, which is also known as compliance function, is a  
63 description of the time variation of strain for a constant stress, and related to the molecular  
64 structure of the material, temperature and stress-time history (Covas et al. 2004). In  
65 Brunone et al. (2000) it is shown that very large (not physically reasonable) decay

66 coefficients of the friction formula (Brunone et al. 1995) should be used to simulate  
67 transients in viscoelastic pipes when viscoelasticity is not taken into account.

68 In the frequency domain, most previous studies of fluid transient simulation in viscoelastic  
69 pipelines used a frequency-dependent wave speed to describe the pipeline viscoelasticity,  
70 and the modulus of elasticity of a viscoelastic pipeline was represented by the inverse of  
71 the creep function (both in the frequency domain) (Meißner and Frank 1977; Rieutord 1982;  
72 Franke and Seyler 1983; Suo and Wylie 1990). A different approach was taken by Duan et  
73 al. (2012), which derived the transfer matrix of a viscoelastic pipeline, with and without a  
74 leak, using the time-domain modified continuity equation and a one-element K-V model.  
75 However, the frequency-dependent effects on the size of the resonant responses were not  
76 observed in their numerical simulations, i.e. the resonant responses of the intact viscoelastic  
77 pipe that was considered showed an almost uniform amplitude.

78 Research on the transient behavior of viscoelastic pipelines has also been extended to  
79 numerical stability analysis of the MOC-based simulation with K-V model (Zecchin et al.  
80 2008), viscoelastic pipelines with unsteady friction (Covas et al. 2005; Duan et al. 2010a;  
81 Duan et al. 2010b), cavitation (Keramat et al. 2010), time-dependent Poisson's ratio  
82 (Keramat et al. 2013), fluid structure interaction (Keramat et al. 2012), the presence of  
83 leaks (Duan et al. 2012; Ferrante et al. 2013; Lazhar et al. 2013) and blockages (Meniconi  
84 et al. 2012; Meniconi et al. 2013; Meniconi et al. 2014), and in networks (Zecchin et al.  
85 2012).

86 With the interest of research on viscoelastic pipelines (in particular, pressurized polymeric  
87 water pipelines) gradually increasing in more complex scenarios and experimental studies,  
88 a critical issue is the accurate evaluation of the creep function, which is the key for accurate  
89 prediction of the mechanical behavior and transient response in real viscoelastic pipelines.  
90 The creep function of a viscoelastic pipeline can be evaluated by mechanical testing (Zhang  
91 and Moore 1997; Covas et al. 2004). However, experiments by Covas et al. (2004) showed  
92 that mechanical testing of small samples of the pipe wall material only provided an estimate  
93 of the actual mechanical behavior of the pipe system, which depends on not only the  
94 molecular structure of the material and temperature but also the pipe axial and  
95 circumferential constraints and stress-time history of the pipe system. An alternative  
96 approach is to calibrate the mechanical behavior of a pipeline system by hydraulic transient  
97 tests. Pezzinga and Scandura (1995) used a one-element K-V model to study a short  
98 additional HDPE pipeline connected to a relatively long steel pipeline system. The elastic  
99 modulus of elasticity (which corresponds to the elastic component of the pipe's  
100 circumferential expansion and manifested by the elastic wave speed) of the HDPE pipe  
101 was determined from the oscillation periods of the transient pressure wave, while the  
102 viscoelastic parameters were determined by trial-and-error. However, the accuracy of the  
103 calibration of the elastic modulus of elasticity (or the elastic wave speed) is hard to assure  
104 because the oscillation period is not constant over time in viscoelastic pipes due to wave  
105 dispersion. Covas et al. (2004) used the inverse transient analysis (ITA) (Liggett and Chen  
106 1994) to calibrate the viscoelastic parameters of a HDPE pipeline by optimizing the  
107 parameters in a multi-element K-V model in order to minimizing the difference between  
108 the simulated and observed pressure traces. Unsteady friction was considered in the

109 forward modeling to account for the friction-induced damping. However, the elastic wave  
110 speed (or the elastic creep) was not calibrated by ITA due to the non-uniqueness of the  
111 solutions. It was estimated using the traveling time of the incident wave between two  
112 pressure transducers, but the measured wave speed varied with the location of the  
113 transducer pairs due to wave dispersion. The ITA approach was adapted in several later  
114 studies (Soares et al. 2008; Duan et al. 2010a; Meniconi et al. 2012; Pezzinga 2014).  
115 Keramat and Haghghi (2014) developed a ‘viscoelastic Joukowsky formula’ to describe  
116 the head response in viscoelastic pipelines induced by a valve closure. Unsteady friction  
117 was neglected in the formula. A curve-fitting procedure, which is much more  
118 computational efficient than the ITA, was used to calibrate the mechanical parameters by  
119 matching the numerical head response with the measurements in the first half water  
120 hammer cycle. However, the elastic modulus of elasticity (or the elastic wave speed) was  
121 not calibrated but pre-assigned in the case studies reported in Keramat and Haghghi (2014),  
122 and the calibrated viscoelastic compliances were significantly different (20% or more)  
123 from the values used in the original numerical model. Overall, all the previous hydraulic  
124 transient-based studies on the calibration of the creep function of viscoelastic water  
125 pipelines were limited to time-domain analysis. The parameter calibration, even for the  
126 elastic modulus of elasticity (or the elastic wave speed) alone, is very challenging due to  
127 the significant wave dispersion and the fact that unsteady friction also introduces wave  
128 attenuation and dispersion.

129 The current research proposes a new technique for calibrating the creep function of  
130 viscoelastic pipelines using hydraulic transients but with frequency-domain analysis. The  
131 proposed technique only uses information about the resonant frequencies, which is not

132 subject to discrete faults (such as leaks) in pipelines. The analysis of the transfer matrix of  
133 a viscoelastic pipeline derived using a generalized multi-element K-V model shows that  
134 the use of an additional retarded strain term in the continuity equation and the use of a  
135 frequency-dependent wave speed (or frequency-dependent modulus of elasticity) to model  
136 the pipeline viscoelastic behavior are equivalent. It is also found that both the  
137 viscoelasticity and the unsteady friction introduce frequency-dependent reduction and  
138 shifting to the resonant response peaks of a pipeline system. Based on the analytical  
139 relationship between the resonant frequencies and the pipeline viscoelastic and friction-  
140 related parameters, a technique is developed to determine the elastic wave speed and the  
141 viscoelastic compliances from the resonant frequencies. The technique is complemented  
142 by an approach to correct the shifting in the resonant frequencies induced by the unsteady  
143 friction before the calibration of the viscoelastic parameters. Numerical case studies are  
144 conducted on an HDPE pipeline without and with unsteady friction. The results show that  
145 the new technique is computationally efficient and can yield accurate evaluation of the  
146 elastic wave speed and satisfactory accuracy for the viscoelastic compliances. Challenges  
147 for future applications in field pipelines are also identified and discussed in the end of the  
148 paper.

## 149 **Time-domain Governing Equations for Viscoelastic** 150 **Pipelines**

151 This section is a brief review of the time-domain governing equations for viscoelastic  
152 pipelines. The one-dimensional (1-D) momentum equation for transient flow in pressurized  
153 pipelines is given as (Wylie and Streeter 1993; Chaudhry 2014)



$$\frac{1}{gA} \frac{\partial Q}{\partial t} + \frac{\partial H}{\partial x} + h_f = 0 \quad (1)$$

154 where  $g$  is gravitational acceleration,  $A$  is the cross-sectional area of a pipeline,  $Q$  is the  
 155 flow rate,  $H$  is the piezometric head,  $t$  is time,  $x$  is distance along the pipeline, and  $h_f$  is  
 156 the head loss per unit length due to friction. The head loss can be regarded as a summation  
 157 of a steady-state component and an unsteady-state component (Zielke 1968). The steady-  
 158 state component is well defined for both laminar and turbulent flow (Wylie and Streeter  
 159 1993; Chaudhry 2014). Several unsteady head loss formulas are reported in the literature  
 160 (Zielke 1968; Vardy et al. 1993; Brunone et al. 1995; Vítkovský 2006).

161 The one-dimensional continuity equation with a retarded strain term for viscoelastic  
 162 pipelines is given as (Gally et al. 1979; Pezzinga and Scandura 1995; Covas et al. 2005)

$$\frac{gA}{a_e^2} \frac{\partial H}{\partial t} + \frac{\partial Q}{\partial x} + 2A \frac{\partial \varepsilon_r}{\partial t} = 0 \quad (2)$$

163 where  $a_e$  is the elastic wave speed and  $\varepsilon_r$  is the retarded strain.  $a_e$  is related to the elastic  
 164 modulus of elasticity  $E_0$  by the classic wave speed formula (Wylie and Streeter 1993;  
 165 Chaudhry 2014).

166 The generalized Kelvin-Voigt (K-V) model has been commonly used to describe the  
 167 mechanical behavior (creep function) of a viscoelastic material (Shaw and MacKnight  
 168 2005). The model, as illustrated in Figure 1, includes one elastic element and  $N$

169 viscoelastic elements in series connection. The elastic element is represented by a single  
170 spring with a modulus of elasticity  $E_0$  (which is referred as the elastic modulus of  
171 elasticity), and a viscoelastic element consists of a dashpot with a viscosity  $\eta_k$  and a spring  
172 with a modulus of elasticity  $E_k$  in parallel connection.

173 Using the K-V model, the creep function is described by

$$J(t) = J_0 + \sum_{k=1}^N J_k (1 - e^{-t/\tau_k}) \quad (3)$$

174 where  $J_0$  equals  $1/E_0$  and it is termed as the elastic creep in some literature,  $J_k$  equals  
175  $1/E_k$  and it is the compliance of the spring of the  $k$  th K-V element,  $\tau_k$  equals  $\eta_k / E_k$  and  
176 it is the retardation time of the dashpot of the  $k$  th K-V element. Note that the K-V model  
177 is a phenomenological model without physical interpretation (Weinerowska-Bords 2006,  
178 2007), as a result, different combinations of the number of K-V elements and the values of  
179  $J_0$ ,  $J_k$  and  $\tau_k$  may yield very similar creep curves (Covas et al. 2005; Keramat and  
180 Haghghi 2014). To reduce the possibility of non-uniqueness in solutions, a recent practice  
181 in research is to assume the number of K-V elements and assign constant values to  $\tau_k$ , then  
182 determine the values of  $J_0$  and  $J_k$  (Covas et al. 2005; Keramat and Haghghi 2014;  
183 Pezzinga 2014). This strategy is also used in the current research.

# 184 Frequency-domain Governing Equations for Viscoelastic 185 Pipelines

## 186 *Transfer matrix for a viscoelastic pipeline*

187 The transfer function of a viscoelastic pipeline can be derived using the concept of steady-  
188 oscillatory flow, where every transient signal is described as a perturbation about a mean  
189 state (Wylie and Streeter 1993; Chaudhry 2014). Using Eqs. (1) and (2) and following the  
190 derivation presented in Duan et al. (2012) but using a generalized multiple element K-V  
191 model [Eq. (3)], the transfer matrix for a viscoelastic pipeline can be written as

$$\begin{Bmatrix} q \\ h \end{Bmatrix}^{n+1} = \begin{bmatrix} \cosh(\mu L) & -\frac{1}{Z} \sinh(\mu L) \\ -Z \sinh(\mu L) & \cosh(\mu L) \end{bmatrix} \begin{Bmatrix} q \\ h \end{Bmatrix}^n \quad (4)$$

192 where  $q$  and  $h$  are the complex flow and head oscillation in the frequency domain,  $L$  is  
193 the total length of the pipe, and the propagation operator  $\mu$  and the characteristic  
194 impedance  $Z$  are given by

$$\mu = \frac{i\omega}{a_e} T_{VE} T_F \quad (5)$$

$$Z = \frac{a_e T_F}{gA T_{VE}} \quad (6)$$

195 in which  $\omega$  is the angular frequency,  $T_{VE}$  and  $T_F$  represent the terms contributed by  
 196 viscoelasticity and friction, respectively, and are given as

$$T_{VE} = \sqrt{1 + a_e^2 \frac{\alpha D \rho}{e} \sum_{k=1}^N \frac{J_k}{i\omega\tau_k + 1}} \quad (7)$$

$$T_F = \sqrt{1 + \frac{gA}{i\omega} R} \quad (8)$$

197 where  $\alpha$  is the pipeline restraint factor,  $D$  is the pipeline internal diameter,  $\rho$  is the  
 198 density of fluid,  $e$  is pipe wall thickness,  $R$  is the resistance per unit length. More details  
 199 for the derivation of Eqs. (5) to (8) can be found in Gong et al. (2015b).

200  $R$  can be described by a summation of the steady friction part  $R_s$  and the unsteady friction  
 201 part  $R_{us}$ , i.e.  $R = R_s + R_{us}$ , where  $R_s = fQ_0 / (gDA^2)$  is the linearized steady-state  
 202 resistance term for smooth-pipe turbulent flow and  $f$  is the Darcy-Weisbach friction  
 203 factor. The expression of  $R_{us}$  presented in Vítkovský et al. (2003) is used in this research.  
 204 The  $R_{us}$  term was derived based on the Zielke (1968) unsteady friction model and the  
 205 Vardy and Brown (1995; 1996) weighting function for smooth-pipe turbulent flow.

206 ***Modelling pipe viscoelasticity by retarded strain versus by***  
 207 ***complex wave speed***

208 A further analysis of Eqs. (5) and (6) shows that, the existing two approaches of modeling  
 209 pipe wall viscoelastic effects on transient pressure waves as reported in literature [i.e. the

210 use of an additional term ( $2A\partial\varepsilon_r/\partial t$ ) to represent the retarded strain (Gally et al. 1979;  
 211 Rieutord and Blanchard 1979; Güney 1983; Pezzinga and Scandura 1995; Ramos et al.  
 212 2004; Covas et al. 2005; Soares et al. 2008; Meniconi et al. 2012; Meniconi et al. 2014)  
 213 and the use of a frequency-dependent and complex wave speed (Rieutord 1982; Suo and  
 214 Wylie 1990)], are equivalent, despite apparent differences in their representations. In  
 215 Rieutord (1982) and Suo and Wylie (1990), the pipeline viscoelasticity was modeled by  
 216 only considering a frequency-dependent and complex modulus of elasticity  $E(i\omega)$ , which  
 217 is defined as  $1/J(i\omega)$  and  $J(i\omega)$  is the frequency domain representation of the creep  
 218 function shown in Eq.(3). The use of  $E(i\omega)$  resulted in a frequency-dependent and  
 219 complex wave speed  $a^*$  as given by the classic wave speed formula for elastic pipes  
 220 (Rieutord 1982; Suo and Wylie 1990)

$$a^* = \sqrt{\frac{K/\rho}{1 + \alpha(K/E(i\omega))(D/e)}} \quad (9)$$

221 To model the pipe wall viscoelastic effects for transient pressure waves, instead of the use  
 222 of an additional term for the retarded strain,  $a^*$  was used in the classic continuity equation  
 223 for elastic pipes to replace the elastic wave speed (Rieutord 1982; Suo and Wylie 1990).

224 Considering the governing equations [Eqs. (5) and (6)] resulted from the use of an  
 225 additional term for the retarded strain in the continuity equation, the ratio of  $a_e$  to  $T_{AE}$  can  
 226 be regarded as a single parameter  $a_c$ . The use of  $a_c$  also transforms the format of the

227 propagation operator  $\mu$  [Eq. (5)] and the characteristic impedance  $Z$  [Eq. (6)] to their  
 228 counterparts for elastic pipes.  $a_c$  in its full expression is written as

$$a_c = \frac{a_e}{\sqrt{1 + a_e^2 \frac{\alpha D \rho}{e} \sum_{k=1}^N \frac{J_k}{i\omega\tau_k + 1}}} \quad (10)$$

229 where the elastic wave speed  $a_e$  is given by the classical wave speed formula for elastic  
 230 pipes [same format as Eq. (9) but with a constant modulus of elasticity  $E_0$ ]. Further  
 231 mathematical arrangements show that  $a_c$  as given in Eq. (10) is indeed the same as the  
 232 frequency-dependent and complex wave speed  $a^*$  as derived from the complex modulus  
 233 of elasticity in Eq. (9). This finding indicates that the use of an additional viscoelastic term  
 234 to represent the retarded strain is equivalent to the use of a frequency-dependent and  
 235 complex wave speed (or modulus of elasticity) in the continuity equation. In other words,  
 236 the mechanical characteristics of viscoelastic pipelines (an instantaneous elastic strain  
 237 followed by a retarded strain) lead to a frequency-dependent wave speed. As a result, the  
 238 pipeline viscoelasticity is more suitable to be analyzed in the frequency domain, where the  
 239 pipe response to loadings with various frequencies can be studied independently.

### 240 ***Frequency response function of a viscoelastic pipeline***

241 The frequency response function (FRF) of a viscoelastic pipeline can be derived using the  
 242 pipeline transfer matrix in Eq. (4) with boundary conditions. In this research, a reservoir-  
 243 pipeline-high loss valve system is considered for the analytical derivation, and the special

244 case of a reservoir-pipeline-closed valve configuration is also studied in the numerical  
 245 analysis. A side-discharge valve located just upstream of the high loss inline valve acts as  
 246 the transient generator. Either discrete signals, such as a pulse (Lee et al. 2006), or  
 247 continuous signals, such as pseudo random binary signals (Gong et al. 2015a), can be used  
 248 as the excitation. In this research, a discrete discharge perturbation is considered as the  
 249 input signal to the system, which can be realized by a fast successive opening and closing  
 250 valve maneuver. The discharge perturbation then introduces head perturbations in the  
 251 pipeline system, which are considered as the output of the system. Note that under linear  
 252 system theory, for a system with a specific configuration, the system response function is  
 253 independent of the format of the input excitation (or the type of valve maneuver provided  
 254 the input is independent of the output). A discharge perturbation can be described by (Lee  
 255 et al. 2006)

$$\begin{Bmatrix} q \\ h \end{Bmatrix}^{n+1} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \begin{Bmatrix} q \\ h \end{Bmatrix}^n + \begin{bmatrix} \Delta q \\ 0 \end{bmatrix} \quad (11)$$

256 where  $\Delta q$  is the discharge perturbation induced by the valve.

257 Applying the transfer matrix method (Wylie and Streeter 1993; Chaudhry 2014) and the  
 258 procedure used in elastic pipelines (Lee et al. 2006; Duan et al. 2012; Gong et al. 2013),  
 259 the normalized complex head oscillation (frequency response function) at the downstream  
 260 end of the pipeline (upstream side of the high loss valve) can be derived as

$$h^* = \frac{Z \tanh(\mu L)}{1 + [Z \tanh(\mu L)/Z_v]} \quad (12)$$

261 where  $h^*$  is the complex head oscillation normalized by the active input  $\Delta q$ ,  $Z_v$  is the  
262 impedance of the high loss inline valve, and  $L$  is the length of the pipeline. Note that Eq.  
263 (12) is an expression of the normalized head response for either elastic or viscoelastic  
264 pipeline in a reservoir-pipeline-high loss valve system, and it is independent from the  
265 properties of the excitation. When the  $T_{vE}$  and  $T_F$  terms as defined in Eqs. (7) and (8) are  
266 used in  $\mu$  and  $Z$ , the head response is for a viscoelastic pipeline with unsteady friction.  
267 The plot of the absolute value of Eq. (12) versus frequency is known as the Frequency  
268 Response Diagram (FRD) for the pipeline system, and the peaks (i.e. maxima) in the FRD  
269 are resonant responses of the system corresponding to the resonant frequencies (i.e. peak  
270 frequencies).

## 271 **Determination of the Creep Function using Resonant** 272 **Frequencies**

273 This section describes the proposed technique for calibrating the viscoelastic parameters in  
274 the creep function for viscoelastic pipelines. The technique is developed based on the  
275 analytical relationship between the resonant frequencies of a viscoelastic pipeline and the  
276 pipeline viscoelastic and friction-related parameters. As unsteady friction also contributes  
277 to the shifting of the resonant frequencies, an approach is developed to correct the effects  
278 induced by the unsteady friction before the calibration of the viscoelastic parameters.



279 **Approach**

280 For an intact viscoelastic pipeline in a reservoir-pipeline-high loss valve system, the  
281 resonant responses are obtained when the absolute value of Eq. (12) reaches its maxima,  
282 where the corresponding frequencies are the resonant frequencies. When the inline valve  
283 is a high loss valve or fully closed so that the value of  $Z_v$  is much greater than the value  
284 of  $|Z \tanh(\mu L)|$ , Eq. (12) can be simplified as

$$h^* = Z \tanh(\mu L) \quad (13)$$

285 with negligible impacts on the resonant frequencies.

286 The characteristic impedance  $Z$  is a frequency-dependent function and related to the  
287 viscoelastic and friction terms, but its values are unknown when the viscoelastic parameters  
288 are unknown. Numerical simulations show that  $Z$  is a monotonic function of frequency  
289 (presented later in Figure 7). To simplify the analysis, an assumption is made that the  
290 influence of  $Z$  on the maxima or minima of Eq. (13) can be neglected (implications are  
291 further discussed in the later section *Discussions*). In other words, it is assumed that the  
292 measured resonant frequencies [which are actually the peak frequencies of the function in  
293 Eq. (12)] are the frequencies where the function  $|\tanh(\mu L)|$  reaches its maxima.

294 From the mathematic properties of hyperbolic functions (Kreyszig et al. 2011), the  
295 hyperbolic tangent is periodic with respect to the imaginary component and the period is  
296  $\pi i$ , where  $i$  represents the imaginary unit. For a complex hyperbolic tangent function

297  $\tanh(x + yi)$  with a specific real part  $x$ , the maxima of the absolute value of the function  
 298 (i.e.  $|\tanh(x + yi)|$ ) are obtained when the imaginary part  $y$  is an odd multiple of  $\pi / 2$ . A  
 299 3D mesh plot of function  $|\tanh(x + yi)|$  is given in Figure 2.

300 The real and the imaginary parts of the propagation operator  $\mu$  are monotonic functions  
 301 of frequency. The results of  $|\tanh(\mu L)|$  for the practical HDPE pipeline considered in the  
 302 *Case Studies* section are shown as the thick line in Figure 2, which can be considered as a  
 303 curved slice of the 3D mesh. It can be seen that the maxima of the function  $|\tanh(\mu L)|$  are  
 304 achieved at specific frequencies where the imaginary part of the variable are odd multiples  
 305 of  $\pi / 2$ , i.e.

$$\text{Im}[\mu(\omega_m)L] = (2m-1)\frac{\pi}{2} \quad (14)$$

306 where  $\text{Im}[\ ]$  signifies the imaginary part of the complex number in the brackets,  $\omega_m$   
 307 represent the resonant angular frequencies,  $m$  is an integer ( $m = 1, 2, 3 \dots$ ) and represents  
 308 the ordinal number of the resonant peaks.

309 Substituting Eq. (5) into Eq. (14) and applying mathematical manipulation yields

$$\omega_m = (2m-1)\frac{a_e\pi}{\text{Re}[T_{VE}(\omega_m)T_F(\omega_m)]2L} \quad (15)$$

310 where  $\text{Re}[\ ]$  signifies the real part of the complex number in the brackets. Eq. (15) shows  
311 that the resonant frequencies of a viscoelastic pipeline is a function of the elastic wave  
312 speed  $a_e$ , the viscoelastic term  $T_{VE}$ , the friction term  $T_F$  and the length of pipe  $L$ . As a  
313 result, it is possible to calibrate the value of  $a_e$  (which is related to the elastic creep  $J_0$ )  
314 and the viscoelastic parameters in  $T_{VE}$  and the friction-related parameters in  $T_F$  by using  
315 known resonant (angular) frequencies  $\omega_m$ , which can be read from a measured FRD as the  
316 peak frequencies. By this approach, the calibration of the viscoelastic parameters in  $T_{VE}$  is  
317 transferred to a problem of solving a set of nonlinear equations, defined by Eq. (15), and  
318 the number of equations to be used depends on the number of unknown parameters to  
319 calibrate.

### 320 ***Steps for implementation***

321 The effects of viscoelasticity and friction on the resonant frequencies are coupled as the  
322 product of  $T_{VE}$  and  $T_F$ , which means the solutions may be non-unique if both  $T_{VE}$  and  $T_F$   
323 are open to calibration. Previous research on elastic pipelines (Lee et al. 2006; Sattar and  
324 Chaudhry 2008) concluded that steady friction does not change the resonant frequencies,  
325 while the influence of unsteady friction on the resonant frequencies is very limited.  
326 Numerical simulations conducted in this research (as shown later in the *Case Studies*  
327 section) confirm those findings. However, the current research also discovers that, although  
328 neglecting the effects of unsteady friction in a viscoelastic pipeline does not impose much  
329 impact on the calibration of the elastic wave speed, it can have a significant impact on the  
330 calibration of the viscoelastic compliances, especially for the high order K-V elements. The

331 explanation is that the higher the order an element is in the K-V model, the less influence  
332 it has to the hydraulic behavior of the pipeline system. This means the elastic modulus of  
333 elasticity, or the elastic wave speed, is the dominant factor and has the greatest influence  
334 on the resonant frequencies, while the influence of the viscoelastic compliances decreases  
335 with the increase in element order. It is also evident from the definition of the creep function  
336 in Eq. (3), where the relative variation in  $J(t)$  is less sensitive to the relative variation in  
337 the value of higher order  $J_k$ . From the perspective of parameter calibration using measured  
338 resonant frequencies, the calibration of the elastic compliance is the least sensitive to errors  
339 in the measured resonant frequencies, while the sensitivity to error increases with the  
340 increase in the order of K-V elements. In other words, it is more difficult to accurately  
341 calibrate higher order K-V elements, because a relatively small error in the measured  
342 resonant frequencies would have to be explained by a relatively greater change in the higher  
343 order  $J_k$  values.

344 This research proposes a multi-step strategy to implement the calibration of the elastic  
345 wave speed and the viscoelastic compliances. The FRD of a viscoelastic pipeline in a  
346 reservoir-pipeline-high loss valve system can be extracted by hydraulic transient tests and  
347 the resonant frequencies are determined by locating the peaks in the FRD. Without loss of  
348 generality, a high loss inline valve is considered, although a fully closed inline valve is  
349 preferred. The resonant frequencies are shifted due to unsteady friction and viscoelasticity  
350 when compared with those in a theoretical frictionless and elastic pipe. As the calibration  
351 of the viscoelastic parameters is the focus, an approach is proposed to correct the shifting  
352 of the resonant frequencies induced by the effects of unsteady friction before the ultimate

353 calibration for the viscoelastic parameters. The elastic wave speed and the viscoelastic  
354 compliances are firstly estimated from the originally measured resonant frequencies by  
355 solving Eq. (15) with neglecting the effects of friction (i.e.  $T_F = 1$ ). Considering that the  
356 shifting in resonant frequencies due to the unsteady friction is insignificant, the estimated  
357 wave speed should be close to the true elastic wave speed, though the estimated viscoelastic  
358 compliances may have significant error. Using this estimated elastic wave speed and the  
359 friction factor estimated from the steady state, numerical simulations can be conducted to  
360 estimate the resonant frequencies for the scenario elastic and frictionless (EL) and the  
361 scenario elastic with unsteady friction (EL+UF). The contribution of the unsteady friction  
362 to the shifting of the resonant frequencies can be evaluated from the numerical results, and  
363 then corrected from the measured resonant frequencies. The corrected resonant frequencies,  
364 with the unsteady friction-induced shifting largely corrected, are then used in Eq. (15) for  
365 the calibration of the elastic wave speed and the viscoelastic compliances. The detailed  
366 procedure for the systematic evaluation of the elastic wave speed and the viscoelastic  
367 compliances is summarized in the following steps:

- 368 1. For a viscoelastic pipeline in a reservoir-pipeline-high loss valve configuration,  
369 determine the Darcy-Weisbach friction factor  $f$  using the steady-state head loss,  
370 and the Reynolds number  $R$  from the steady-state flow.
- 371 2. Extract the frequency response diagram (FRD) of the viscoelastic pipeline system.  
372 Techniques for FRD extraction in real pipelines can be found in Lee et al. (2006;  
373 2008) and Gong et al. (2015a). The resonant frequencies,  $\omega_m$ , are then read from  
374 the measured FRD by locating the peaks of the pressure response.

- 375 3. Solve the set of nonlinear equations defined by Eq. (15) for  $m=1, \dots, M$  ,  
376 neglecting the influence of the friction term (i.e.  $T_F = 1$ ) to estimate the elastic  
377 wave speed  $a_e$  and the viscoelastic compliances  $J_k$  . The number of equations  $M$   
378 (the number of resonant frequencies used in the parameter calibration) has to be  
379 equal or more than the number of unknown parameters. For example, if a three-  
380 element K-V model is used and  $\tau_k$  are fixed to reduce the possibility of non-  
381 uniqueness in solutions, as adopted in other studies (Covas et al. 2005; Soares et al.  
382 2008; Keramat and Haghghi 2014), there are four unknowns to determine,  
383 including  $a_e$ ,  $J_1$ ,  $J_2$  and  $J_3$  . As a result, four or more resonant frequencies in the  
384 measured FRD should be used. The values of  $\tau_k$  used should be significantly  
385 different from one another and all smaller than one half the fundamental pipeline  
386 period (see the sub-section *Retardation time and pipe period* later in this paper for  
387 more discussion).
- 388 4. Calculate the resonant frequencies using Eq. (15) neglecting both the effects of  
389 viscoelasticity and friction (i.e.  $T_{VE} = 1$  and  $T_F = 1$ ) for a corresponding frictionless  
390 and elastic pipeline system. This is achieved by substituting the elastic wave speed  
391  $a_e$  determined in Step 3 into Eq. (15). The results, symbolized as  $\omega_{m\_FL}$  , are the  
392 estimated resonant frequencies for the corresponding frictionless and elastic  
393 pipeline system.
- 394 5. Calculate the resonant frequencies using Eq. (15) neglecting the effects of  
395 viscoelasticity (i.e.  $T_{VE} = 1$ ) for a corresponding elastic pipeline system with  
396 unsteady friction. This is achieved by substituting the Darcy-Weisbach friction

397 factor  $f$  and the Reynolds number  $R$  determined in Step 1 and the elastic wave  
398 speed  $a_e$  determined in Step 3 into Eq. (15). The results, symbolized as  $\omega_{m\_UF}$ , are  
399 the estimated resonant frequencies for the corresponding elastic pipeline with  
400 unsteady friction.

401 6. Correct the measured resonant frequencies obtained in Step 2 to remove the shifting  
402 induced by unsteady friction. The correction is achieved by the formula

403

$$\omega_{m\_C} = \omega_m \frac{\omega_{m\_FL}}{\omega_{m\_UF}} \quad (16)$$

404 where  $\omega_{m\_C}$  represents the corrected resonant frequencies.  $\omega_{m\_C}$  is a good  
405 approximation of the resonant frequencies for the corresponding frictionless  
406 viscoelastic pipeline.

407 7. Repeat Step 3 to determine  $a_e$  and  $J_k$  but use the corrected resonant frequencies  
408  $\omega_{m\_C}$  obtained in Step 6.

409 The effectiveness of the proposed procedure is verified by numerical simulations, as  
410 presented in the section of *Case Studies*.

## 411 **Case Studies**

412 Numerical simulations are conducted for an HDPE pipeline bounded by a reservoir and an  
413 inline valve to verify the proposed technique for the calibration of the creep function (the  
414 elastic wave speed and the viscoelastic compliances). A discharge perturbation [defined in

415 Eq. (11)] is used as the transient excitation, which can be realized by abruptly opening and  
416 then closing a side-discharge valve located just upstream of the inline valve. Two case  
417 studies are considered: one is a reservoir-pipeline-closed valve system without friction and  
418 another is a reservoir-pipeline-high loss valve system with the consideration of unsteady  
419 friction.

## 420 ***System specifications***

421 The physical details of the pipeline system, as given in Table 1, are adapted from the  
422 experimental pipeline in the Imperial College as reported in Covas et al. (2004), but the  
423 length of the pipe is doubled in the Case Studies to ensure all creep elements fully act  
424 within half period of a water hammer cycle so that they are possible to be calibrated (more  
425 discussion in the later sub-section *Retardation time and pipe period*). Note that the steady-  
426 state flow rate 0.3 L/s is for the reservoir-pipeline-high loss valve configuration (case study  
427 2) and it is zero for the configuration where the inline valve is fully closed (case study 1).  
428 The elastic wave speed  $a_e$ , which is to be calibrated, is 395 m/s and given in Table 1. The  
429 viscoelastic parameters are from one of the experimentally calibrated results in Covas et al.  
430 (2004), and they are given in Table 2. Research by Covas et al. (2004) showed that the use  
431 of three viscoelastic elements in the K-V model is sufficient enough to describe the  
432 viscoelasticity of a HDPE pipeline. The compliance coefficients  $J_1$  to  $J_3$  are to be  
433 determined by the proposed technique.



### 434 **Case study 1: reservoir-pipeline-closed valve**

435 The reservoir-pipeline-closed valve configuration is the suggested configuration for the  
436 calibration of the pipeline viscoelastic parameters. The effects of friction are small because  
437 of the zero steady-state flow and Eq. (13), in which the impedance of the valve is not  
438 involved, is the governing equation for the frequency response function of the system. A  
439 frictionless pipeline is considered in this case study.

### 440 **Theoretical frequency response diagrams**

441 Using Eq. (13) and neglecting friction, the theoretical frequency response diagrams (FRDs)  
442 for the scenarios: (a) elastic and frictionless (EL) and (b) viscoelastic and frictionless (VE)  
443 are obtained and illustrated in Figure 3. For the scenario of EL (solid line in Figure 3), the  
444 resonant responses are infinite and therefore cannot be fully shown in the figure. The first  
445 four resonant angular frequencies for the two FRDs respectively are read and given in Table  
446 3. It can be seen from Figure 3 and Table 3 that the pipe wall viscoelasticity introduces  
447 non-uniform shifting of the resonant frequencies and non-uniform reduction of the  
448 amplitude of the resonant responses.

### 449 **Parameter evaluation**

450 The calibration of the elastic wave speed  $a_e$  and the viscoelastic parameters  $J_1$  to  $J_3$  is  
451 relatively easy when the effect of friction is negligible. The procedure is as described in  
452 Steps 1 to 3 in the sub-section *Steps for implementation*. Once the first four resonant  
453 frequencies  $\omega_m$  ( $m = 1$  to 4) are determined (as given in Table 3), four nonlinear equations  
454 can be established from Eq. (15). Solving the four nonlinear equations gives the elastic

455 wave speed  $a_e$  and the viscoelastic parameters  $J_1$  to  $J_3$  and the results are summarized in  
 456 Table 4. In this research, the shuffled complex evolution (SCE) algorithm (Duan et al. 1993)  
 457 is used to search the values for  $a_e$  and  $J_1$  to  $J_3$  by minimizing the objective function

$$F(a_e, J_k) = \sum_{m=1}^M \left[ \frac{\omega_m |T_{VE}|}{a_e (2m-1)} - \frac{\pi}{2} \right]^2 \quad (16)$$

458 Note that  $T_{VE}$  is given in Eq. (7) and is a function of  $a_e$ ,  $J_k$  and  $\omega_m$ . The search space is  
 459 limited to the range of [350, 450] for  $a_e$  and [1E-11, 1E-9] for the  $J_k$ , as these are  
 460 physically plausible ranges for a HDPE pipe according to the study by Covas et al. (2005).

461 It can be seen from Table 4 that the calibrated results are very close to the theoretical results  
 462 used in the original model. The difference is due to the simplifications and approximations  
 463 used in the derivation of Eq. (15). The calibrated FRD is compared with the theoretical  
 464 FRD for the scenario of viscoelastic and frictionless in Figure 4. The close similarity  
 465 between the calibrated and the theoretical FRDs indicates that the calibrated results can  
 466 appropriately represent the viscoelastic behavior of the pipeline system. Case study 1  
 467 verifies that the proposed technique is valid for a frictionless viscoelastic pipeline.

## 468 **Case study 2: reservoir-pipeline-high loss valve**

469 The reservoir-pipeline-high loss valve configuration is studied in this case study. Due to  
 470 the existence of steady-state flow, the effects of friction are typically not negligible in the

471 calibration of the viscoelastic parameters. Eq. (12) is the governing equation for the  
472 frequency response function of the system.

### 473 **Theoretical frequency response diagrams**

474 The theoretical frequency response diagrams (FRDs) of the reservoir-pipeline-high loss  
475 valve system are simulated by Eq. (12) for the scenarios: (a) elastic and frictionless (EL);  
476 (b) elastic with steady and unsteady friction (EL+UF); (c) viscoelastic and frictionless (VE);  
477 and (d) viscoelastic with steady and unsteady friction (VE +UF), and the results are given  
478 in Figure 5. The first four resonant angular frequencies for the four FRDs respectively are  
479 read and given in Table 5.

480 It can be seen from Figure 5 and Table 5 that both the unsteady friction and the  
481 viscoelasticity shift the resonant frequencies of the pipeline system, although the shifting  
482 induced by the unsteady friction is much less than that induced by the viscoelasticity. The  
483 scenario of VE +UF experiences the greatest shifting from the theoretical resonant  
484 frequencies of the EL case. The numerical results also confirm that both the unsteady  
485 friction and the viscoelasticity can introduce non-uniform reduction in the size of the  
486 resonant responses.

### 487 **Parameter evaluation**

488 The elastic wave speed  $a_e$  and the viscoelastic compliances  $J_1$  to  $J_3$  are determined using  
489 the procedure proposed in the sub-section *Steps for implementation*. In addition to the  
490 steady-state hydraulic condition, it is assumed that only the FRD (or the resonant

491 frequencies) of the scenario of VE+UF is known because this is the scenario for a real  
492 reservoir-pipeline-high loss valve system.

493 Estimate the elastic wave speed neglecting friction:

494 The elastic wave speed is estimated using the measured resonant frequencies by following  
495 the instructions in Steps 1 to 3. Four equations are established using Eq. (15) for  $m = 1$  to  
496 4. The SEC is used to solve the equations and the results of the calibration using the  
497 resonant frequencies from the scenario VE+UF and neglecting the effect of friction are  
498 given in Table 6. The result for the elastic wave speed  $a_e$  is very close to the value of 395  
499 m/s used in the original model. The calibrated  $J_k$  have significant discrepancies from the  
500 values used in the original model, which indicates that the effects of friction cannot simply  
501 be neglected in the calibration process for this case study.

502 Correct the shifting in resonant frequencies induced by unsteady friction:

503 Steps 4 to 6 are conducted to correct the effects of unsteady friction on the shifting of the  
504 resonant frequencies. The approximation of the resonant angular frequencies ( $\omega_{m_c}$ ) for  
505 the viscoelastic and frictionless (VE) scenario is obtained, and the results are given in Table  
506 7. The resonant angular frequencies for the scenarios of EL and EL+UF are calculated  
507 using Eq. (15) with the elastic wave speed  $a_e = 396.9$  m/s as calibrated in Step 3. The  
508 approximation of the resonant angular frequencies ( $\omega_{m_c}$ ) for scenario VE is obtained from  
509 Eq. (16). It can be seen that the estimated resonant frequencies is very close to the

510 theoretical results for the scenario VE shown in Table 5 where unsteady friction is not  
511 included in the model.

512 Calibration using the corrected resonant frequencies:

513 The final stage for the parameter evaluation is the Step 7 in the proposed procedure. The  
514 estimated resonant frequencies for the scenario VE are substituted into Eq. (15) and the  
515 SCE algorithm is run to obtain the results, which are presented in Table 8. The results show  
516 that the elastic wave speed and the viscoelastic compliances are all calibrated with  
517 acceptable accuracy compared with the values used in the original pipeline model. The  
518 viscoelastic compliances are much better calibrated when compared with the results in  
519 Table 6, where the effects of friction were simply neglected. The significant improvement  
520 in accuracy verifies that the proposed approach for correcting the effects of unsteady  
521 friction is useful.

522 The FRD for the scenario viscoelastic and frictionless (VE) is simulated using Eq. (12)  
523 with the calibrated parameters in Table 8. The results are given in Figure 6 as the dashed  
524 line, with the comparison to the theoretical FRD for scenario VE (the solid line) obtained  
525 from the values of these parameters in the original model. A generally good match is  
526 observed in Figure 6 between the calibrated FRD and the theoretical FRD, which indicates  
527 that the calibrated parameters can be used to describe the viscoelastic characteristics of the  
528 pipeline system.

## 529 **Discussions**

530 The outlined numerical case study shows that the proposed technique for the calibration of  
531 the creep function of viscoelastic pipelines is effective even when unsteady friction is  
532 present. However, a few practical issues that may bring challenges in future field  
533 applications are identified and discussed as follows:

### 534 ***Retardation time and pipe period***

535 The proposed technique calibrates the elastic wave speed and the viscoelastic compliances  
536 based on resonant frequencies and a set of preselected retardation times. The numerical  
537 *Case Studies* reported in a previous section used a pipe length two times that in the original  
538 laboratory pipeline system in Covas et al. (2004). The increase in length was adopted to  
539 ensure that the pipe is long enough that all the K-V elements have enough time (within the  
540 half period of any water hammer cycle) to significantly respond before a change in the  
541 pressure loading. Research in the time domain showed that compliances with a retardation  
542 time greater than one half the period are unable to be calibrated with accuracy because the  
543 retardation effects from them are not fully expressed before a change in loading (Keramat  
544 and Haghghi 2014). In the *Case Studies*, the viscoelastic parameters are kept the same as  
545 those in Covas et al. (2004) so that the viscoelastic properties of the pipeline are kept the  
546 same. The third retardation time  $\tau_3$  is 1.5 s and is greater than one half the period of the  
547 water hammer cycle (approximately 1.4 s as estimated by  $2L/a_e$ ) if the original pipe  
548 length of 277 m is used. As a result, the pipe length was doubled in the *Case Studies* to  
549 make sure all the K-V elements can fully respond within one half the period of the water  
550 hammer cycle.

551 Extra numerical simulations are conducted in this research after modifying the length of  
552 the pipe to 277 m [the original length of the laboratory system in Covas et al. (2004), half  
553 the length considered in the *Case Studies* section]. A reservoir-pipeline-closed valve  
554 configuration is considered and the pipeline is assumed as frictionless in the original model.  
555 While keeping the viscoelastic compliances ( $J_1$  to  $J_3$ ) the same as those used in the *Case*  
556 *Studies*, two sets of retardation time ( $\tau_1$  to  $\tau_3$ ) are used to generate two theoretical FRDs  
557 by Eq. (13). The first set are the same as those used in the *Case Studies* and they are  $\tau_1 =$   
558  $0.05$  s,  $\tau_2 = 0.5$  s and  $\tau_3 = 1.5$  s. The second set are  $\tau_1 = 0.05$  s,  $\tau_2 = 0.25$  s and  $\tau_3 = 1.0$   
559 s so that the retardation time are significantly different from one another and all are smaller  
560 than one half the period of the water hammer cycle (approximately 1.4 s). Two sets of the  
561 elastic wave speed and the viscoelastic compliances are then calibrated from the two  
562 theoretical FRDs by following the Steps 1 to 3 presented in the sub-section *Steps for*  
563 *implementation* (same procedure as used in Case study 1). The results are summarized in  
564 Table 9.

565 Comparing the results shown in Table 9 with the results of the previous Case study 1 in  
566 Table 4, it can be seen that when the length of the pipe is changed from 554 m (Table 4) to  
567 277 m (Table 9) but the viscoelastic parameters are all kept the same,  $J_2$  and  $J_3$  cannot be  
568 calibrated with acceptable accuracy because the  $\tau_3$  is greater than one half the period of  
569 the water hammer cycle. However, when the second set of the retardation times ( $\tau_1 = 0.05$   
570 s,  $\tau_2 = 0.25$  s and  $\tau_3 = 1.0$  s) are used in the original model and also in the calibration  
571 process, all the viscoelastic compliances are calibrated with high accuracy. Several other

572 sets of retardation times that satisfy the criteria “significantly different from one another  
573 and all smaller than one half the period of the water hammer cycle” are also studied and  
574 they all yield successful calibration.

575 The numerical simulations confirm that the selection of the set of retardation times is  
576 critical for the calibration of viscoelastic compliances. For a real viscoelastic pipeline with  
577 a specific length and elastic wave speed, the set of retardation times should be selected as  
578 significantly different from one another and all smaller than one half the period of the water  
579 hammer cycle. Further analysis on the importance of pipe system scale, in particular pipe  
580 length and diameter, on viscoelastic behavior in pipe transients is suggested for future  
581 research.

### 582 ***Influence of the characteristic impedance***

583 In the proposed parameter evaluation technique, it is assumed that the measured resonant  
584 frequencies (which are actually the peak frequencies of the function  $|Z \tanh(\mu L)|$ ) are the  
585 frequencies where the function  $|\tanh(\mu L)|$  reaches its maxima. This inevitably introduces  
586 error into the parameter calibration because  $Z$  is a frequency-dependent function rather  
587 than a constant number. As defined in Eq. (6), the values of  $Z$  depend on the viscoelastic  
588 and the friction terms, and they are typically unknown or have great uncertainties for real  
589 pipeline applications. As a result, the effects from  $Z$  on the resonant frequencies are  
590 difficult to assess or correct before the parameter calibration.



591 However, the values of  $Z$  are calculated numerically for the pipeline system discussed in  
592 the *Case Studies*, and its absolute values are plotted in Figure 7. The effects of  $Z$  is  
593 evaluated for the *Case Studies* by calculating the difference between the peak frequencies  
594 of  $|Z \tanh(\mu L)|$  and those of  $|\tanh(\mu L)|$ , both for the VE scenario, and the results are given  
595 in Figure 8.

596 It can be seen from Figure 7 that  $Z$  is a monotonic function of frequency. From Figure 8,  
597 the differences in the peak frequencies between the functions  $|Z \tanh(\mu L)|$  and  $|\tanh(\mu L)|$   
598 are observed to be small. Numerical simulations in Case study 1 showed that the effects of  
599 the assumption on the determination of the elastic wave speed and the viscoelastic  
600 compliances are small (the maximum relative error induced was less than 4% as shown in  
601 Table 4). A more detailed analysis of the influence of  $Z$  is recommended for future  
602 research.

### 603 ***Determination of the resonant frequencies***

604 The successful application of the proposed technique relies on the accurate determination  
605 of the resonant frequencies of a pipeline system. The determination of the resonant  
606 frequencies typically requires the extraction of the frequency response diagram (FRD).  
607 Two challenges exist (which also apply to all FRD-based techniques): the bandwidth of the  
608 transient excitation and the specific boundary condition required (Lee et al. 2013).  
609 Fortunately, the proposed technique for the calibration of the creep function only requires  
610 the first few resonant peaks to be measured and viscoelastic pipelines typically has a low  
611 fundamental frequency due to low wave speeds. Consider the pipeline used in the *Case*

612 *Studies* as an example (a 557 m HDPE pipe with an elastic wave speed of 395 m/s), the  
613 bandwidth of the transient excitation is required to be just higher than 1.2 Hz, which is easy  
614 to achieve even by a manual valve closure. The specific boundary condition required for  
615 the proposed technique is a reservoir-pipeline-valve (RPV) configuration. This is typically  
616 not readily available in complex pipeline networks. Lee et al. (2005) proposed a technique  
617 to subdivide complex systems into individual single pipes for the purpose of FRD  
618 extraction by using a close in-line valve and a junction as the boundaries. The side-  
619 discharge valve-based transient generator recently developed by the authors (Gong et al.  
620 2015a) can be useful in extracting the FRD of a viscoelastic pipeline by using persistent  
621 pseudo random binary signals. However, experimental verification is needed in the future.

### 622 ***Effects of complexities in real pipelines***

623 In addition to frictional effects, real pipelines may have complexities such as faults and  
624 significant fluid structure interaction (FSI). Studies on elastic pipelines show that the  
625 influence of discrete faults, including leaks and discrete blockage, on the resonant  
626 frequencies of a pipeline system is negligible (Lee et al. 2005). However, extended wall  
627 deterioration, such as extended blockages, can slightly alter the resonant frequencies (Lee  
628 et al. 2013). FSI, in particular the axial oscillation of the pipeline during transient events,  
629 may also have some impact on the resonant frequencies (Keramat et al. 2012), but the  
630 details are yet to be explored in the future.

## 631 **Conclusions**

632 A new technique has been proposed for calibrating the elastic wave speed and the  
633 viscoelastic compliances in viscoelastic pipelines using hydraulic transient analysis, which  
634 is the first viscoelastic parameter estimation technique developed in the frequency domain.  
635 The transfer matrix of a viscoelastic pipeline, with steady and unsteady friction considered,  
636 has been derived from the time-domain one-dimensional continuity and momentum water  
637 hammer equations, where an extra viscoelastic term is included in the continuity equation  
638 to represent the retarded strain. A generalized Kelvin-Voigt (K-V) model with multiple  
639 viscoelastic elements is used to describe the creep function. It has been found that the use  
640 of a viscoelastic term in the continuity equation in the time-domain is equivalent to the use  
641 of a frequency-dependent complex wave speed (or modulus of elasticity) in the frequency-  
642 domain. The frequency response function (FRF) of a viscoelastic pipeline in a reservoir-  
643 pipeline-high loss valve configuration has been derived, from which the relationship  
644 between the resonant frequencies and the pipeline elastic wave speed and viscoelastic  
645 compliances are analytically established. A parameter calibration technique has been  
646 proposed for the evaluation of these parameters using the resonant frequencies. Detailed  
647 steps for implementing the technique have been presented, including an approach for  
648 correcting the shifting in resonant frequencies induced by unsteady friction. The parameter  
649 evaluation is achieved by solving a set of nonlinear equations, which is much more  
650 computational efficient (less than 2 s in this study for solving four equations using the  
651 shuffled complex evolution algorithm) than the conventional inverse transient analysis  
652 (ITA)-based parameter calibration. For the first time, the elastic wave speed is calibrated  
653 together with the viscoelastic compliance in the frequency domain, rather than being

654 estimated separately in the time domain. The proposed technique only uses information  
655 about the resonant frequencies, which is not subject to discrete faults (such as leaks) in  
656 pipelines.

657 Numerical case studies have been conducted on an HDPE pipeline to verify the proposed  
658 technique. A three K-V element model has been used to simulate the pipeline viscoelastic  
659 effects. For a frictionless pipeline (case study 1), the elastic wave speed and viscoelastic  
660 compliances are calibrated with high accuracy (less than 4 % relative error compared with  
661 the theoretical values used in the original pipeline model). When unsteady friction is  
662 considered (case study 2), the approach correcting the unsteady friction-induced shifting  
663 of the resonant frequencies is proved to be useful and significantly improves the accuracy  
664 of the calibration. It is also worth noting that the elastic wave speed can be calibrated with  
665 a high accuracy (less than 1% relative error compared with the theoretical value) no matter  
666 whether the effect of unsteady friction is corrected or not. Practical issues that may bring  
667 challenges in future field applications, including the selection of the retardation times, the  
668 influence of the characteristic impedance, the determination of the resonant frequencies  
669 and some complexities in real pipeline systems, have been discussed in the section  
670 *Discussions* in the paper.

671 Overall, the proposed frequency-domain technique is a step forward towards accurate  
672 calibration of the creep function of viscoelastic pipelines. The elastic wave speed and the  
673 viscoelastic compliances can be calibrated with satisfactory accuracy provided that a few  
674 resonant frequencies of a viscoelastic pipeline system are known.

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## 678 **Notation**

679 *The following symbols are used in this paper:*

- $A$  = pipe cross sectional area ( $\text{m}^2$ );
- $a_c$  = frequency-dependent complex wave speed derived from the use  
of retarded strain term (-);
- $a_e$  = elastic wave speed ( $\text{m/s}$ );
- $a^*$  = frequency-dependent complex wave speed derived from  
complex modulus of elasticity (-);
- $D$  = internal pipe diameter ( $\text{m}$ );
- $E_0$  = elastic modulus of elasticity ( $\text{Pa}$ );
- $E_k$  = modulus of elasticity for the  $k$  th viscoelastic element ( $\text{Pa}$ );
- $e$  = wall thickness of a pipe ( $\text{m}$ );
- $F( )$  = objective function (-);
- $f$  = Darcy-Weisbach friction factor (-);
- $g$  = gravitational acceleration ( $\text{ms}^{-2}$ );
- $H$  = piezometric head ( $\text{m}$ );
- $H_0$  = steady-state head ( $\text{m}$ );
- $h$  = complex head oscillation ( $\text{m}$ );

- $h_f$  = head loss per unit length due to friction (m);  
 $h^*$  = normalized complex head oscillation ( $\text{m}^2\text{s}$ );  
 $i$  = imaginary unit (-);  
 $J(\ )$  = creep (compliance) function ( $\text{Pa}^{-1}$ );  
 $J_0$  = elastic compliance,  $E_0^{-1}$  ( $\text{Pa}^{-1}$ );  
 $J_k$  = viscoelastic compliance,  $E_k^{-1}$  ( $\text{Pa}^{-1}$ );  
 $L$  = length of pipe (m);  
 $M$  = total number of resonant frequencies used (-);  
 $N$  = total number of viscoelastic elements used (-);  
 $Q$  = flow rate ( $\text{m}^3\text{s}^{-1}$ );  
 $q$  = complex flow oscillation ( $\text{m}^3\text{s}^{-1}$ );  
 $R$  = resistance coefficient ( $\text{sm}^{-3}$ );  
 $R_s$  = resistance from steady friction ( $\text{sm}^{-3}$ );  
 $R_{us}$  = resistance from unsteady friction ( $\text{sm}^{-3}$ );  
 $\mathbf{R}$  = Reynolds number (-);  
 $T_F$  = friction term in the characteristic impedance and propagation operator (-);  
 $T_{VE}$  = viscoelastic term in the characteristic impedance and propagation operator (-);  
 $t$  = time (s);  
 $x$  = spatial coordinate (m);  
 $Z$  = characteristic impedance ( $\text{m}^2\text{s}$ );

680

681 *Greek symbols:*

- $\Delta q$  = discharge perturbation (m<sup>3</sup>/s);
- $\alpha$  = pipeline restraint factor (-);
- $\varepsilon_r$  = total retarded strain (-);
- $\eta_k$  = viscosity for the  $k$  th viscoelastic element;
- $\mu$  = propagation operator (m<sup>-1</sup>);
- $\rho$  = fluid density (kgm<sup>-3</sup>);
- $\tau_k$  = retardation time for the  $k$  th viscoelastic element (s);
- $\omega$  = angular frequency (rad);
- $\omega_m$  = resonant angular frequency (rad);
- $\omega_{m\_C}$  = approximation of the resonant angular frequency for a frictionless viscoelastic pipeline (rad);
- $\omega_{m\_EL}$  = calculated resonant angular frequency for a frictionless elastic pipeline (rad);
- $\omega_{m\_UF}$  = calculated resonant angular frequency for an elastic pipeline with steady and unsteady friction (rad);

682

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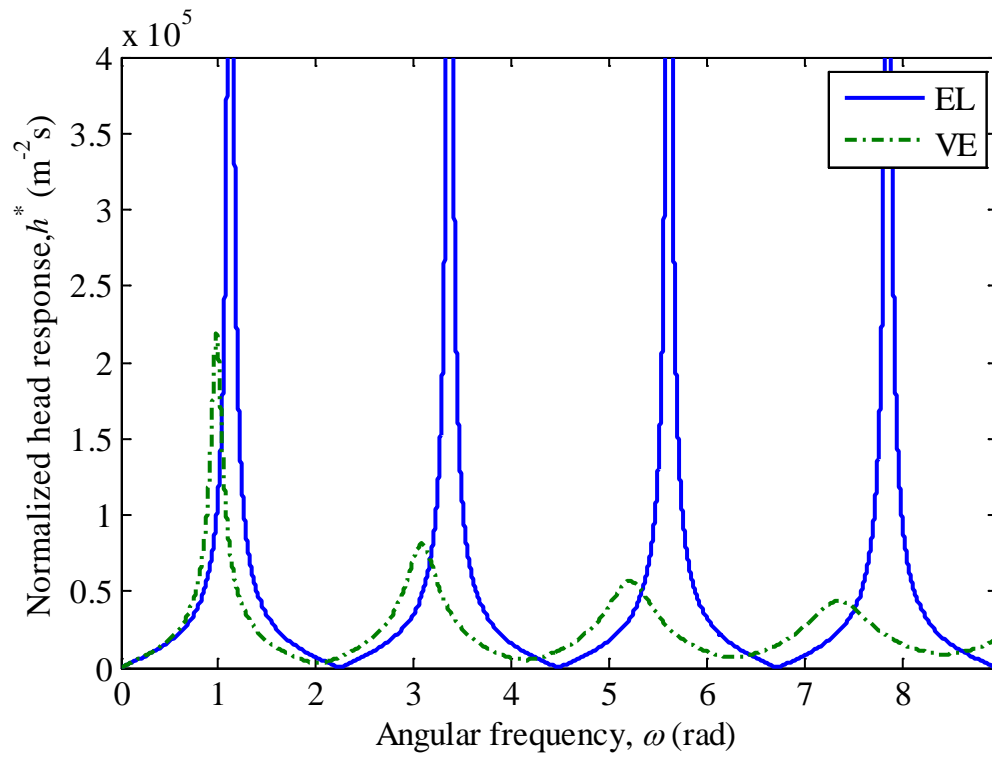
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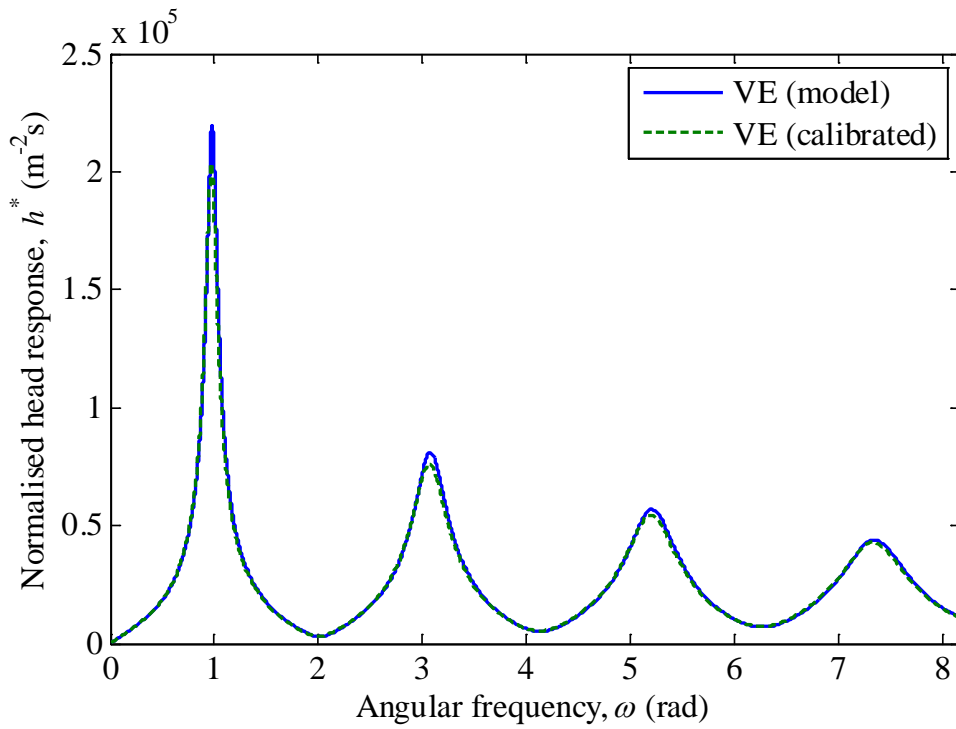
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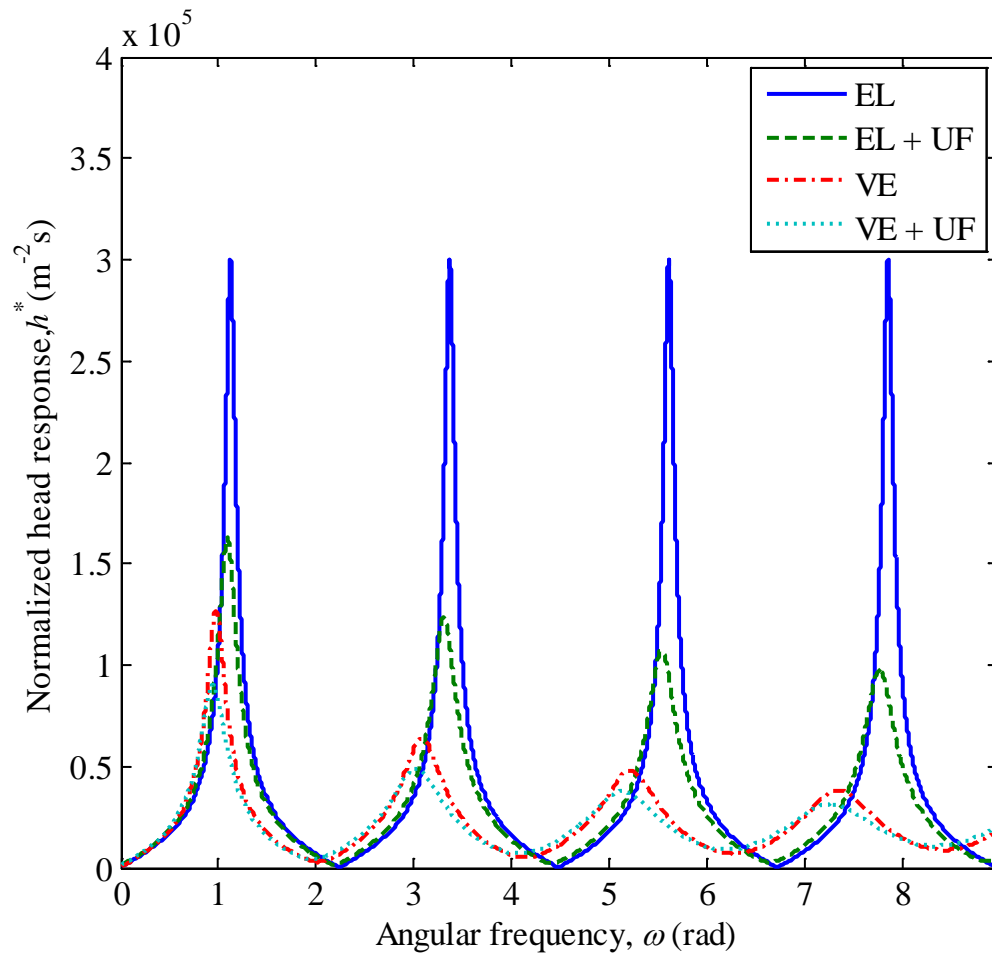




**Figure 3.** Theoretical FRDs of the reservoir-pipeline-closed valve system (case study 1) for the scenarios: (a) elastic and frictionless (EL, solid line) and (b) viscoelastic and frictionless (VE, dash-dotted line).

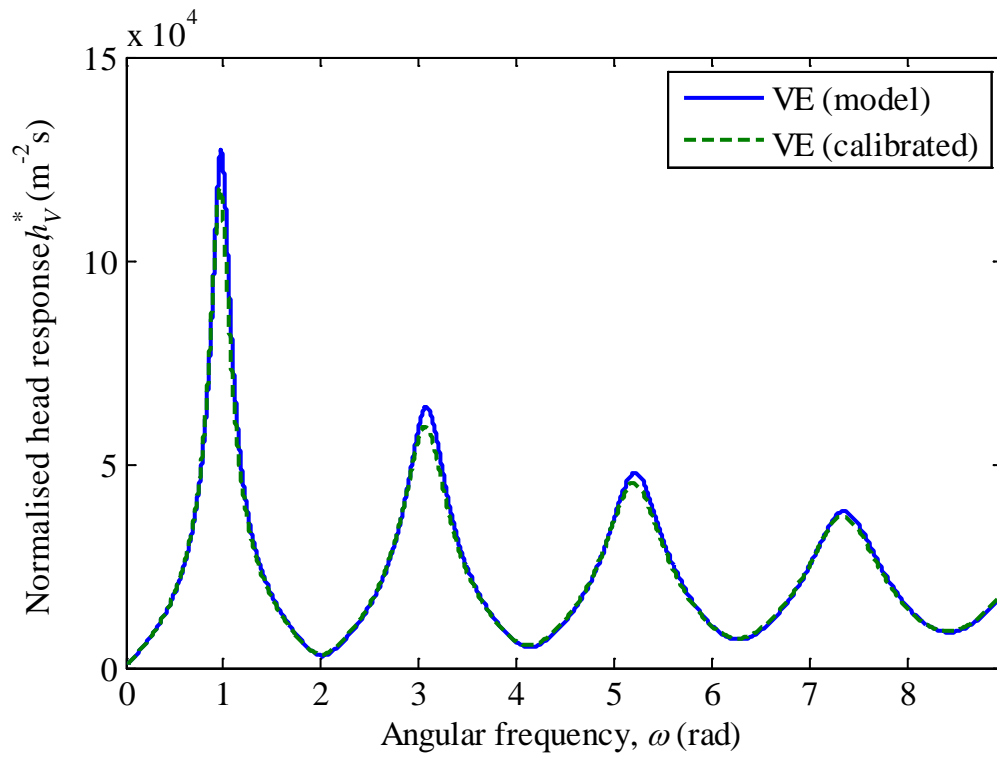


**Figure 4.** Comparison between the theoretical FRD (the solid line) and the calibrated FRD (the dashed line, using parameters calibrated in case study 1) for scenario VE of a reservoir-pipeline-closed valve system.

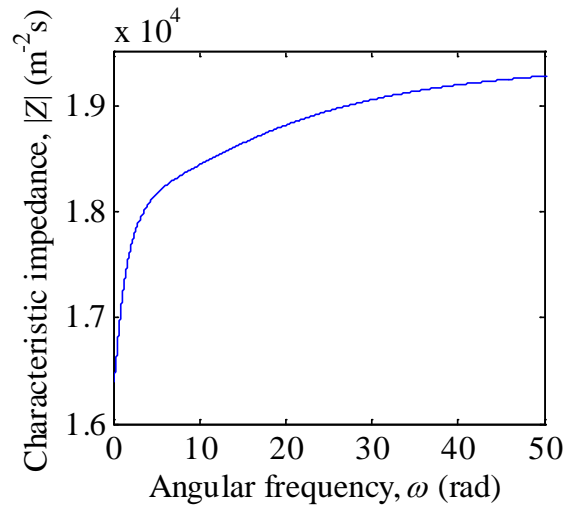


**Figure 5.** Theoretical FRDs for the scenarios: (a) elastic and frictionless (EL, solid line); (b) elastic with steady and unsteady friction (EL+UF, dashed line); (c) viscoelastic and frictionless (VE, dash-dotted line); and (d) viscoelastic with steady and unsteady friction (VE +UF, dotted line).

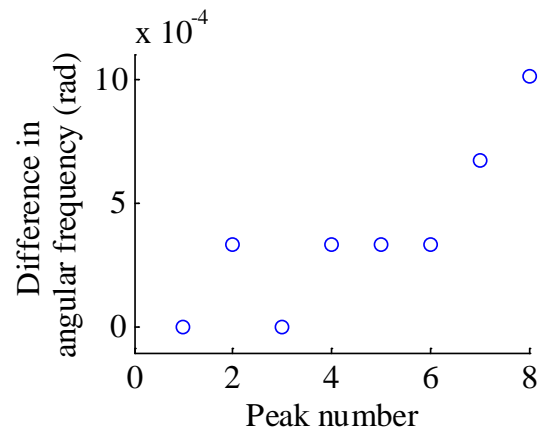




**Figure 6.** Comparison between the theoretical FRD (the solid line) and the calibrated FRD (the dashed line, use parameters calibrated in case study 2) for scenario VE of a reservoir-pipeline-high loss valve system.



**Figure 7.** Absolute value of the characteristic impedance for the pipeline system in the *Case Studies*.



**Figure 8.** Difference in the peak frequencies between the functions  $|Z \tanh(\mu L)|$  and  $|\tanh(\mu L)|$  for the scenario viscoelastic and frictionless (VE) in the *Case Studies*.

## Tables

**Table 1.** Specifications of the pipeline system used in the case studies

Parameter	Value
Length $L$ (m)	554
Inner diameter $D$ (mm)	50.6
Wall thickness $e$ (mm)	6.3
Kinematic viscosity $\nu$ (m <sup>2</sup> /s)	1.004E-6
Fluid density $\rho$ (kg/m <sup>3</sup> )	998.2
Head of reservoir (m)	45
Steady-state flow rate (L/s)	0.3
Restraint coefficient $\alpha$	1.07
Darcy-Weisbach friction factor $f$	0.02
Reynolds number $\mathbf{R}$	7519
Elastic wave speed $a_e$ (m/s)	395

**Table 2.** Viscoelastic parameters used in the case studies

Retardation time $\tau_k$ (s)	Compliance $J_k$ (10E-10 Pa <sup>-1</sup> )
$\tau_1 = 0.05$	$J_1 = 1.044$
$\tau_2 = 0.5$	$J_2 = 1.037$
$\tau_3 = 1.5$	$J_3 = 1.145$

**Table 3.** Theoretical resonant angular frequencies for the reservoir-pipeline-closed valve system under the scenarios: (a) elastic and frictionless (EL) and (b) viscoelastic and frictionless (VE).

Peak number $m$	Theoretical resonant frequency (rad)	
	EL	VE ( $\omega_m$ )
1	1.120	0.978
2	3.360	3.078
3	5.600	5.208
4	7.840	7.347

**Table 4.** Results of parameter evaluation using the resonant frequencies from the scenario viscoelastic and frictionless (VE) for *Case study 1*

Parameter	Original model	Calibrated from VE	Relative error
$a_e$ (m/s)	395	394.1	-0.23%
$J_1$ (10E-10 Pa <sup>-1</sup> )	1.044	1.025	-1.78%
$J_2$ (10E-10 Pa <sup>-1</sup> )	1.037	1.070	3.14%
$J_3$ (10E-10 Pa <sup>-1</sup> )	1.145	1.191	3.98%

\*Relative error = (Calibrated – Original)/ Original × 100%

**Table 5.** Theoretical resonant angular frequencies for the reservoir-pipeline-high loss valve system under the scenarios: (a) elastic and frictionless (EL); (b) elastic with steady and unsteady friction (EL+UF); (c) viscoelastic and frictionless (VE); and (d) viscoelastic with steady and unsteady friction (VE +UF).

Peak number <i>m</i>	Theoretical resonant frequency (rad)			
	EL	EL+UF	VE	VE+UF ( $\omega_m$ )
1	1.120	1.088	0.974	0.943
2	3.360	3.303	3.075	3.019
3	5.600	5.528	5.205	5.135
4	7.840	7.757	7.345	7.264



**Table 6.** Results of parameter evaluation using the resonant frequencies from the scenario viscoelastic with steady and unsteady friction (VE+UF) neglecting the effects of friction, for

*Case study 2.*

Parameter	Original model	Calibrated from VE+UF	Relative error
$a_e$ (m/s)	395	396.9	0.49%
$J_1$ (10E-10 Pa <sup>-1</sup> )	1.044	1.314	25.83%
$J_2$ (10E-10 Pa <sup>-1</sup> )	1.037	1.473	42.05%
$J_3$ (10E-10 Pa <sup>-1</sup> )	1.145	1.736	51.64%

\*Relative error = (Calibrated – Original)/ Original × 100%

**Table 7.** Resonant angular frequencies calculated based on the elastic wave speed calibrated in the first attempt for the scenarios: (a) elastic and frictionless (EL); (b) elastic with steady and unsteady friction (EL+UF), and the estimated resonant angular frequencies for the scenario of viscoelastic and frictionless (VE).

Peak number <i>m</i>	Calculated resonant frequency (rad)		
	EL ( $\omega_{m\_FL}$ )	EL+UF ( $\omega_{m\_UF}$ )	VE approx. ( $\omega_{m\_C}$ )
1	1.125	1.093	0.971
2	3.376	3.318	3.072
3	5.627	5.554	5.203
4	7.878	7.794	7.342

**Table 8.** Results of parameter evaluation using the resonant frequency approximations for scenario viscoelastic and frictionless (VE), for *Case study 2*.

Parameter	Original model	Calibrated from VE approx.	Relative error
$a_e$ (m/s)	395	393.1	-0.49%
$J_1$ (10E-10 Pa <sup>-1</sup> )	1.044	0.983	-5.88%
$J_2$ (10E-10 Pa <sup>-1</sup> )	1.037	1.158	11.64%
$J_3$ (10E-10 Pa <sup>-1</sup> )	1.145	1.373	19.89%

\*Relative error = (Calibrated – Original)/ Original × 100%

**Table 9.** Results of parameter evaluation for the modified pipeline system with a length of 277 m (half of that used in the previous *Case Studies*)

Parameter	Original model	Calibrated with $\tau_1 = 0.05$ s, $\tau_2 = 0.5$ s, $\tau_3 = 1.5$ s	Relative error	Calibrated with $\tau_1 = 0.05$ s, $\tau_2 = 0.25$ s, $\tau_3 = 1.0$ s	Relative error
$a_e$ (m/s)	395	395.1	0.03%	395.3	0.07%
$J_1$ (10E-10 Pa <sup>-1</sup> )	1.044	1.086	3.98%	1.097	5.07%
$J_2$ (10E-10 Pa <sup>-1</sup> )	1.037	7.261	-29.98%	1.043	0.62%
$J_3$ (10E-10 Pa <sup>-1</sup> )	1.145	2.598	126.88%	1.190	3.92%

\*Relative error = (Calibrated – Original)/ Original  $\times$  100%